

TABLE OF CONTENTS

		<i>Page</i>
BUSINESS, TRANSPORTATION AND HOUSING		
Department of Alcoholic Beverage Control	BTH	1
Alcoholic Beverage Control Appeals Board.....	BTH	3
State Banking Department	BTH	4
Department of Financial Institutions	BTH	9
Department of Corporations.....	BTH	15
Unitary Fund Programs.....	BTH	19
Department of Housing and Community Development.....	BTH	20
California Housing Finance Agency	BTH	37
Office of Real Estate Appraisers.....	BTH	39
Department of Real Estate.....	BTH	40
Office of Savings and Loan	BTH	43
California Transportation Commission.....	BTH	44
Special Transportation Programs.....	BTH	46
Department of Transportation.....	BTH	47
Office of Traffic Safety	BTH	69
Department of the California Highway Patrol	BTH	70
Department of Motor Vehicles.....	BTH	79
Stephen P. Teale Data Center	BTH	90

2100 DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL

The principal objective of the Department of Alcoholic Beverage Control (ABC) is to administer the provisions of the Alcoholic Beverage Control Act, which vests in the Department the exclusive right and power to license and regulate the manufacture, sale, purchase, possession and transportation of alcoholic beverages within the State and, subject to certain laws of the United States, to regulate the importation and exportation of alcoholic beverages into and from the State.

Authority

Article XX, Section 22 of the California Constitution, and Division 9 of the Business and Professions Code.

SUMMARY OF PROGRAM

REQUIREMENTS	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
10 Administration of the Alcoholic Beverage Control Act.....	409.7	419.9	423.8	\$29,630	\$29,627	\$30,922
0081 Alcohol Beverage Control Fund				28,797	28,758	30,053
0995 Reimbursements.....				833	869	869

10 ADMINISTRATION OF ALCOHOLIC BEVERAGE CONTROL ACT

Program Objectives Statement

This program conducts the three major activities of the Department. These include licensing activities, which ensure that only qualified persons and legitimate businesses are licensed to sell, manufacture, or otherwise deal in alcoholic beverages; compliance activities, which ensure adherence to the alcoholic beverage control laws and regulations by all persons operating within the alcoholic beverage industry; and administration activities, which provide staff support and conduct administrative appeal hearings.

Major Budget Adjustments Proposed for 1997-98

The increased funding made available to the Alcohol Beverage Control Fund by Chapter 339, Statutes of 1996 (AB 385), has made the following budget actions feasible:

- An augmentation of \$2,458,000 and 30.4 personnel years to continue the Special Operations Unit enforcement staffing which had been planned to be reduced or eliminated as of June 30, 1997. This unit focuses upon disruptive and disorderly retail alcoholic beverage outlets that have become focal points for violence and criminal activity.
- An augmentation of \$430,000 and 5.7 personnel years to continue the Licensee Education on Alcohol and Drugs (LEAD) project which had been anticipated to expire June 30, 1997. In 1995-96 LEAD received a national award from the National Commission Against Drunk Driving for excellence in education and prevention.
- An augmentation of \$1,500,000 and 6.7 personnel years to reestablish the Grant Assistance to Local Law Enforcement (GALE) project which expired in 1996-97. This project provides assistance to local law enforcement agencies in combating alcohol related crime.

Authority

Article XX, Section 22 of the California Constitution, and Division 9 of the Business and Professions Code.

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 ADMINISTRATION OF ALCOHOLIC BEVERAGE CONTROL ACT

State Operations:	1995-96*	1996-97*	1997-98*
0081 Alcohol Beverage Control Fund	\$27,297	\$28,758	\$29,053
0995 Reimbursements.....	833	869	869
Totals, State Operations	\$28,130	\$29,627	\$29,922
Local Assistance:			
0081 Alcohol Beverage Control Fund	1,500	—	1,000
Totals, Local Assistance	\$1,500	—	\$1,000

ELEMENT REQUIREMENTS

10.10 Licensing	15,938	16,918	16,926
State Operations:			
0081 Alcohol Beverage Control Fund	15,272	16,175	16,183
0995 Reimbursements.....	666	743	743
10.20 Compliance	13,692	12,709	12,996
State Operations:			
0081 Alcohol Beverage Control Fund	12,025	12,583	12,870
0995 Reimbursements.....	167	126	126
Local Assistance:			
0081 Alcohol Beverage Control Fund	1,500	—	1,000

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2100 DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL—Continued

TOTAL EXPENDITURES

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
State Operations	\$28,130	\$29,627	\$29,922
Local Assistance	1,500	—	1,000
TOTALS, EXPENDITURES	\$29,630	\$29,627	\$30,922

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	<i>95-96</i>	<i>96-97</i>	<i>97-98</i>	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	409.7	442.1	401.2	\$17,015	\$18,091	\$16,660
Total Adjustments	—	—	45.0	—	—	1,973
Estimated Salary Savings	—	-22.2	-22.4	—	-905	-930
Net Totals, Salaries and Wages	409.7	419.9	423.8	\$17,015	\$17,186	\$17,703
Staff Benefits	—	—	—	4,590	5,519	5,418
Totals, Personal Services	409.7	419.9	423.8	\$21,605	\$22,705	\$23,121
OPERATING EXPENSES AND EQUIPMENT				\$6,525	\$6,922	\$6,801
TOTALS, EXPENDITURES				\$28,130	\$29,627	\$29,922

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0081 Alcohol Beverage Control Fund ^s**

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$28,605	\$28,592	\$29,053
Adjustment per Section 3.60	310	167	—
Transfer to Legislative Claims (9670)	-8	-1	—
Totals Available	\$28,907	\$28,758	\$29,053
Unexpended balance, estimated savings	-1,610	—	—
TOTALS, EXPENDITURES	\$27,297	\$28,758	\$29,053

0995 Reimbursements

Reimbursements	\$833	\$869	\$869
TOTALS, EXPENDITURES (State Operations)	\$28,130	\$29,627	\$29,922

SUMMARY BY OBJECT**RECONCILIATION WITH APPROPRIATIONS****2 LOCAL ASSISTANCE****0081 Alcohol Beverage Control Fund ^s**

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
APPROPRIATIONS			
101 Budget Act appropriation (grants) (expenditures)	\$1,500	—	\$1,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$29,630	\$29,627	\$30,922

FUND CONDITION STATEMENT**0081 Alcohol Beverage Control Fund ^s**

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
BEGINNING BALANCE	\$1,114	\$6,026	\$6,205
Prior year adjustment	1,168	—	—
Balance, Adjusted	\$2,282	\$6,026	\$6,205
REVENUES AND TRANSFERS			
Receipts:			
Revenues:			
121000 Liquor license fees	41,289	34,154	34,154
161400 Miscellaneous revenue	4	4	4
Totals, Revenues	\$41,293	\$34,158	\$34,158

* Dollars in thousands.

2100 DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL—Continued

Transfers to Other Funds:	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
T00001 General Fund per Business and Professions Code Section 25761	-\$8,744	-\$5,220	-\$4,709
Totals, Transfers to Other Funds	-\$8,744	-\$5,220	-\$4,709
Totals, Revenues and Transfers	\$32,549	\$28,938	\$29,449
Totals, Resources	\$34,831	\$34,964	\$35,654
EXPENDITURES			
Disbursements:			
2100 Department of Alcoholic Beverage Control:			
State Operations	27,297	28,758	29,053
Local Assistance	1,500	—	1,000
9670 Legislative Claims:			
State Operations	8	1	—
Totals, Disbursements	\$28,805	\$28,759	\$30,053
FUND BALANCE	\$6,026	\$6,205	\$5,601
Reserve for economic uncertainties	6,026	6,205	5,601

CHANGES IN**AUTHORIZED POSITIONS**

	<i>95-96</i>	<i>96-97</i>	<i>97-98</i>	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
Totals, Authorized Positions	409.7	442.1	401.2	\$17,015	\$18,091	\$16,660
Workload and Administrative Adjustments:						
Proposed New Positions:				Salary Range		
Special Operations Unit:						
Supvng Investigator	—	—	3.0	3,812-4,600	—	151
Investigator II	—	—	15.0	3,474-4,183	—	690
Investigator I	—	—	8.0	2,833-3,812	—	335
Ofc Techn	—	—	2.0	2,038-2,477	—	54
Ofc Asst	—	—	4.0	1,760-2,138	—	93
Physical Performance Incentive Pay	—	—	—	—	—	20
Overtime	—	—	—	—	—	32
Grant Assistance to Local Agencies:						
Investigator II	—	—	6.0	3,474-4,183	—	263
Assoc Govtl Prog Analyst	—	—	1.0	3,430-4,139	—	43
Physical Performance Incentive Pay	—	—	—	—	—	5
LEAD Training:						
Supvng Investigator	—	—	1.0	3,812-4,600	—	55
Investigator II	—	—	4.0	3,474-4,183	—	201
Ofc Techn	—	—	1.0	2,038-2,477	—	27
Physical Performance Incentive Pay	—	—	—	—	—	4
Totals, Proposed New Positions	—	—	45.0	—	—	\$1,973
Totals, Adjustments	—	—	45.0	—	—	\$1,973
TOTALS, SALARIES AND WAGES	409.7	442.1	446.2	\$17,015	\$18,091	\$18,633

2120 ALCOHOLIC BEVERAGE CONTROL APPEALS BOARD

The objective of the Alcoholic Beverage Control Appeals Board, which consists of three members appointed by the Governor, is to provide a forum of appeal to persons who are dissatisfied with a decision of the Department of Alcoholic Beverage Control ordering any penalty or issuing, denying, conditioning, transferring, suspending or revoking any alcoholic beverage license. Following the filing of an appeal, receipt of the record on appeal and submission of written briefs, the Board hears oral arguments in Northern and Southern California on the appropriateness of the Department's decision. Thereafter, the Board prepares, publishes and distributes a formal written opinion. A party seeking review of an Appeals Board decision must file a Petition for Writ of Review with the Court of Appeal.

The Alcoholic Beverage Control Appeals Fund is supported by a surcharge on license fees of the Department of Alcoholic Beverage Control.

SUMMARY OF PROGRAM**REQUIREMENTS**

	<i>95-96</i>	<i>96-97</i>	<i>97-98</i>	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
10 Administrative Review (Alcoholic Beverage Control Appeals Fund)	7.0	7.0	7.0	\$491	\$535	\$550

10 ADMINISTRATIVE REVIEW**Program Objectives Statement**

The purpose of this program is to provide an appeals process and to issue decisions on appeals filed with the Alcoholic Beverage Control Appeals Board. In the 1995-96 fiscal year, 142 appeals were filed with the Board, and 111 decisions were issued by the Board.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2120 ALCOHOLIC BEVERAGE CONTROL APPEALS BOARD—Continued

The appeals to the Board are from decisions of the Department of Alcoholic Beverage Control, which was a party to 736 administrative hearings during the 1995–96 fiscal year. Most of these hearings involved license applications or alleged violations of the Alcoholic Beverage Control Act. During the 1995–96 fiscal year, judicial review of Board decisions was requested of the Court of Appeal or State Supreme Court on 13 occasions. The appellate courts denied petitions in ten cases and granted writs of review in three cases. One of these three cases resulted in an unpublished decision by the Court of Appeal which reversed the the Board's decision. In the second case, the Court of Appeal in an unpublished decision sustained the Department's and the Board's decisions. In the third case, the matter is pending.

Authority

Article XX, Section 22, of the California Constitution and Division 9, Business and Professions Code.

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	<i>95–96</i>	<i>96–97</i>	<i>97–98</i>	<i>1995–96*</i>	<i>1996–97*</i>	<i>1997–98*</i>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A).....	7.0	7.0	7.0	\$263	\$297	\$299
Staff Benefits	—	—	—	54	72	72
Totals, Personal Services	7.0	7.0	7.0	\$317	\$369	\$371
OPERATING EXPENSES AND EQUIPMENT				\$174	\$166	\$179
TOTALS, EXPENDITURES				\$491	\$535	\$550

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0117 Alcoholic Beverage Control Appeals Fund ^b**

	<i>1995–96*</i>	<i>1996–97*</i>	<i>1997–98*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$527	\$533	\$550
Adjustment per Section 3.60	7	2	—
Totals Available	\$534	\$535	\$550
Unexpended balance, estimated savings	–43	—	—
TOTALS, EXPENDITURES (State Operations).....	\$491	\$535	\$550

FUND CONDITION STATEMENT**0117 Alcoholic Beverage Control Appeals Fund**

	<i>1995–96*</i>	<i>1996–97*</i>	<i>1997–98*</i>
BEGINNING BALANCE.....	\$319	\$903	\$946
Prior year adjustment	320	—	—
Balance, Adjusted.....	\$639	\$903	\$946
REVENUES AND TRANSFERS			
Receipts:			
Revenues:			
125600 Other regulatory fees	755	578	578
Totals, Revenues.....	\$755	\$578	\$578
Totals, Resources	\$1,394	\$1,481	\$1,524
EXPENDITURES			
Disbursements:			
2120 Alcoholic Beverage Control Appeals Board (State Operations).....	491	535	550
FUND BALANCE.....	\$903	\$946	\$974
Reserve for economic uncertainties	903	946	974

2140 STATE BANKING DEPARTMENT

The State Banking Department was established to protect the public from economic loss resulting from the failure of any of the financial entities it regulates. The Department licenses and regulates: (1) State chartered banks and trust companies including offices of foreign (other states and other nations) banking corporations; (2) issuers of payment instruments, including companies licensed either to sell money orders or travelers checks or licensed to engage in the business of transmitting money abroad; and (3) business and industrial development corporations. In addition to encouraging observance of sound banking practices, the Department certifies securities for the State of California and municipalities and other government agencies within the State of California as legal investments. The Superintendent of Banks is the administrator of local agency security. The programs of the Department are supported by an annual assessment of licensees, license and application fees, and charges for specific services.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2140 STATE BANKING DEPARTMENT—Continued

In 1996–97 the administration of the Office of Savings and Loan was transferred to the State Banking Department pursuant to Chapter 201, Statutes of 1996.

Chapter 1064, Statutes of 1996 created the Department of Financial Institutions (DFI) effective July 1, 1997. This new department consolidates the regulatory functions of state chartered depository corporations (commercial banks, savings associations, credit unions and industrial loan companies) and certain other providers of financial services. The act abolishes the State Banking Department and the Department of Savings and Loan and transfers their functions to the DFI. The act also transfers from the Department of Corporations, functions pertaining to the regulation of credit unions and industrial loan companies. The budget for the new department is displayed under organization code 2150 for 1997–98.

SUMMARY OF PROGRAM

REQUIREMENTS	95–96	96–97	97–98	1995–96*	1996–97*	1997–98*
10 Licensing and Supervision of Banks and Trust Companies	136.3	128.3	—	\$15,185	\$15,434	—
20 Payment Instruments	9.2	6.5	—	643	548	—
30 Certification of Securities	0.1	0.1	—	10	10	—
40 Administration of Local Agency Security	2.8	1.0	—	177	127	—
50 Supervision of California Business and Industrial Development Corporations	0.1	0.3	—	7	33	—
60 Administration	52.4	46.5	—	4,165	4,186	—
70 Distributed Administration	—	—	—	4,165	4,186	—
70 Administration of the Savings and Loan Program	—	1.9	—	—	242	—
TOTALS, PROGRAMS.....	200.9	184.6	—	\$16,022	\$16,394	—
0136 State Banking Fund				15,637	15,866	—
0240 Local Agency Deposit Security Fund				177	127	—
0337 Savings Association Special Regulatory Fund				—	242	—
0995 Reimbursements				208	159	—

10 LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES**Program Objectives Statement**

The primary objectives of this program are: (1) to protect the public from economic losses that often result from bank and trust company failures without depriving the public of reasonably priced, convenient banking and trust services and (2) to guard against the damaging ripple effect on smaller financial institutions often associated with the failure of a bank or trust company.

Authority

California Financial Code, Division 1, Chapters 3, 4, 10, 12, 13.5, 15, 16, 17, 19, and 21 (Banking Law).

20 PAYMENT INSTRUMENTS**Program Objectives Statement**

The primary objective of this program is to protect the public from economic loss resulting from the failure of a company licensed either to sell payment instruments (money orders) or travelers checks, or licensed to engage in the business of transmitting money abroad. The program ensures that business is being conducted in a financially sound manner by conducting periodic examinations of these facilities and by requiring and analyzing specific reports. In addition, licenses are issued to persons engaged in the business of receiving money for the purpose of transmitting the same or its equivalent to foreign countries, engaged in the business of issuing travelers checks, or engaged in the business of selling money orders. In order to protect the public, a thorough investigation of each applicant is conducted before the license is issued.

Authority

California Financial Code, Division 1, Chapter 14, 14A, Division 16, Chapters 1–11.

30 CERTIFICATION OF SECURITIES**Program Objectives Statement**

This program (1) issues certificates of eligibility for obligations of the State of California and its subdivisions to assure that certain elements of the public do not invest in securities that fail to meet specified standards established by statute; (2) assures that adequate security is being held by the State Treasurer for the faithful performance and execution of all court and private trusts accepted by trust companies and trust departments of banks and (3) assures the faithful performance of a transmitter's obligations.

Authority

California Financial Code, Division 1, Chapter 10, Section 1371, Chapter 12, Article 3 (Banking Law).

40 ADMINISTRATION OF LOCAL AGENCY SECURITY**Program Objectives Statement**

The Superintendent of Banks is the administrator of local agency security who, utilizing a pool concept, acts as an agent for approximately 1,500 local treasurers in verifying the amount and quality of collateral pledged to secure deposits of public funds made by local agencies. The Superintendent also has the responsibility of administering local agency security for savings and loans and credit unions.

* Dollars in thousands.

2140 STATE BANKING DEPARTMENT—Continued**Authority**

California Government Code, Title 5, Division 2, Part 1, Chapter 4, Article 2.

50 SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS**Program Objectives Statement**

The primary objective of this program is to license and regulate nonfiduciary business and industrial development corporations. The program ensures that business is being conducted in a financially sound manner by conducting periodic examinations of these corporations and by requiring and analyzing specific reports. In addition, licenses are issued to corporations operating as business and industrial development corporations. Certain Federal programs, most notably the Small Business Administration's Section 7A Loan Guarantee Program, require a lender to be a "licensed and regulated financial institution" to qualify for guarantees. By licensing and regulating business and industrial development corporations, this program ensures that these corporations qualify for loan guarantees.

Authority

California Financial Code, Division 15.

60 ADMINISTRATION**Program Objectives Statement**

The principal responsibilities of the Administration Program are to provide services essential for the administration of the Department and its programs. Services provided include executive and administrative services, legal and legislative services and policy and information services.

Authority

California Financial Code, Division 1, Chapter 2, Article 4 (Banking Law).

70 ADMINISTRATION OF THE SAVINGS AND LOAN PROGRAM**Program Objectives Statement**

The primary objective of this program is to protect the funds deposited in savings and share accounts held in State associations and to assure that the saving and borrowing public is properly and legally served.

Authority

California Financial Code Sections 5000 through 11709.

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****10 LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES**

State Operations:	1995-96*	1996-97*	1997-98*
0136 Banking Fund.....	\$14,977	\$15,275	—
0995 Reimbursements.....	208	159	—
Totals, State Operations	\$15,185	\$15,434	—

ELEMENT REQUIREMENTS

10.10 Investigation of Applications for New Facilities	283	295	—
State Operations:			
0136 Banking Fund.....	283	295	—
10.20 Continuing Supervision of Existing Banking Facilities.....	14,549	14,819	—
State Operations:			
0136 Banking Fund.....	14,502	14,780	—
0995 Reimbursements.....	47	39	—
10.30 Continuing Supervision of Trust Facilities	353	320	—
State Operations:			
0136 Banking Fund.....	192	200	—
0995 Reimbursements.....	161	120	—

PROGRAM REQUIREMENTS

20 PAYMENT INSTRUMENTS.....	\$643	\$548	—
State Operations:			
0136 Banking Fund.....	643	548	—

* Dollars in thousands.

2140 STATE BANKING DEPARTMENT—Continued

PROGRAM REQUIREMENTS				1995-96*	1996-97*	1997-98*
30	CERTIFICATION OF SECURITIES			\$10	\$10	—
	State Operations:					
	0136 Banking Fund			10	10	—
PROGRAM REQUIREMENTS						
40	ADMINISTRATION OF LOCAL AGENCY SECURITY			\$177	\$127	—
	State Operations:					
	0240 Local Agency Deposit Security Fund			177	127	—
PROGRAM REQUIREMENTS						
50	SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS			\$7	\$33	—
	State Operations:					
	0136 Banking Fund			7	33	—
PROGRAM REQUIREMENTS						
70	ADMINISTRATION OF THE SAVINGS AND LOAN PROGRAM			—	\$242	—
	State Operations:					
	0337 Savings Association Special Regulatory Fund			—	242	—
TOTALS, EXPENDITURES (State Operations)				\$16,022	\$16,394	—

SUMMARY BY OBJECT

1 STATE OPERATIONS

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	200.9	187.0	187.0	\$10,488	\$9,923	\$10,020
Total Adjustments	—	—	-187.0	—	—	-10,020
Estimated Salary Savings	—	2.4	—	—	-120	—
Net Totals, Salaries and Wages	200.9	184.6	—	\$10,488	\$9,803	—
Staff Benefits	—	—	—	2,710	2,561	—
Totals, Personal Services	200.9	184.6	—	\$13,198	\$12,364	—
OPERATING EXPENSES AND EQUIPMENT				\$2,824	\$4,030	—
TOTALS, EXPENDITURES				\$16,022	\$16,394	—

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0136 State Banking Fund ^s

	1995-96*	1996-97*	1997-98*
APPROPRIATIONS			
001 Budget Act appropriation	\$16,109	\$15,784	—
Allocation for contingencies or emergencies (court-awarded attorney fees)	33	—	—
Adjustment per Section 3.60	265	82	—
Totals Available	\$16,407	\$15,866	—
Unexpended balance, estimated savings	-770	—	—
TOTALS, EXPENDITURES	\$15,637	\$15,866	—

0240 Local Agency Deposit Security Fund ^s

	1995-96*	1996-97*	1997-98*
APPROPRIATIONS			
001 Budget Act appropriation	\$275	\$126	—
Adjustment per Section 3.60	5	1	—
Totals Available	\$280	\$127	—
Unexpended balance, estimated savings	-103	—	—
TOTALS, EXPENDITURES	\$177	\$127	—

0337 Savings Association Special Regulatory Fund ^s

	1995-96*	1996-97*	1997-98*
APPROPRIATIONS			
001 Budget Act appropriation	—	\$441	—
Adjustment per Section 3.60	—	1	—
Totals Available	—	\$442	—
Unexpended balance, estimated savings	—	-200	—
TOTALS, EXPENDITURES	—	\$242	—

* Dollars in thousands.

2140 STATE BANKING DEPARTMENT—Continued

0995 Reimbursements

Reimbursements	\$208	\$159	—
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$16,022	\$16,394	—

FUND CONDITION STATEMENT

0136 State Banking Fund

	1995-96*	1996-97*	1997-98*
BEGINNING BALANCE	\$5,696	\$4,931	\$3,399
Prior year adjustments	71	—	—
Balance, Adjusted	\$5,767	\$4,931	\$3,399
REVENUES AND TRANSFERS			
Receipts:			
Revenues:			
121200 Other regulatory taxes	13,339	11,871	—
Assessment of banks	13,036	11,621	—
Assessment of payment instruments licenses	303	250	—
125700 Other regulatory licenses and permits	393	400	—
141200 Sales of documents	6	7	—
150300 Income from surplus money investments	334	350	—
161000 Escheats	1	—	—
161400 Miscellaneous revenue	728	500	—
Totals, Revenues	\$14,801	\$13,128	—
Transfers from Other Funds:			
F00942 Special Deposit Fund per Section 12.20, Budget Act of 1996 (Malibu/Abromovitz Judgement)	—	1,206	1,362
Transfers to Other Funds:			
T00298 Financial Institutions Fund per Chapter 1064, Statutes of 1996....	—	—	—4,761
Totals, Revenues and Transfers	\$14,801	\$14,334	—\$3,399
Totals, Resources	\$20,568	\$19,265	—

EXPENDITURES

Disbursements:			
2140 State Banking Department (State Operations)	15,637	15,866	—
Totals, Expenditures	\$15,637	\$15,866	—
FUND BALANCE	\$4,931	\$3,399	—
Reserve for economic uncertainties	4,931	3,399	—

0240 Local Agency Deposit Security Fund

Fund condition previously reported in 2140—State Banking Department now reported in 2150—Department of Financial Institutions.

0337 Savings Association Special Regulatory Fund

BEGINNING BALANCE	\$826	\$577	\$370
Prior year adjustments	32	—	—
Balance, Adjusted	\$858	\$577	\$370
REVENUES AND TRANSFERS			
Receipts:			
Revenues:			
124000 Savings and Loan Fees	7	—	—
150300 Income from Surplus Money Investment	43	35	—
161400 Miscellaneous Revenue	1	—	—
Totals, Revenues	\$51	\$35	—
Transfers to Other Funds:			
T00298 Financial Institutions Fund per Chapter 1064, Statutes of 1996....	—	—	—370
Totals, Resources	\$909	\$612	—
EXPENDITURES:			
2140 State Banking Department (State Operations)	—	242	—
2340 Office of Savings and Loan (State Operations)	332	—	—
FUND BALANCE	\$577	\$370	—
Reserve for economic uncertainties	577	370	—

* Dollars in thousands.

2140 STATE BANKING DEPARTMENT—Continued

CHANGES IN						
AUTHORIZED POSITIONS						
	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Totals, Authorized Positions	200.9	187.0	187.0	\$10,488	\$9,923	\$10,020
Workload and Administrative Adjustments:				Salary Range		
Savings and Loan Examiner	—	—	-1.0	3,127-4,337	—	-38
Ofc Techn	—	—	1.0	2,038-2,477	—	24
Bank Examiner IV	—	—	-1.0	4,337-5,780	—	-62
Research Prog Spec	—	—	1.0	4,139-4,994	—	53
Totals, Workload and Administrative Adjustments	—	—	—	—	—	-\$23
Positions Transferred to the Department of Financial Institutions Pursuant to Chapter 1064, Statutes of 1996:						
C.E.A. V	—	—	-1.0	4,811-9,732	—	-95
C.E.A. III	—	—	-1.0	4,811-9,732	—	-87
C.E.A. II	—	—	-2.0	4,811-9,732	—	-164
C.E.A. I	—	—	-2.0	4,811-9,732	—	-132
Supt-Banks	—	—	-1.0	7,939	—	-108
Chief Deputy Supt	—	—	-1.0	7,602-8,221	—	-95
Administrator	—	—	-1.0	7,183-7,768	—	-86
Asst Chief Counsel	—	—	-1.0	6,692-7,378	—	-89
Staff Counsel III-Spec	—	—	-5.0	5,760-6,969	—	-418
Sr Dep Supt-Banks	—	—	-1.0	6,222-6,729	—	-75
Staff Counsel	—	—	-2.0	3,200-6,043	—	-145
Research Prog Spec II	—	—	-1.0	4,139-4,994	—	-53
Staff Services Mgr II	—	—	-1.0	4,811-5,305	—	-64
Staff Services Mgr I	—	—	-1.0	3,958-4,775	—	-57
Sr Acct Off-Supvr	—	—	-1.0	3,602-4,346	—	-52
Assoc Programmer Analyst-Spec	—	—	-2.0	3,602-4,346	—	-104
Assoc Info Systems Analyst-Spec	—	—	-2.0	3,602-4,346	—	-99
Assoc Personnel Analyst	—	—	-2.0	3,430-4,139	—	-93
Assoc Govtl Prog Analyst	—	—	-1.0	3,430-4,139	—	-50
Staff Services Analyst-Gen	—	—	-3.0	2,197-3,430	—	-122
Bus Serv Off I-Spec	—	—	-1.0	2,853-3,430	—	-41
Legal Support Supvr I	—	—	-1.0	2,538-3,401	—	-41
Exec Asst	—	—	-1.0	2,538-3,401	—	-40
Pers Services Spec II	—	—	-1.0	2,485-3,021	—	-36
Sr Typist-Legal	—	—	-3.5	1,999-2,993	—	-121
Info Systems Techn	—	—	-2.0	1,934-2,725	—	-64
Accountant I-Spec	—	—	-1.0	2,239-2,664	—	-32
Mgmt Services Techn	—	—	-2.0	1,946-2,611	—	-63
Acctg Techn	—	—	-1.0	2,038-2,477	—	-30
Ofc Techn-Typ	—	—	-11.0	2,038-2,477	—	-322
Word Processing Techn	—	—	-3.0	1,760-2,298	—	-83
Ofc Asst-Typ	—	—	-5.5	1,656-2,138	—	-132
Bank Examiner IV-Supvr	—	—	-1.0	4,555-6,048	—	-70
Supvng Bank Examiner	—	—	-7.0	5,283-5,824	—	-489
Bank Examiner IV-Spec	—	—	-44.0	4,337-5,760	—	-2,763
Bank Examiner	—	—	-70.0	2,393-4,765	—	-3,482
Subtotals, Positions Transferred	—	—	-187.0	—	—	-\$9,997
Totals, Adjustments	—	—	-187.0	—	—	-\$10,020
TOTALS, SALARIES AND WAGES	200.9	187.0	—	\$10,488	\$9,923	—

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS

The Department of Financial Institutions was established by Chapter 1064 (Statutes of 1996), effective July 1, 1997. This bill consolidates the regulation of depository institutions including commercial banks, savings associations, credit unions, industrial loan companies, and certain other providers of financial services in the Department of Financial Institutions. The State Banking Department and the Department of Savings and Loan were abolished effective June 30, 1997 and all of their functions transferred to this new Department. The functions at the Department of Corporations regulating credit unions and industrial loan companies have also been transferred to this Department. In addition to the regulation of these depository corporations, the Department will license and regulate issuers of payment instruments, including companies licensed to sell money orders and/or traveler checks or licensed to engage in the business of transmitting money abroad, and business and industrial development corporations. The Department will also certify securities for the State of California and municipalities and other government agencies with the State of California as legal investments. The Commissioner of the Department of Financial Institutions also serves as the administrator of local agency security.

This consolidation of the regulation of depository institutions is consistent with state policy to make government more efficient. The Department of Financial Institutions is expected to provide the highest quality regulation and supervision of the financial services industry while not overburdening the industry with the cost of regulation. The programs of the Department will be supported by assessment of the various industries, license and application fees, and charges for various other services.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

SUMMARY OF PROGRAM

REQUIREMENTS

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
10 Licensing and Supervision of Banks and Trust Companies	—	—	124.2	—	—	\$14,958
20 Payment Instruments	—	—	7.0	—	—	515
30 Certification of Securities	—	—	0.1	—	—	10
40 Administration of Local Agency Security	—	—	2.0	—	—	127
50 Supervision of California Business and Industrial Development Corporation	—	—	0.3	—	—	28
60 Credit Unions	—	—	27.8	—	—	2,335
70 Savings and Loan	—	—	2.5	—	—	220
80 Industrial Loan Companies	—	—	13.1	—	—	1,111
90 Administration	—	—	49.0	—	—	3,631
Distributed Administration	—	—	—	—	—	-3,631
TOTALS, PROGRAMS	—	—	226.0	—	—	\$19,304
0240 Local Agency Deposit Fund	—	—	—	—	—	127
0298 Financial Institutions Fund	—	—	—	—	—	16,683
0299 Credit Union Fund	—	—	—	—	—	2,335
0995 Reimbursements	—	—	—	—	—	159

10 LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES

Program Objectives Statement

The primary objectives of this program are: (1) to protect the public from economic losses that often results from bank and trust company failures without depriving the public of reasonably priced, convenient banking and trust services and (2) to guard against the damaging ripple effect on smaller financial institutions often associated with the failure of a bank or trust company.

Authority

California Financial Code, Division 1, Chapters 3, 4, 10, 12, 13.5, 15, 16, 17, 19, and 21 (Banking Law).

20 PAYMENT INSTRUMENT

Program Objectives Statement

The primary objective of this program is to protect the public from economic loss resulting from the failure of a company licensed either to sell payment instrument (money orders) or travelers checks, or licensed to engage in the business of transmitting money abroad. The program ensures that business is being conducted in a financially sound manner by conducting periodic examinations of these facilities and by requiring and analyzing specific reports. In addition, licenses are issued to persons engaged in the business of receiving money for the purpose of transmitting the same or its equivalent to foreign countries, and licenses are issued to persons engaged in the business of issuing travelers checks or engaged in the business of selling money orders. In order to protect the public, a thorough investigation of each applicant is conducted before the license is issued.

Authority

California Financial Code, Division 1, Chapter 14, 14A, Division 16, Chapters 1-11.

30 CERTIFICATION OF SECURITIES

Program Objectives Statement

This program (1) issues certificates of eligibility for obligations of the State of California and its subdivisions to protect the public from investing in securities that fail to meet specified standards established by statute; (2) assures that adequate security is being held by the State Treasurer for the faithful performance and execution of all court and private trust accepted by trust companies and trust department of banks and (3) assures the faithful performance of a transmitter's obligations.

Authority

California Financial Code, Division 1, Chapter 10, Section 1371, Chapter 12, Article 3 (Banking Law).

40 ADMINISTRATION OF LOCAL AGENCY SECURITY

Program Objectives Statement

The Commissioner of Department of Financial Institutions is the administrator of local agency security who, utilizing a pool concept, acts as an agent for approximately 1,500 local treasurers in verifying the amount and quality of collateral pledged to secure deposits of public funds made by local agencies. The Commissioner also has the responsibility of administering local agency security for savings and loans and credit unions.

Authority

California Government Code, Title 5, Division 2, Part 1, Chapter 4, Article 2.

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued**50 SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS****Program Objectives Statement**

The primary objective of this program is to license and regulate nonfiduciary business and industrial development corporations. The program ensures that business is conducted in a financially sound manner by conducting periodic examinations of these corporations and by requiring and analyzing specific reports. In addition, the department licenses corporations operating as business and industrial development corporations. Certain Federal programs, most notably the Small Business Administration's Section 7A Loan Guarantee Program, require a lender to be a licensed and regulated financial institution to qualify for guarantees. By licensing and regulating business and industrial development corporations, this program ensures that these corporations qualify for loan guarantees.

Authority

California Financial Code, Division 15.

60 CREDIT UNIONS**Program Objectives Statement**

The primary purpose of the credit union program is to administer and enforce the provisions of the Financial Code relating to credit unions. These responsibilities include: reviewing of applications to determine the appropriateness of financial data and personnel; monitoring financial condition and operating procedures for statutory compliance through reporting and field examinations; and responding to public inquiries for information and assistance. A credit union is a cooperative, organized for the purposes of promoting thrift and savings among its members, creating a source of credit for them.

Authority

California Financial Code, Division 5, Sections 14000 through 16154.

70 SAVINGS AND LOAN**Program Objectives Statement**

The principal objectives of the Savings and Loan Program are to assure compliance by savings associations with laws and regulations including those involving consumer protection and anti-discrimination, and to assure the continued financial growth of these associations consistent with public need and convenience.

Authority

California Financial Code, Division 2, Sections 5000 through 11709.

80 INDUSTRIAL LOAN COMPANIES**Program Objectives Statement**

The primary purpose of the industrial loan program is to administer and enforce the provisions of the Financial Code relating to industrial loan companies. This includes the processing of applications and amendments for industrial loan companies and conducting examinations to determine current and future safety and soundness. Industrial loan companies serve a wide range of customers for both consumer and commercial loans. Most industrial loan companies specialize in only a few specific areas of lending.

Authority

California Financial Code, Division 7, Sections 18000 through 18643.

90 ADMINISTRATION**Program Objectives Statement**

The principal responsibilities of the Administration Program are to provide services essential for the administration of the Department and its programs. Services provided include executive and administrative services, legal and legislative services and policy and information services.

Authority

California Financial Code, Division 1, Chapter 2, Article 4 (Banking Law).

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****10 LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES**

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
State Operations:			
0298 <i>Financial Institutions Fund (Banking Account)</i>	—	—	\$14,799
0995 <i>Reimbursements</i>	—	—	159
Totals, State Operations	—	—	\$14,958

* Dollars in thousands.

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

ELEMENT REQUIREMENTS

	1995-96*	1996-97*	1997-98*
10.10 Investigation of Applications for New Facilities	—	—	\$250
State Operations:			
0298 Financial Institutions Fund (Banking Account)	—	—	250
10.20 Continuing Supervision of Existing Banking Facilities	—	—	14,228
State Operations:			
0298 Financial Institutions Fund (Banking Account)	—	—	14,199
0995 Reimbursements	—	—	29
10.30 Continuing Supervision of Trust Facilities	—	—	480
State Operations:			
0298 Financial Institutions Fund (Banking Account)	—	—	350
0995 Reimbursements	—	—	130

PROGRAM REQUIREMENTS

20 PAYMENT INSTRUMENT	—	—	\$515
State Operations:			
0298 Financial Institutions Fund (Banking Account)	—	—	515

PROGRAM REQUIREMENTS

30 CERTIFICATION OF SECURITIES	—	—	\$10
State Operations:			
0298 Financial Institutions Fund (Banking Account)	—	—	10

PROGRAM REQUIREMENTS

40 ADMINISTRATION OF LOCAL AGENCY SECURITY	—	—	\$127
State Operations:			
0240 Local Agency Deposit Fund	—	—	127

PROGRAM REQUIREMENTS

50 SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS	—	—	\$28
State Operations:			
0298 Financial Institutions Fund (Banking Account)	—	—	28

PROGRAM REQUIREMENTS

60 CREDIT UNIONS	—	—	\$2,335
State Operations:			
0299 Credit Union Fund	—	—	2,335

PROGRAM REQUIREMENTS

70 SAVINGS AND LOAN	—	—	\$220
State Operations:			
0298 Financial Institutions Fund (Savings and Loan Account)	—	—	220

PROGRAM REQUIREMENTS

80 INDUSTRIAL LOAN COMPANIES	—	—	\$1,111
State Operations:			
0298 Financial Institutions Fund (IL Account)	—	—	1,111

TOTALS, EXPENDITURES (State Operations)	—	—	\$19,304
---	---	---	----------

SUMMARY BY OBJECT

1 STATE OPERATIONS

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	—	—	—	—	—	—
Total Adjustments	—	—	234.0	—	—	\$12,431
Estimated Salary Savings	—	—	-8.0	—	—	-474
Net Totals, Salaries and Wages	—	—	226.0	—	—	\$11,957
Staff Benefits	—	—	—	—	—	3,120
Total, Personal Services	—	—	226.0	—	—	\$15,077
OPERATING EXPENSES AND EQUIPMENT	—	—	—	—	—	\$4,227
TOTALS, EXPENDITURES	—	—	—	—	—	\$19,304

* Dollars in thousands.

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0240 Local Agency Deposit Fund ^s

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
001 Budget Act appropriation (expenditures)	—	—	\$127

0298 Financial Institutions Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation (expenditures)	—	—	\$16,683

0299 Credit Union Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation (expenditures)	—	—	\$2,335

0995 Reimbursements

Reimbursements	—	—	\$159
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	—	—	\$19,304

FUND CONDITION STATEMENT

0240 Local Agency Deposit Fund

	1995-96*	1996-97*	1997-98*
BEGINNING BALANCE.....	\$114	\$48	\$21

REVENUES

Receipts:

Revenues:

121200 Other Regulatory Taxes	110	100	120
161400 Miscellaneous Revenue	1	—	—
Totals, Revenues.....	\$111	\$100	\$120
Totals, Resources	\$225	\$148	\$141

EXPENDITURES

2140 State Banking Department (State Operations)	177	127	—
2150 Department of Financial Institutions (State Operations)	—	—	127
Totals, Expenditures	\$177	\$127	\$127

FUND BALANCE.....	\$48	\$21	\$14
Reserve for economic uncertainties	48	21	14

0298 Financial Institutions Fund ¹

BEGINNING BALANCE.....	—	—	—
------------------------	---	---	---

REVENUES AND TRANSFERS

Receipts:

Revenues:

121200 Other regulatory taxes.....	—	—	\$11,800
125700 Other regulatory licenses and permits	—	—	1,343
141200 Sale of documents	—	—	7
150300 Income from surplus money investments	—	—	409
161400 Miscellaneous revenue	—	—	500
Totals, Revenues.....	—	—	\$14,059

Transfers from Other Funds:

F00136 State Banking Fund per Chapter 1064, Statutes of 1996	—	—	4,761
F00337 Savings Association Special Regulatory Fund, per Chapter 1064, Statutes of 1996	—	—	370
F00067 State Corporations Fund per Chapter 1064, Statutes of 1996	—	—	312

Totals, Transfers from Other Funds	—	—	\$5,443
Totals, Revenues and Transfers	—	—	\$19,502
Totals, Resources	—	—	\$19,502

* Dollars in thousands.

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

EXPENDITURES:	1995-96*	1996-97*	1997-98*
2150 Department of Financial Institutions (State Operations).....	—	—	\$16,683
FUND BALANCE.....	—	—	\$2,819
Reserve for economic uncertainties	—	—	2,819

¹ Includes the State Banking Account, Savings and Loan Account and Industrial Loan Account displayed separately for informational purposes following this statement.

0298 State Banking Account
(Informational Display)

BEGINNING BALANCE.....	—	—	—
REVENUES AND TRANSFERS			
Receipts:			
Revenues:			
121200 Other regulatory taxes.....	—	—	\$11,800
Assessment of banks	—	—	(11,550)
Assessment of payment instruments licenses.....	—	—	(250)
125700 Other regulatory licenses and permits.....	—	—	250
141200 Sales of documents	—	—	7
150300 Income from surplus money investments	—	—	350
161400 Miscellaneous Revenue	—	—	500
Totals, Revenues.....	—	—	\$12,907
Transfers from Other Funds:			
F00136 State Banking per Chapter 1064, Statutes of 1996	—	—	3,399
F00136 State Banking Fund per Section 12.20, Budget Act of 1996	—	—	1,362
Totals, Transfers.....	—	—	\$4,761
Totals, Revenues and Transfers	—	—	\$17,668
Totals, Resources	—	—	\$17,668

EXPENDITURES

Disbursements:			
2150 Department of Financial Institutions (State Operations)	—	—	15,352
FUND BALANCE.....	—	—	\$2,316
Reserve for economic uncertainties	—	—	2,316

0298 Savings and Loan Account
(Informational Display)

BEGINNING BALANCE.....	—	—	—
REVENUES AND TRANSFERS			
Receipts:			
Revenues:			
150300 Income from surplus money investments	—	—	\$25
Totals, Revenues.....	—	—	\$25
Transfers from Other Funds:			
F00337 Savings Association Special Regulatory Fund per Chapter 1064, Statutes of 1996.....	—	—	370
Totals, Revenues and Transfers.....	—	—	\$395
Totals, Resources	—	—	\$395

EXPENDITURES

2150 Department of Financial Institutions (State Operations).....	—	—	220
FUND BALANCE.....	—	—	\$175
Reserve for economic uncertainties	—	—	175

0298 Industrial Loan Account
(Informational Display)

BEGINNING BALANCE.....	—	—	—
REVENUES AND TRANSFERS			
Receipts:			
Revenues:			
125700 Other regulatory licenses and permits	—	—	\$1,093
150300 Income from surplus money investments	—	—	34
Transfers from Other Funds:			
F00067 State Corporations Fund per Chapter 1064, Statutes of 1996.....	—	—	312
Totals, Revenues and Transfers	—	—	\$1,439
Totals, Resources	—	—	\$1,439

* Dollars in thousands.

2150 DEPARTMENT OF FINANCIAL INSTITUTIONS—Continued

EXPENDITURES	1995-96*	1996-97*	1997-98*
2150 Department of Financial Institutions (State Operations).....	—	—	\$1,111
FUND BALANCE	—	—	\$328
Reserve for economic uncertainties	—	—	328
0299 Credit Union Fund			
BEGINNING BALANCE	—	—	—
REVENUES AND TRANSFERS			
Receipts:			
Revenues:			
125700 Other regulatory licenses and permits	—	—	2,250
142500 Miscellaneous services to the public	—	—	—
150300 Income from surplus money investments	—	—	80
Totals, Revenues.....	—	—	\$2,336
Transfers from Other Funds:			
F00067 State Corporations Fund per Chapter 1064, Statutes of 1996.....	—	—	678
Totals, Revenues and Transfers	—	—	\$3,014
Totals, Resources	—	—	\$3,014
EXPENDITURES			
2150 Department of Financial Institutions (State Operations).....	—	—	2,335
FUND BALANCE	—	—	\$679
Reserve for economic uncertainties	—	—	679

CHANGES IN**AUTHORIZED POSITIONS**

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Totals, Authorized Positions	—	—	—	—	—	—
Positions transferred from 2150—State Banking Department pursuant to Chapter 1064, Statutes of 1996	—	—	187.0	—	—	\$9,997
Positions transferred from 2180—Department of Corporations pursuant to Chapter 1064, Statutes of 1996	—	—	42.0	—	—	2,218
Proposed New Positions:				Salary Range		
Dep Commissioner (Credit Unions).....	—	—	1.0	\$6,698	—	81
Assoc Govtl Prog Analyst.....	—	—	2.0	3,430-4,139	—	82
Staff Services Analyst.....	—	—	1.0	2,197-3,430	—	26
Accountant I	—	—	1.0	2,239-2,664	—	27
Totals, New Positions	—	—	5.0	—	—	\$216
Totals, Adjustments	—	—	234.0	—	—	\$12,431
TOTALS, SALARIES AND WAGES	—	—	234.0	—	—	\$12,431

2180 DEPARTMENT OF CORPORATIONS

The principal objective of the Department of Corporations is to protect the public through administration and enforcement of the state's laws regulating health care plans, securities and franchise investment, and lenders and fiduciaries plans. Administration of these laws involves a variety of program activities including licensing, examination, and responding to public inquiries and complaints. Each program enforces the laws that it administers through administrative and civil actions.

SUMMARY OF PROGRAM**REQUIREMENTS**

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
10 Investment Program	137.3	151.8	149.1	\$12,099	\$13,486	\$13,446
20 Lender-Fiduciary Program.....	123.5	115.7	74.7	11,189	11,012	7,203
30 Health Care Program.....	75.4	84.5	83.8	7,443	8,883	8,867
50 Administration	47.8	56.8	53.8	2,978	3,985	3,862
Distributed Administration.....	—	—	—	-2,978	-3,985	-3,862
TOTALS, PROGRAMS	384.0	408.8	361.4	\$30,731	\$33,381	\$29,516
0067 State Corporations Fund				30,731	33,181	29,516
0995 Reimbursements				—	200	—

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2180 DEPARTMENT OF CORPORATIONS—Continued

10 INVESTMENT PROGRAM

Program Objectives Statement

The primary purposes of the Investment Program are to protect investors in securities and franchise investment transactions and to foster efficient capital formation in the state. The program qualifies the offer and sale of certain securities; registers the sale of franchises and licenses broker-dealers and investment advisors.

Major Budget Adjustments Included

- Transfers two positions effective January 1, 1997, from regulatory functions to enforcement activities within the securities program to support the department's commitment toward more pre-emptive and preventive actions and to address previously unaddressed priorities, such as affinity fraud and fraud on the Internet. The two positions were freed up through legislation (SB 1729 and SB 261) passed in 1996 that provided expanded filing exemptions.

Authority

Corporations Code, Section 29500 et seq.
Corporations Code, Sections 25000–25804, inclusive.
Corporations Code, Sections 31000–31516, inclusive.
California Administrative Code, Title 10, Sections 250.1–250.25, 260.000–260.617, 310.505; 350.000–350.541.1.

20 LENDER-FIDUCIARY PROGRAM

Program Objectives Statement

The primary purpose of the Lender-Fiduciary Program is to protect the public when borrowing and entering into other financial transactions with lenders and fiduciaries licensed by the Department. The program licenses and examines mortgage bankers, finance lenders and escrow companies.

Major Budget Adjustments Proposed for 1997–98

- A reduction of 1.0 position and \$85,000 from the State Corporations Fund in order to bring spending in line with projected revenue.
- A reduction of 46.6 positions and \$3.874 million from the State Corporations Fund to reflect the transfer of the Credit Union and Industrial Loan regulatory programs to the new Department of Financial Institutions, as required by AB 3351, Chapter 1064, Statutes of 1996.

Authority

Financial Code, Part 5, Divisions 3, 5, 6, 7, 9, 10, 11, 20. California Administrative Code, Title 10, Sections 900–997, 1100–1299, 1400–1570, 1700–1805.
Business and Professions Code, Section 17750 et seq.

30 HEALTH CARE PROGRAM

Program Objectives Statement

The primary purpose of the Health Care Program is to assure the accessibility and availability of medically necessary health care, delivered with appropriate quality of care oversight, to the public through financially sound managed care plans. The program licenses health care service plans and conducts routine financial and medical surveys.

Major Budget Adjustments Proposed for 1997–98

- A net reduction of \$122,000 from the State Corporations Fund to reflect the actual workload in addressing health care service plan enrollee complaints in the Consumer Services Unit (800 Number).
- A one-time augmentation of \$300,000 in spending authority and 3.3 net positions to fund the continuation of the Governor's Task Force on Health Care Service Plans through December 31, 1997. (Chapter 815/1996.)
- An augmentation of \$142,000 to the State Corporations Fund to fund the higher than predicted costs associated with completing medical surveys within the time period prescribed by law.

Authority

Health and Safety Code 1340–1399.64 inclusive. Labor Code, Division 4, Part 3.2, Sections 5150 to 5210 inclusive.

50 ADMINISTRATION

Program Objectives Statement

The primary purpose of this program is to provide executive leadership and direction to the department. It also provides the budgeting, accounting, personnel, business and information technology services required to support the programmatic responsibilities of the department.

PROGRAM BUDGET DETAIL

	1995–96*	1996–97*	1997–98*
10 INVESTMENT PROGRAM	\$12,099	\$13,486	\$13,446
State Operations:			
0067 State Corporations Fund	12,099	13,486	13,446
10.10 Corporate Securities Law	8,907	9,603	9,628
State Operations:			
0067 State Corporations Fund	8,907	9,603	9,628

* Dollars in thousands.

2180 DEPARTMENT OF CORPORATIONS—Continued

	1995-96*	1996-97*	1997-98*
10.15 Broker/Dealers (Formerly included in 10.30).....	—	\$1,756	\$1,691
State Operations:			
0067 State Corporations Fund	—	1,756	1,691
10.25 Investment Advisors (Formerly included in 10.30)	—	870	846
State Operations:			
0067 State Corporations Fund	—	870	846
10.30 Securities Professionals	\$2,188	—	—
State Operations:			
0067 State Corporations Fund	2,188	—	—
10.35 Agent Monitoring Law	—	301	282
State Operations:			
0067 State Corporations Fund	—	301	282
10.40 California Commodity Law	59	—	—
State Operations:			
0067 State Corporations Fund	59	—	—
10.55 California Commodity Law	—	63	92
State Operations:			
0067 State Corporations Fund	—	63	92
10.65 Franchise Investment Law (Formerly 10.20 Franchises)	945	893	907
State Operations:			
0067 State Corporations Fund	945	893	907
20 LENDER-FIDUCIARY PROGRAM	\$11,189	\$11,012	\$7,203
State Operations:			
0067 State Corporations Fund	11,189	11,012	7,203
20.10 Check Sellers, Bill Payers and Proraters	65	19	19
State Operations:			
0067 State Corporations Fund	65	19	19
20.20 Credit Union Law	3,116	2,707	—
State Operations:			
0067 State Corporations Fund	3,116	2,707	—
20.30 Escrow Law	2,657	2,351	2,289
State Operations:			
0067 State Corporations Fund	2,657	2,351	2,289
20.40 Industrial Loan Law	1,491	1,247	—
State Operations:			
0067 State Corporations Fund	1,491	1,247	—
20.60 Trading Stamp Law.....	1	—	—
State Operations:			
0067 State Corporations Fund	1	—	—
20.90 California Finance Lenders Law	3,371	3,696	3,805
State Operations:			
0067 State Corporations Fund	3,371	3,696	3,805
20.95 Mortgage Bankers Law	488	992	1,090
State Operations:			
0067 State Corporations Fund	488	992	1,090
30 HEALTH CARE PROGRAM	\$7,443	\$8,883	\$8,867
State Operations:			
0067 State Corporations Fund	7,443	8,883	8,867
30.10 Health Care Service Plans	7,331	8,658	8,867
State Operations:			
0067 State Corporations Fund	7,331	8,658	8,867
30.20 Workers' Compensation	112	225	—
State Operations:			
0067 State Corporations Fund	112	225	—
TOTALS, EXPENDITURES (State Operations).....	\$30,731	\$33,381	\$29,516

SUMMARY BY OBJECT

1 STATE OPERATIONS

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
PERSONAL SERVICES						
Authorized positions (Equals Sch. 7A)	384.0	435.6	435.6	\$18,923	\$20,636	\$20,883
Total Adjustments	—	3.3	-45.3	—	129	-2,368
Estimated Salary Savings	—	-30.1	-28.9	—	-1,147	-1,072
Net Totals, Salaries and Wages	384.0	408.8	361.4	\$18,923	\$19,618	\$17,443
Staff Benefits	—	—	—	4,921	5,637	4,803
Totals, Personal Services	384.0	408.8	361.4	\$23,844	\$25,255	\$22,246

* Dollars in thousands.

2180 DEPARTMENT OF CORPORATIONS—Continued

	1995-96*	1996-97*	1997-98*
OPERATING EXPENSES AND EQUIPMENT	\$6,887	\$7,635	\$7,004
SPECIAL ITEMS OF EXPENSE			
Workers' Compensation Program Reserve	—	225	—
Medi-Cal Managed Care Program Reserve	—	266	266
TOTALS, EXPENDITURES	\$30,731	\$33,381	\$29,516

RECONCILIATION WITH APPROPRIATIONS STATE OPERATIONS

0067 State Corporations Fund ^s

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
001 Budget Act appropriation	\$33,513	\$32,783	\$29,516
005 Budget Act appropriation (Workers' Compensation)	450	—	—
Chapter 789, Statutes of 1995	1,444	—	—
Adjustment per Section 3.60	518	173	—
Transfer to Legislative Claims (9670)	-2	—	—
Prior year balance available:			
Item 2180-005-067, Budget Act of 1995 as reappropriated by Item 2180-490,			
Budget Act of 1996	—	225	—
Chapter 789, Statutes of 1995	—	25	25
Totals Available	\$35,923	\$33,206	\$29,541
Balance available in subsequent years	-250	-25	-25
Unexpended balance, estimated savings	-4,942	—	—
TOTALS, EXPENDITURES	\$30,731	\$33,181	\$29,516
0995 Reimbursements			
Reimbursements	—	\$200	—
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$30,731	\$33,381	\$29,516

FUND CONDITION STATEMENT

0067 State Corporations Fund

BEGINNING BALANCE	1995-96*	1996-97*	1997-98*
Prior year adjustment	\$16,842	\$19,446	\$17,427
Balance, Adjusted	376	—	—
	\$17,218	\$19,446	\$17,427
REVENUES AND TRANSFERS			
Receipts:			
Revenues:			
125700 Other regulatory licenses and permits	31,975	29,824	24,749
141200 Sales of documents	33	33	33
142500 Miscellaneous services to the public	34	33	27
150300 Income from surplus money investments	887	770	668
161000 Escheat of unclaimed checks	10	—	—
161900 Other revenue, cost recoveries	2	316	2
164300 Penalties and assessments	18	186	—
164400 Civil and criminal violation assessments	2	—	—
Totals, Revenues	\$32,961	\$31,162	\$25,479
Transfers to Other Funds:			
T00299 Credit Union Fund per Chapter 1064, Statutes of 1996	—	—	-678
T00298 Financial Institutions Fund	—	—	-312
Totals, Transfers	—	—	-\$990
Totals, Revenues and Transfers	\$32,961	\$31,162	\$24,489
Totals, Resources	\$50,179	\$50,608	\$41,916
EXPENDITURES			
Disbursements:			
2180 Department of Corporations (State Operations)	30,731	33,381	29,516
9670 Legislative Claims (State Operations)	2	—	—
Totals, Disbursements	\$30,733	\$33,181	\$29,516
FUND BALANCE	\$19,446	\$17,427	\$12,400
Reserve for economic uncertainties	19,446	17,427	12,400

* Dollars in thousands.

2180 DEPARTMENT OF CORPORATIONS—Continued

0323 Commodity Merchant Account				1995-96*	1996-97*	1997-98*
BEGINNING BALANCE.....				\$38	\$38	\$38
FUND BALANCE.....				\$38	\$38	\$38
Reserve for economic uncertainties				38	38	38
CHANGES IN						
AUTHORIZED POSITIONS						
Totals, Authorized Positions	384.0	435.6	435.6	\$18,923	\$20,636	\$20,883
Workload and Administrative Adjustments:						
Positions Established:				Salary Range		
C.E.A. III.....	—	0.5	—	6,698–7,384	44	—
Research Analyst II	—	0.5	—	3,602–4,346	26	—
Ofc Techn	—	0.5	—	2,038–2,477	15	—
Ofc Asst	—	0.5	—	1,656–2,138	12	—
Temporary Help	—	1.3	—	—	32	—
Totals, Positions Established.....	—	3.3	—	—	\$129	—
Reductions in Authorized Positions:						
Corporations Counsel	—	—	–1.0	3,200–6,043	—	–40
Corporations Examiner IV/Supvr	—	—	–1.0	4,555–6,048	—	–66
Corporations Examiner	—	—	–0.6	3,127–4,765	—	–31
Assoc Pers Analyst.....	—	—	–1.0	3,430–4,139	—	–41
Assoc Hlth Care Plan Analyst	—	—	–1.0	3,420–4,139	—	–41
Info Sys Tech Spec I.....	—	—	–1.0	2,725–3,275	—	–39
Consumer Services Rep	—	—	–1.0	2,664–3,200	—	–38
Ofc Asst-Typ.....	—	—	–1.0	1,656–2,012	—	–21
Totals, Workload and Administrative Adjustments.....	—	3.3	–7.6	—	\$129	–\$317
Positions Transferred to the Department of Financial Institutions pursuant to Chapter 1064, Statutes of 1996:						
Chief Examiner (C.E.A. Level I)	—	—	–1.0	4,811–9,732	—	–73
Suprvr Corporations Examiner	—	—	–2.0	5,283–5,924	—	–140
Corporations Examiner IV (Supvr)	—	—	–6.0	4,555–6,048	—	–386
Corporations Examiner IV (Spec)	—	—	–3.0	4,337–5,760	—	–188
Corporations Examiner	—	—	–25.0	3,127–4,765	—	–1,276
Assoc Property Appraiser.....	—	—	–1.0	3,430–4,139	—	–50
Word Proc Techn	—	—	–1.0	1,760–2,298	—	–28
Ofc Techn	—	—	–1.0	2,038–2,477	—	–26
Acct Clk II	—	—	–1.0	1,826–2,221	—	–26
Ofc Asst-Typ.....	—	—	–1.0	1,656–2,012	—	–25
Totals, Positions Transferred.....	—	—	–42.0	—	—	–\$2,218
Proposed New Positions:						
C.E.A. III.....	—	—	0.5	6,698–7,384	—	44
Corporations Counsel	—	—	1.0	3,200–6,043	—	38
Research Analyst II	—	—	0.5	3,602–4,346	—	26
Ofc Techn	—	—	0.5	2,038–2,477	—	15
Ofc Asst	—	—	0.5	1,656–2,138	—	12
Temporary Help	—	—	1.3	—	—	32
Totals, Proposed New Positions.....	—	—	4.3	—	—	\$167
Totals Adjustments.....	—	3.3	–45.3	—	\$129	–\$2,368
TOTALS, SALARIES AND WAGES	384.0	438.9	390.3	\$18,923	\$20,765	\$18,515

2225 UNITARY FUND PROGRAMS

The Unitary Fund was established by Chapter 660, Statutes of 1986, to address some of the state's infrastructure and economic development needs. The Fund's revenue is derived from payments by banks and corporations that elected the "water's edge" method of taxation. Chapters 601 and 586, Statutes of 1990 stipulate that if pending litigation results in a finding that collection of the unitary fee is unconstitutional, then an appropriation is made to the Franchise Tax Board from the Unitary Fund and the General Fund to repay all election fees deposited in the Unitary Fund for which a court has ordered refunds.

Chapter 881, Statutes of 1993, abolished the Water's Edge Fees as of January 1, 1994. For corporations that elected the water's edge method prior to this legislation and whose fiscal year ended prior to January 1, 1994, the Water's Edge Election fee will be collected in the 1997–98 fiscal year. It is anticipated revenues will continue to be deposited in the Unitary Fund in 1996–97 until all remaining payments have been made, including delinquent payments and repayments as a result of audits. Although no revenues are anticipated to be collected in fiscal year 1997–98, to the extent that revenues are collected, the 1997–98 budget proposes to transfer all Unitary Fund revenues to the General Fund.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2225 UNITARY FUND PROGRAMS—Continued

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS
1 STATE OPERATIONS
0147 Unitary Fund^s

APPROPRIATIONS	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
Transfer to the General Fund per Section 11.61	(\$86)	(\$100)	—

FUND CONDITION STATEMENT

0147 Unitary Fund

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
BEGINNING BALANCE	—	—	—
REVENUES AND TRANSFERS			
Receipts:			
112000 Water's-edge election fee	\$86	\$100	—
Total Receipts	\$86	\$100	—
Transfers to Other Funds:			
T00001 General Fund per Control Section 11.61	—86	—100	—
Totals, Revenues and Transfers	—	—	—
FUND BALANCE	—	—	—

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT

The mission of the Department of Housing and Community Development is to expand housing opportunities for all Californians through actions and policies that increase housing affordability, support a vibrant economy and promote a safe and healthy living environment. To accomplish its mission, the department: (1) administers housing finance, economic development and rehabilitation programs with emphasis on meeting the needs of low-income and other disadvantaged groups and (2) analyzes and implements building codes and enforces construction standards for manufactured homes.

SUMMARY OF PROGRAM

REQUIREMENTS

	<i>95-96</i>	<i>96-97</i>	<i>97-98</i>	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
10 Codes and Standards Program	197.0	194.5	165.4	\$18,973	\$18,718	\$18,859
20 Community Affairs Program	164.3	161.7	135.0	145,183	143,686	130,511
30.01 Housing Policy Development						
Program	12.5	13.6	13.5	1,178	1,258	1,258
30.02 Distributed Housing Policy Development Program	—	—	—	—120	—122	—122
50.01 Administration Program	128.7	121.8	101.6	9,172	8,900	8,413
50.02 Distributed Administration	—	—	—	—9,172	—8,900	—8,413
TOTALS, PROGRAMS	502.5	491.6	415.5	\$165,214	\$163,540	\$150,506
69 Loan Repayments Program	—	—	—	—4,467	—3,690	—3,553
98 State-Mandated Local Programs	—	—	—	—	—	—
TOTALS, ADJUSTED PROGRAMS	502.5	491.6	415.5	\$160,747	\$159,850	\$146,953
0001 General Fund				10,025	10,861	11,373
0245 Mobilehome Park Revolving Fund				4,267	3,527	3,617
0530 Mobilehome Park Purchase Fund				3,130	4,788	3,275
Loan repayments from local agencies to Mobilehome Park Purchase Fund				—563	—560	—560
0635 Rural Predevelopment Loan Fund				2,446	1,002	835
Loan repayments from local agencies to Rural Predevelopment Loan Fund				—816	—557	—697
0648 Mobilehome-Manufactured Home Revolving Fund				13,139	13,200	13,241
0689 California Disaster Housing Repair Fund				2,231	—	—
0714 Home Building and Rehabilitation Fund				8,469	1,510	951
0788 California Earthquake Safety and Rehabilitation Bond Fund				3,025	—	1,184
0813 Self-Help Housing Fund				108	116	116
Loan repayments from local agencies to Self-Help Housing Fund				—152	—124	—137
0890 Federal Trust Fund				97,124	111,270	103,818
0927 Farmworker Housing Grant Fund				894	511	181
0929 Housing Rehabilitation Loan Fund				1,666	4,042	1,938
Loan repayments from local agencies to Housing Rehabilitation Loan Fund				—669	—1,130	—1,300
0936 Homeownership Assistance Fund				101	—	—
Loan repayments from local agencies to Homeownership Assistance Fund				—238	—	—

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

		<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
0938	<i>Rental Housing Construction Fund</i>	\$5,192	\$6,556	\$3,927
	Loan repayments from local agencies to Rental Housing Construction Fund	-211	-1,319	-859
0942	<i>Special Deposit Fund—Century Freeway Housing Program</i>	2,704	—	—
0942	<i>Special Deposit Fund—Office of Migrant Services</i>	2,270	2,307	2,445
0972	<i>Manufactured Home Recovery Fund</i>	369	641	641
0980	<i>Urban Predevelopment Loan Fund</i>	198	—	—
	Loan repayments from local agencies to Urban Predevelopment Loan Fund	-1,818	—	—
0985	<i>Emergency Housing Assistance Fund</i>	4,271	2,481	2,569
0995	<i>Reimbursements</i>	3,585	728	395

10 CODES AND STANDARDS PROGRAM**Program Objectives Statement**

The objectives of the Codes and Standards Program are to administer and enforce California Health and Safety Code provisions established to protect the public's health, safety and general welfare in buildings, structures and vehicles designed for human occupancy or habitation. The program has broad responsibility for administration and enforcement of the State Housing Law, Employee Housing Act and Mobilehome Parks Act, California Factory-Built Housing Law, and the Mobilehome-Manufactured Housing Act of 1980 as well as for enforcement of federal and State standards and regulations relating to the construction and safety of manufactured homes, mobilehomes, commercial coaches and recreational vehicles. The program: (1) establishes minimum standards for the design, construction, maintenance, use and occupancy of structures used for human occupancy; (2) reviews and adopts building code requirements to minimize housing costs, conserve existing housing stock and protect the public's welfare; (3) ensures that safe and sanitary housing is provided for occupants of farmworker housing and other employee housing; (4) protects the security of all parties in interest to the registration and titling of manufactured housing and (5) licenses the manufactured housing industry and enforces state laws governing manufactured housing sales practices.

Major Budget Adjustments Included for 1997-98

- A reduction of 43.5 expiring limited-term positions and redirection of \$2,824,000 (Mobilehome-Manufactured Home Revolving Fund) in personal services savings to vendor payments to continue reengineering/automation and service improvements in the Registration and Titling services system for manufactured homes. Consistent with the reduced staffing requirements resulting from these system improvements, an additional 10 positions were designated as two-year limited-term by the 1996 Budget Act, expiring June 30, 1998.

20 COMMUNITY AFFAIRS PROGRAM**Program Objectives Statement**

The objectives of the Community Affairs Program are to implement programs and policies which (1) assist in the development and preservation of affordable housing for low income persons and families and special needs populations; and (2) promote economic, community and job development. To accomplish these objectives, the Division of Community Affairs administers loan, grant and assistance programs including those which:

1. Produce new multi-family rental housing, affordable to low and very low income persons and families, by providing loans and grants for construction to local government, non-profit and for-profit developers and by providing housing assistance certificates and vouchers.
2. Develop rural communities, Indian reservations and rancherias and their economies through revitalization of neighborhoods, improvement of community facilities and infrastructure, housing rehabilitation and retention of jobs for low-income families.
3. Produce and rehabilitate affordable congregate and community housing developments that promote self-sufficiency through provision of child care, job training, employment services and elderly services.
4. Produce homeownership opportunities and reduce regulatory barriers to housing through the Building Equity and Growth in Neighborhoods (BEGIN) Program.
5. Produce and maintain temporary housing and support services for migrant agricultural workers and their families.
6. Produce multi-family and group home housing for disabled and disabled homeless persons through grants for construction, acquisition, rehabilitation and operation of multi-family and group home housing.
7. Produce homeownership opportunities for low and moderate income households through loans to mobilehome park resident organizations for park purchases.
8. Produce emergency shelter and emergency shelter assistance for the homeless through grants for acquiring, rehabilitating, renovating, expanding, equipping and operating emergency shelters and for shelter vouchers.
9. Protect low income residents of multi-family and single family housing from unhealthy or unsafe housing conditions through loans and grants for acquisition and rehabilitation of multi-family and single-family housing.
10. Protect over \$1.9 billion in state assets and preserve state assisted housing resources for use by low income persons and families by monitoring project management, controlling fund disbursements and repayments and managing resolution of properties which may become physically or fiscally deficient in the existing loan and grant portfolios.

Major Budget Adjustment Included for 1996-97

- A net reduction of -\$1,990,000 (Federal Trust Fund) with adjustments for the following programs: Office of Migrant Services (-\$14,533,000), Community Development Block Grant Program (\$4,332,000), Home Investment Partnerships Program (\$8,452,000), Federal Emergency Shelter Grant (-\$703,000) and Permanent Housing for Handicapped Homeless Program (\$462,000) to reflect revised estimates of funds available from the federal government for these programs..

Major Budget Adjustments Proposed for 1997-98

- \$2.5 million (General Fund) for reconstruction of migrant farmworker housing provided by the Office of Migrant Services (OMS).
- Continued funding of \$2,000,000 (Housing Trust Fund) for grants to develop and support emergency shelters for homeless persons and families.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

- Expiration of 8 limited-term positions (7.6 personnel years) and \$577,000 (State Operations, various special funds) due to completion of underwriting phase for various bond and special funded housing programs. Consistent with the completion of housing construction over the next fiscal year, an additional 3 limited-term positions were designated to expire June 30, 1998 by the 1996 Budget Act. By the close of 1995–96, a total of \$488.6 million in local assistance made available by Propositions 77, 84 and 107 was committed for production of over 11,000 units of affordable housing. The remaining \$2.0 million was committed in 1996–97. Therefore, the 1997–98 Budget reflects no local assistance for the bond funded programs (Fund 714—Home Building and Rehabilitation Fund and Fund 788—California Earthquake Safety and Rehabilitation Bond Fund).
- A reduction of \$1,959,000 to reflect expiration of 25 limited-term positions (23.7 personnel years) established on a two-year basis to reflect the implementation of a cost effective system for monitoring project management and preservation of the department's loan and grant portfolio.

30 HOUSING POLICY DEVELOPMENT PROGRAM**Program Objectives Statement**

The Housing Policy Development Program analyzes and formulates housing and growth management policy for the State, assists private and public housing providers, and implements state housing goals through the effective review of local general plan housing elements. The program researches and identifies the State's housing needs, prepares the Consolidated State/Federal Housing Plan which will bring an estimated \$100 million in federal funding for housing, community and economic development to the State annually.

50 ADMINISTRATION PROGRAM**Program Objectives Statement**

The Administration Program provides: 1) effective executive leadership in designing and implementing housing programs and policies; 2) fiduciary oversight and sound fiscal management through its audit, accounting and budget functions; 3) development, review and implementation of legislation affecting the department; 4) housing-specific legal expertise and 5) support services in the areas of personnel, data processing, business services and contract management.

Major Budget Adjustment Proposed for 1997–98

- \$286,000 and 7.8 positions to reflect a reduction in the Division of Administration commensurate with an overall reduction in department resources.

69 LOAN REPAYMENT PROGRAM**Program Objectives Statement**

The purpose of this program is to display the estimated loan repayments to the Department's special funds.

Authority

Health & Safety Code Sections 50000, et seq.

98 STATE-MANDATED LOCAL PROGRAM**Program Objectives Statement**

To reimburse councils of government and individual jurisdictions for preparation of the Regional Housing Needs Plans and other housing element mandates pursuant to Chapter 1143, Statutes of 1980. This mandate has been suspended since fiscal year 1992–93.

Authority

Government Code Sections 65580, et seq.

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****10 CODES AND STANDARDS PROGRAM**

	1995–96*	1996–97*	1997–98*
State Operations:			
0001 General Fund	\$981	\$975	\$985
0245 Mobilehome Park Revolving Fund	4,267	3,527	3,617
0648 Mobilehome-Manufactured Home Revolving Fund	13,139	13,200	13,241
0972 Manufactured Home Recovery Fund	247	241	241
0995 Reimbursements	217	375	375
Totals, State Operations	\$18,851	\$18,318	\$18,459
Local Assistance:			
0972 Manufactured Home Recovery Fund	122	400	400

PROGRAM REQUIREMENTS**20 COMMUNITY AFFAIRS PROGRAM**

State Operations:			
0001 General Fund	\$2,399	\$2,424	\$2,348
0530 Mobilehome Park Purchase Fund	725	731	575
0635 Rural Predevelopment Loan Fund	310	322	135

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

		1995-96*	1996-97*	1997-98*
0689	California Disaster Housing Repair Fund.....	\$1,143	(\$930)	(\$800)
0714	Homebuilding and Rehabilitation Fund.....	2,056	1,510	951
	(for Family Home Demonstration Program)	—	(109)	(75)
0788	California Earthquake Safety and Housing Rehabilitation Bond Account.....	1,479	—	1,184
0813	Self-Help Housing Fund	108	116	116
0890	Federal Trust Fund.....	4,506	4,949	4,818
0927	Farmworker Housing Grant Fund.....	587	511	181
0929	Housing Rehabilitation Loan Fund.....	1,122	4,042	1,938
0936	Homeownership Assistance Fund.....	96	(80)	(80)
0938	Rental Housing Construction Fund.....	720	937	652
0942	Special Deposit Fund—Century Freeway Housing Program	919	—	—
0980	Urban Predevelopment Loan Fund.....	198	(205)	—
0985	Emergency Housing Assistance Fund	599	561	569
0995	Reimbursements.....	177	200	20
	Totals, State Operations	\$17,144	\$16,303	\$13,487
	Local Assistance:			
0001	General Fund	5,587	6,326	6,904
0530	Mobilehome Park Purchase Fund	2,405	4,057	2,700
0635	Rural Predevelopment Loan Fund.....	2,136	680	700
0689	California Disaster Housing Repair Fund.....	1,088	—	—
0714	Homebuilding and Rehabilitation Fund.....	6,413	—	—
0788	California Earthquake Safety and Housing Rehabilitation Bond Account.....	1,546	—	—
0890	Federal Trust Fund.....	92,618	106,321	99,000
0927	Farmworker Housing Grant Fund.....	307	—	—
0929	Housing Rehabilitation Loan Fund.....	544	—	—
0936	Homeownership Assistance Fund.....	5	—	—
0938	Rental Housing Construction Fund.....	4,472	5,619	3,275
	(for Family Home Demonstration Program	—	(2,000)	—
0942	Special Deposit Fund—Office of Migrant Services	2,270	2,307	2,445
0942	Special Deposit Fund—Century Freeway Housing Program	1,785	—	—
0985	Emergency Housing Assistance Fund	3,672	1,920	2,000
0995	Reimbursements.....	3,191	153	—
	Totals, Local Assistance	\$128,039	\$127,383	\$117,024

PROGRAM REQUIREMENTS**30 HOUSING POLICY DEVELOPMENT PROGRAM**

	State Operations:			
0001	General Fund	\$1,058	\$1,136	\$1,136
	Distributed to Other Funds	120	122	122
	Totals, State Operations	\$1,178	\$1,258	\$1,258

PROGRAM REQUIREMENTS**69 LOAN REPAYMENTS PROGRAM**

0530	Mobilehome Park Purchase Fund	-\$563	-\$560	-\$560
0635	Rural Predevelopment Loan Fund.....	-816	-557	-697
0813	Self-Help Housing Fund	-152	-124	-137
0929	Housing Rehabilitation Loan Fund.....	-669	-1,130	-1,300
0936	Homeownership Assistance Fund.....	-238	—	—
0938	Rental Housing Construction Fund.....	-211	-1,319	-859
0980	Urban Predevelopment Loan Fund.....	-1,818	—	—

PROGRAM REQUIREMENTS**98 STATE-MANDATED LOCAL PROGRAMS**

	Local Assistance:			
	Ch. 1143/80—Regional Housing Needs Plan.....	—	—	—

TOTAL EXPENDITURES

	State Operations	\$37,053	\$35,757	\$33,082
	Local Assistance	123,694	124,093	113,871
	TOTALS, EXPENDITURES	\$160,747	\$159,850	\$146,953

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	PERSONAL SERVICES	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
	Authorized positions (Equals Sch. 7A)	502.5	563.5	487.0	\$21,447	\$23,255	\$21,024
	Total Adjustments	—	—	-12.8	—	—	-489

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	<i>95-96</i>	<i>96-97</i>	<i>97-98</i>	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
Estimated Salary Savings	—	-71.9	-58.7	—	-\$2,784	-\$3,078
Staff Benefits	—	—	—	\$6,266	6,064	5,187
Totals, Personal Services	502.5	491.6	415.5	\$27,713	\$26,535	\$22,644
OPERATING EXPENSES AND EQUIPMENT				\$9,340	\$9,222	\$10,438
TOTALS, EXPENDITURES				\$37,053	\$35,757	\$33,082

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

APPROPRIATIONS	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
001 Budget Act appropriation	\$4,424	\$4,498	\$4,469
Adjustment per Section 3.60	91	37	—
Reduction per Section 3.75	-22	—	—
Reduction per Section 3.90	-31	—	—
Transfer to Legislative Claims (9670)	-1	—	—
Totals Available	\$4,461	\$4,535	\$4,469
Unexpended balance, estimated savings	-23	—	—
TOTALS, EXPENDITURES	\$4,438	\$4,535	\$4,469

0245 Mobilehome Park Revolving Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$4,060	\$4,197	\$3,617
Allocation for contingencies or emergencies	300	—	—
Adjustment per Section 3.60	74	23	—
Totals Available	\$4,434	\$4,220	\$3,617
Unexpended balance, estimated savings	-167	-693	—
TOTALS, EXPENDITURES	\$4,267	\$3,527	\$3,617

0530 Mobilehome Park Purchase Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$719	\$731	\$575
Adjustment per Section 3.60	13	—	—
Totals Available	\$732	\$731	\$575
Unexpended balance, estimated savings	-7	—	—
TOTALS, EXPENDITURES	\$725	\$731	\$575

0635 Rural Predevelopment Loan Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$322	\$322	\$135
Unexpended balance, estimated savings	-12	—	—
TOTALS, EXPENDITURES	\$310	\$322	\$135

0648 Mobilehome Manufactured Home Revolving Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$12,912	\$13,435	\$13,241
Adjustment per Section 3.60	236	20	—
Totals Available	\$13,148	\$13,455	\$13,241
Unexpended balance, estimated savings	-9	-255	—
TOTALS, EXPENDITURES	\$13,139	\$13,200	\$13,241

0689 California Disaster Housing Repair Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$1,146	—	—
Unexpended balance, estimated savings	-3	—	—
TOTALS, EXPENDITURES	\$1,143	—	—

0697 Family Housing Demonstration Account ⁿ

APPROPRIATIONS			
Health and Safety Code Section 50882	\$173	—	—
Less funding provided by Home Building and Rehabilitation Fund per Chapters 30 and 48, Statutes of 1988 (Proposition 84)	-173	—	—
TOTALS, EXPENDITURES	—	—	—

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued**0714 Home Building and Rehabilitation Fund^b**

1995-96*

1996-97*

1997-98*

APPROPRIATIONS

Prior year balances available:

Chapters 30 and 48, Statutes of 1988 (transfer from Local Assistance for transfer to):

Family Housing Demonstration Account (0697).....

\$173

—

—

Housing Rehabilitation Loan Fund (0929).....

74

—

\$42

Rental Housing Construction Fund (0938).....

1,809

\$1,510

909

TOTALS, EXPENDITURES

\$2,056

\$1,510

\$951

0788 California Earthquake Safety and Housing Rehabilitation Bond Account^b

APPROPRIATIONS

Prior year balances available:

Chapter 27, Statutes of 1988 (transfer from Local Assistance for transfer to Housing Rehabilitation Loan Fund—0929) (expenditures)

\$1,479

—

\$1,184

0813 Self-Help Housing Fundⁿ

APPROPRIATIONS

001 Budget Act appropriation.....

\$116

\$116

\$116

Unexpended balance, estimated savings

—8

—

—

TOTALS, EXPENDITURES

\$108

\$116

\$116

0890 Federal Trust Fund^f

APPROPRIATIONS

001 Budget Act appropriation.....

\$3,697

\$4,774

\$4,818

Adjustment per Section 3.60

68

27

—

Budget adjustment.....

741

148

—

TOTALS, EXPENDITURES

\$4,506

\$4,949

\$4,818

0927 Farmworker Housing Grant Fundⁿ

APPROPRIATIONS

Health and Safety Code Section 50517.5 (expenditures).....

\$587

\$511

\$181

0929 Housing Rehabilitation Loan Fundⁿ

APPROPRIATIONS

001 Budget Act appropriation.....

\$1,068

\$2,292

\$1,938

Health and Safety Code Section 50661

1,553

1,741

1,226

Adjustment per Section 3.60

55

9

—

Totals Available

\$2,676

\$4,042

\$3,164

Unexpended balance, estimated savings

—1

—

—

TOTALS, EXPENDITURES

\$2,675

\$4,042

\$3,164

Less funding provided by California Earthquake Safety and Housing Rehabilitation Bond Account (0788)

—1,479

—

—1,184

Less funding provided by Home Building and Rehabilitation Fund (0714)

—74

—

—42

NET TOTALS, EXPENDITURES

\$1,122

\$4,042

\$1,938

0936 Homeownership Assistance Fundⁿ

APPROPRIATIONS

001 Budget Act appropriation.....

\$98

—

—

Unexpended balance, estimated savings

—2

—

—

TOTALS, EXPENDITURES

\$96

—

—

0938 Rental Housing Construction Fundⁿ

APPROPRIATIONS

001 Budget Act appropriation.....

\$711

\$928

\$652

Health and Safety Code Section 50740

1,809

1,510

909

Adjustment per Section 3.60

13

9

—

Totals Available

\$2,533

\$2,447

\$1,561

Unexpended balance, estimated savings

—4

—

—

TOTALS, EXPENDITURES

\$2,529

\$2,447

\$1,561

Less funding provided by Home Building and Rehabilitation Loan Fund (0714)

—1,809

—1,510

—909

NET TOTALS, EXPENDITURES

\$720

\$937

\$652

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

0942 Century Freeway Housing Program, Special Deposit Fund ⁿ		1995-96*	1996-97*	1997-98*
APPROPRIATIONS				
001 Budget Act appropriation.....		\$3,087	—	—
Unexpended balance, estimated savings		<u>-2,168</u>	<u>—</u>	<u>—</u>
TOTALS, EXPENDITURES		<u>\$919</u>	<u>—</u>	<u>—</u>
0972 Manufactured Home Recovery Fund ⁿ				
APPROPRIATIONS				
Health and Safety Code Section 18070.6 (expenditures).....		\$247	\$241	\$241
0980 Urban Predevelopment Loan Fund ⁿ				
APPROPRIATIONS				
001 Budget Act appropriation.....		\$206	—	—
Unexpended balance, estimated savings		<u>-8</u>	<u>—</u>	<u>—</u>
TOTALS, EXPENDITURES		<u>\$198</u>	<u>—</u>	<u>—</u>
0985 Emergency Housing Assistance Fund ⁿ				
APPROPRIATIONS				
001 Budget Act appropriation.....		\$588	\$561	\$569
Adjustment per Section 3.60		<u>11</u>	<u>—</u>	<u>—</u>
TOTALS, EXPENDITURES		<u>\$599</u>	<u>\$561</u>	<u>\$569</u>
0995 Reimbursements				
Reimbursements		\$394	\$575	\$395
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)		<u>\$37,053</u>	<u>\$35,757</u>	<u>\$33,082</u>

SUMMARY BY OBJECT

2 LOCAL ASSISTANCE

		1995-96*	1996-97*	1997-98*
661701	Grants and Subventions	\$107,767	\$117,427	\$110,749
664731	Loans	20,394	10,356	6,675
669791	Special adjustment—loan repayments	<u>-4,467</u>	<u>-3,690</u>	<u>-3,553</u>
TOTALS, EXPENDITURES		<u>\$123,694</u>	<u>\$124,093</u>	<u>\$113,871</u>

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

		1995-96*	1996-97*	1997-98*
APPROPRIATIONS				
101 Budget Act appropriation.....		\$4,204	\$4,404	\$4,404
102 Budget Act appropriation (Office of Migrant Services).....		1,400	922	2,500
103 Budget Act appropriation (Self-Help Housing Program).....		—	1,000	—
295 Budget Act appropriation (State Mandates)		<u>—</u>	<u>—</u>	<u>—</u>
Totals Available		<u>\$5,604</u>	<u>\$6,326</u>	<u>\$6,904</u>
Unexpended balance, estimated savings		<u>-17</u>	<u>—</u>	<u>—</u>
TOTALS, EXPENDITURES		<u>\$5,587</u>	<u>\$6,326</u>	<u>\$6,904</u>
0530 Mobilehome Park Purchase Fund ⁿ				
APPROPRIATIONS				
Health and Safety Code Section 50782.....		\$2,405	\$4,057	\$2,700
Loan repayments from local agencies.....		<u>-563</u>	<u>-560</u>	<u>-560</u>
TOTALS, EXPENDITURES		<u>\$1,842</u>	<u>\$3,497</u>	<u>\$2,140</u>
0635 Rural Predevelopment Loan Fund ⁿ				
APPROPRIATIONS				
Health and Safety Code Section 50516.....		\$2,136	\$680	\$700
Loan repayments from local agencies.....		<u>-816</u>	<u>-557</u>	<u>-697</u>
TOTALS, EXPENDITURES		<u>\$1,320</u>	<u>\$123</u>	<u>\$3</u>

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued**0689 California Disaster Housing Repair Fund ⁿ**

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
111 Budget Act appropriation (transfer to the General Fund)	(\$8,000)	(\$5,800)	—
Health and Safety Code Section 50661.5	1,088	—	—
TOTALS, EXPENDITURES	\$1,088	—	—

0714 Home Building and Rehabilitation Fund ^b

APPROPRIATIONS			
Prior year balances available:			
Chapters 30 and 48, Statutes of 1988 (Proposition 84, transfer to various funds).	\$16,697	\$10,905	\$9,395
Transfer to State Operations	-2,057	-1,510	-951
Chapters 30 and 48, Statutes of 1988 (Proposition 107, transfer to various funds)	16,626	13,948	13,948
Totals Available	\$31,266	\$23,343	\$22,392
Balance available in subsequent years	-24,853	-23,343	-22,392
TOTALS, EXPENDITURES	\$6,413	—	—

0788 California Earthquake Safety and Housing Rehabilitation Bond Account ^b

APPROPRIATIONS			
Prior year balances available:			
Chapter 27, Statutes of 1988 (for transfer to Housing Rehabilitation Loan Fund—0929)	\$8,905	\$5,880	\$5,880
Transfer to State Operations	-1,479	—	-1,184
Totals Available	\$7,426	\$5,880	\$4,696
Balance available in subsequent years	-5,880	-5,880	-4,696
TOTALS, EXPENDITURES	\$1,546	—	—

0813 Self-Help Housing Fund ⁿ

APPROPRIATIONS			
101 Budget Act appropriation	—	\$1,000	—
Transfer to the General Fund per Chapter 702, Statutes of 1992	(\$300)	—	—
Loan repayments from local agencies	-152	-124	-\$137
Less funding provided by the General Fund	—	-1,000	—
TOTALS, EXPENDITURES	-\$152	-\$124	-\$137

0843 California Housing Trust Fund ⁿ

APPROPRIATIONS			
101 Budget Act appropriation (transfer to Emergency Housing Assistance Fund (0985)) (expenditures)	(\$2,000)	(\$2,000)	(\$2,143)

0890 Federal Trust Fund

APPROPRIATIONS			
101 Budget Act appropriation	\$85,116	\$108,311	\$99,000
Budget adjustment	7,502	-1,990	—
TOTALS, EXPENDITURES	\$92,618	\$106,321	\$99,000

0927 Farmworker Housing Grant Fund ⁿ

APPROPRIATIONS			
Health and Safety Code Section 50517.5 (expenditures)	\$307	—	—

0929 Housing Rehabilitation Loan Fund ⁿ

APPROPRIATIONS			
Health and Safety Code Section 50661	\$8,503	—	—
Loan repayments from local agencies	-669	-\$1,130	-\$1,300
Less funding provided by Home Building and Rehabilitation Fund (0714)	-6,413	—	—
Less Funding provided by California Earthquake Safety and Housing Rehabilitation Bond Act (0788)	-1,546	—	—
TOTALS, EXPENDITURES	-\$125	-\$1,130	-\$1,300

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

0936 Homeownership Assistance Fund ⁿ

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
Health and Safety Code Section 50778	\$5	—	—
Transfer to the General Fund per Chapter 702, Statutes of 1992	(1,500)	—	—
Loan repayments from local agencies	-238	—	—
TOTALS, EXPENDITURES	<u>-233</u>	<u>—</u>	<u>—</u>

0938 Rental Housing Construction Fund ⁿ

APPROPRIATIONS			
Health and Safety Code Section 50740	\$4,472	\$5,619	\$3,275
Loan repayments from local agencies	-211	-1,319	-859
TOTALS, EXPENDITURES	<u>\$4,261</u>	<u>\$4,300</u>	<u>\$2,416</u>

0942 Office of Migrant Services Account, Special Deposit Fund ⁿ

Government Code Section 16370:			
Office of Migrant Services	\$3,670	\$3,229	\$4,945
Less funding provided by the General Fund	-1,400	-922	-2,500
TOTALS, EXPENDITURES	<u>\$2,270</u>	<u>\$2,307</u>	<u>\$2,445</u>

0942 Century Freeway Housing Program, Special Deposit Fund ⁿ

APPROPRIATIONS			
Government Code Section 16370 (expenditures)	\$1,785	—	—

0972 Manufactured Home Recovery Fund ⁿ

APPROPRIATIONS			
Health and Safety Code Section 18070 (expenditures)	\$122	\$400	\$400

0980 Urban Predevelopment Loan Fund ⁿ

APPROPRIATIONS			
Transfer to Rental Housing Construction Fund per Chapter 104, Statutes of 1987.	(\$1,688)	—	—
Loan repayments from local agencies	-1,818	—	—
TOTALS, EXPENDITURES	<u>-1,818</u>	<u>—</u>	<u>—</u>

0985 Emergency Housing Assistance Fund ⁿ

APPROPRIATIONS			
Health and Safety Code Section 50800.5 (expenditures)	\$3,672	\$1,920	\$2,000

0995 Reimbursements

Reimbursements	\$3,191	\$153	—
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	<u>\$123,694</u>	<u>\$124,093</u>	<u>\$113,871</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance).	\$160,747	\$159,850	\$146,953

FUND CONDITION STATEMENT

0245 Mobilehome Park Revolving Fund

BEGINNING BALANCE	1995-96*	1996-97*	1997-98*
Prior year adjustment	\$580	\$2	\$2
Balance, Adjusted	<u>34</u>	<u>—</u>	<u>—</u>
	\$614	\$2	\$2
REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees	3,518	3,348	3,438
125700 Other regulatory licenses and permits	106	107	107
141200 Sales of documents	6	2	2
161400 Miscellaneous revenue	25	70	70
Totals, Receipts	<u>\$3,655</u>	<u>\$3,527</u>	<u>\$3,617</u>
Totals, Resources	\$4,269	\$3,529	\$3,619

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued**EXPENDITURES**

Disbursements:

2240 Department of Housing and Community Development (State Operations).....

1995-96*

1996-97*

1997-98*

\$4,267

\$3,527

\$3,617

Totals, Expenditures

\$4,267

\$3,527

\$3,617

FUND BALANCE.....

\$2

\$2

\$2

Reserve for economic uncertainties

2

2

2

0530 Mobilehome Park Purchase Fund**BEGINNING BALANCE**.....

\$3,466

\$4,984

\$3,222

Prior year adjustment

1,538

—

—

Balance, Adjusted.....

\$5,004

\$4,984

\$3,222

REVENUES AND TRANSFERS

Receipts:

Operating Revenues:

214000 Interest from loans.....

276

305

339

215000 Income from investments

489

339

251

216000 Fees and licenses

1,782

1,822

1,586

Totals, Operating Revenues

\$2,547

\$2,466

\$2,176

Totals, Resources

\$7,551

\$7,450

\$5,398

EXPENDITURES

Disbursements:

2240 Department of Housing and Community Development:

State Operations.....

725

731

575

Local Assistance

2,405

4,057

2,700

Totals, Disbursements.....

\$3,130

\$4,788

\$3,275

Expenditure Reductions:

2240 Department of Housing and Community Development:

Local Assistance (Loan Repayments).....

-563

-560

-560

Totals, Expenditures.....

\$2,567

\$4,228

\$2,715

FUND BALANCE.....

\$4,984

\$3,222

\$2,683

0635 Rural Predevelopment Loan Fund**BEGINNING BALANCE**.....

\$2,174

\$845

\$630

Prior year adjustment

-14

—

—

Balance, Adjusted.....

\$2,160

\$845

\$630

REVENUES AND TRANSFERS

Receipts:

Operating Revenues:

214000 Interest from loan.....

150

78

84

215000 Income from investments

165

152

25

Totals, Operating Revenues

\$315

\$230

\$109

Totals, Resources

\$2,475

\$1,075

\$739

EXPENDITURES

Disbursements:

2240 Department of Housing and Community Development:

State Operations.....

310

322

135

Local Assistance

2,136

680

700

Totals, Disbursements.....

\$2,446

\$1,002

\$835

Expenditure Reductions:

2240 Department of Housing and Community Development:

Local Assistance (loan repayments).....

-816

-557

-697

Totals, Expenditures.....

\$1,630

\$445

\$138

FUND BALANCE.....

\$845

\$630

\$601

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

0648 Mobilehome Manufactured Home Revolving Fund			
	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
BEGINNING BALANCE.....	\$399	—	\$30
Prior year adjustment	-196	—	—
Balance, Adjusted.....	\$203	—	\$30
REVENUES AND TRANSFERS			
Receipts:			
Operating Revenues:			
115400 Mobilehome In-Lieu Tax.....	2,347	\$2,347	2,388
212000 Sale of documents	11	9	9
215000 Income from investments	13	18	18
216000 Fees and licenses	10,565	10,856	10,856
Totals, Operating Revenues	\$12,936	\$13,230	\$13,271
Totals, Resources	\$13,139	\$13,230	\$13,301
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations.....	13,139	13,200	13,241
Totals, Expenditures.....	\$13,139	\$13,200	\$13,241
FUND BALANCE.....	—	\$30	\$60
0689 California Disaster Housing Repair Fund			
BEGINNING BALANCE.....	\$14,061	\$6,214	—
Prior year adjustment	813	—	—
Balance, Adjusted.....	\$14,874	\$6,214	—
REVENUES AND TRANSFERS			
Receipts:			
Operating Revenue:			
215000 Income from investments	1,518	—	—
214900 Interest income from loans other—on unused funds.....	53	—	—
Totals, Operating Revenues	\$1,571	—	—
Transfers to Other Funds:			
T00001 General Fund Act of 1995 Item 2240-111-689, Budget Act of 1995.	-8,000	-5,800	—
T00929 Housing Rehabilitation Loan Fund per Chapter 201, Statutes of 1996	—	-414	—
Totals, Transfers to Other Funds.....	-\$8,000	-\$6,214	—
Totals, Revenues and Transfers	-\$6,429	-\$6,214	—
Totals, Resources	\$8,445	—	—
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations.....	1,143	—	—
Local Assistance	1,088	—	—
Totals, Expenditures.....	\$2,231	—	—
FUND BALANCE.....	\$6,214	—	—
0697 Family Housing Demonstration Account			
BEGINNING BALANCE.....	\$41	\$2,067	—
Prior year adjustment	2,002	—	—
Balance, Adjusted.....	\$2,043	\$2,067	—
REVENUES AND TRANSFERS			
Receipts:			
Operating Revenues:			
214000 Interest on Loans	24	—	—
Transfers to Other Funds:			
T00938 Rental Housing Construction Fund per Chapter 201, Statutes of 1996	—	-2,067	—
Totals, Operating Revenues and Transfers.....	\$24	-\$2,067	—
Totals, Resources	\$2,067	—	—

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued**EXPENDITURES**

Disbursements:

2240 Department of Housing and Community Development:

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
State Operations	\$173	—	—

EXPENDITURE REDUCTIONS

2240 Department of Housing and Community Development:

State Operations:

Less funding provided by Home Building and Rehabilitation Fund	—173	—	—
--	------	---	---

Totals, Expenditures	—	—	—
----------------------------	---	---	---

FUND BALANCE	\$2,067	—	—
--------------------	---------	---	---

0714 Home Building and Rehabilitation Fund

BEGINNING BALANCE	—	—	—
-------------------------	---	---	---

REVENUES AND TRANSFERS

Receipts:

520000 Proceeds from sale of bonds	(\$8,469)	(\$1,510)	(\$951)
--	-----------	-----------	---------

550000 Loan proceeds from Pooled Money Investment	8,469	1,510	951
---	-------	-------	-----

Totals, Resources	\$8,469	\$1,510	\$951
-------------------------	---------	---------	-------

EXPENDITURES

Disbursements:

2240 Department of Housing and Community Development:

State Operations	2,056	1,510	951
------------------------	-------	-------	-----

For transfer to Family Housing Demonstration Program	(173)	—	—
--	-------	---	---

For transfer to Housing Rehabilitation Loan Fund	(74)	—	(42)
--	------	---	------

For transfer to Rental Housing Construction Fund	(1,809)	(1,510)	(909)
--	---------	---------	-------

(For Family Housing Demo Program)	—	(109)	(75)
---	---	-------	------

Local Assistance	6,413	—	—
------------------------	-------	---	---

For transfer to Housing Rehabilitation Loan Fund	(6,413)	—	—
--	---------	---	---

Totals, Disbursements	\$8,469	\$1,510	\$951
-----------------------------	---------	---------	-------

FUND BALANCE	—	—	—
--------------------	---	---	---

**0788 California Earthquake Safety and Rehabilitation
Bond Account**

BEGINNING BALANCE	—	—	—
-------------------------	---	---	---

REVENUES AND TRANSFERS

Receipts:

520000 Proceeds from sale of bonds	(\$3,025)	—	(\$1,184)
--	-----------	---	-----------

550000 Loan proceeds	3,025	—	1,184
----------------------------	-------	---	-------

Totals, Receipts	\$3,025	—	\$1,184
------------------------	---------	---	---------

Totals, Resources	\$3,025	—	\$1,184
-------------------------	---------	---	---------

EXPENDITURES

Disbursements:

2240 Department of Housing and Community Development:

State Operations:

For transfer to Housing Rehabilitation Loan Fund	1,479	—	1,184
--	-------	---	-------

Local Assistance (for transfer to Housing Rehabilitation Loan Fund)	1,546	—	—
---	-------	---	---

Totals, Disbursements	\$3,025	—	\$1,184
-----------------------------	---------	---	---------

FUND BALANCE	—	—	—
--------------------	---	---	---

0813 Self-Help Housing Fund

BEGINNING BALANCE	\$564	\$334	\$426
-------------------------	-------	-------	-------

Prior year adjustment	—74	—	—
-----------------------------	-----	---	---

Balance, Adjusted	\$490	\$334	\$426
-------------------------	-------	-------	-------

REVENUES AND TRANSFERS

Receipts:

Operating Revenues:

214000 Income from loans	68	55	158
--------------------------------	----	----	-----

215000 Income from investments	32	29	79
--------------------------------------	----	----	----

Totals, Operating Revenues	\$100	\$84	\$237
----------------------------------	-------	------	-------

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

Transfer to Other Funds:	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
T00001 General Fund per Chapter 702, Statutes of 1992	-\$300	—	—
Totals, Revenues and Transfers	-\$200	\$84	\$237
Totals, Resources	\$290	\$418	\$663
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations	108	116	116
Local Assistance	—	1,000	—
Totals, Disbursements	\$108	\$1,116	\$116
Expenditure Reductions:			
Local Assistance:			
2240 Department of Housing and Community Development:			
Loan Repayments from local agencies	-152	-124	-137
Less Funding provided by General Fund	—	-1,000	—
Totals, Expenditures	-\$44	-\$8	-\$21
FUND BALANCE	\$334	\$426	\$684
0843 California Housing Trust Fund			
BEGINNING BALANCE	\$96	\$106	\$116
REVENUES AND TRANSFERS			
Receipts:			
Operating Revenues:			
213000 Tidelands Revenue	2,000	2,000	2,000
215000 Income from investments	10	10	27
Totals, Operating Revenues	\$2,010	\$2,010	\$2,027
Transfers to Other Funds:			
Transfers per Budget Act Item 2240-101-843:			
T00985 Emergency Housing and Assistance	-2,000	-2,000	-2,143
Totals, Revenues and Transfers	\$10	\$10	-\$116
Totals, Resources	\$106	\$116	—
FUND BALANCE	\$106	\$116	—
0927 Farmworker Housing Grant Fund			
BEGINNING BALANCE	\$1,462	\$1,244	\$886
Prior year adjustment	224	—	—
Balance, Adjusted	\$1,686	\$1,244	\$886
REVENUES AND TRANSFERS			
Receipts:			
Other Receipts:			
214000 Interest from Loans	56	—	—
580000 Fund Abatements	396	153	153
Totals, Operating Revenues	\$452	\$153	\$153
Totals, Resources	\$2,138	\$1,397	\$1,039
EXPENDITURES			
Disbursements:			
2240 Department of Housing and Community Development:			
State Operations	587	511	181
Local Assistance	307	—	—
Totals, Expenditures	\$894	\$511	\$181
FUND BALANCE	\$1,244	\$886	\$858
0929 Housing Rehabilitation Loan Fund			
BEGINNING BALANCE	\$2,670	\$5,028	\$5,004
Prior year adjustment	286	—	—
Balance, Adjusted	\$2,956	\$5,028	\$5,004

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued**REVENUES AND TRANSFERS**

Receipts:

Operating Revenues:

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
214000 Income from loans	\$2,616	\$1,165	\$1,072
215000 Income from investments	453	1,139	1,293

Totals, Receipts

Transfers from Other Funds:

F00689 California Disaster Housing Repair Fund per Chapter 201, Statutes of 1996	—	414	—
F00936 Homeownership Assistance Fund per Chapter 201, Statutes of 1996	—	170	—

Totals, Transfers

Totals, Revenues and Transfers

TOTAL RESOURCES

EXPENDITURES

Disbursements:

2240 Department of Housing and Community Development:

State Operations	2,675	4,042	3,164
California Disaster Housing Repair Program	—	(930)	(800)
Homeownership Assistance Program	—	(80)	(80)
Local Assistance	8,503	—	—

Totals, Disbursements

Expenditure Reductions:

2240 Department of Housing and Community Development:

State Operations:			
Less funding from Home Building and Rehabilitation Fund (0714)	-74	—	-42
Less funding from California Earthquake Safety and Housing Rehabilitation Bond Act (0788)	-1,479	—	-1,184

Local Assistance:

Less funding from Home Building and Rehabilitation Fund (0714)	-6,413	—	—
Less funding from Earthquake and Housing Rehabilitation (0788)	-1,546	—	—
Loan repayments (local agencies)	-669	-1,130	-1,300

Totals, Expenditure Reduction

Totals, Expenditures

FUND BALANCE

0936 Homeownership Assistance Fund

BEGINNING BALANCE

Prior year adjustment

Balance, Adjusted

REVENUES AND TRANSFERS

Receipts:

Operating Revenues:

214000 Interest on loans	46	—	—
215000 Income from investments	86	—	—

Totals, Operating Revenues

Transfer to Other Funds:

T00001 General Fund per Chapter 702, Statutes of 1992	-1,500	—	—
T00929 Housing Rehabilitation Loan Fund per Chapter 201, Statutes of 1996	—	-170	—

Totals, Transfers

Totals, Revenues and Transfers

Totals, Resources

EXPENDITURES

Disbursements:

2240 Department of Housing and Community Development:

State Operations	96	—	—
Local Assistance	5	—	—

Totals, Disbursements

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

Expenditure Reductions:				
2240	Department of Housing and Community Development:	1995-96*	1996-97*	1997-98*
	Loan Assistance (Loan repayments)	-\$238	-	-
	Totals, Expenditures	-\$137	-	-
FUND BALANCE		\$170	-	-
0938 Rental Housing Construction Fund				
BEGINNING BALANCE		\$3,431	\$5,470	\$7,243
Prior year adjustment		2,692	-	-
Balance, Adjusted		\$6,123	\$5,470	\$7,243
REVENUES AND TRANSFERS				
Receipts:				
Operating Revenue:				
214000	Interest from Loans	-	168	105
215000	Income from Investments	2,361	2,377	1,872
299900	Income—Other Abatements, Revenue and Interest on Escrows	279	539	150
Totals, Operating Revenues		\$2,640	\$3,084	\$2,127
Transfers from Other Funds:				
F00697	Family Housing Demo Account per Chapter 201, Statutes of 1996 ..	-	2,067	-
F00980	Urban Predevelopment Loan Fund per Chapter 1034, Statutes of 1987	1,688	-	-
F00980	Urban Predevelopment Loan Fund per Chapter 201, Statutes of 1996 ..	-	1,859	-
Totals, Transfers		\$1,688	\$3,926	-
Totals, Revenues and Transfers		\$4,328	\$7,010	\$2,127
Totals, Resources		\$10,451	\$12,480	\$9,370
EXPENDITURES				
Disbursements:				
2240	Department of Housing and Community Development:			
	State Operations	2,529	2,447	1,561
	Family Housing Demo Program	-	(109)	(75)
	Urban Predevelopment Loan Program	-	(205)	-
	Local Assistance	4,472	5,619	3,275
	Family Housing Demo Program	-	(2,000)	-
Totals, Disbursements		\$7,001	\$8,066	\$4,836
Expenditure Reductions:				
2240	Department of Housing and Community Development:			
	State Operations:			
	Less funding from Home Building and Rehabilitation Fund	-1,809	-1,510	-909
	Local Assistance:			
	Less Loan repayments	-211	-1,319	-859
Totals, Expenditure Reductions		-\$2,020	-\$2,829	-\$1,768
Totals, Expenditures		\$4,981	\$5,237	\$3,068
FUND BALANCE		\$5,470	\$7,243	\$6,302
0942 Special Deposit Fund—Century Freeway Housing Program				
BEGINNING BALANCE		\$99,561	-	-
Prior year adjustment		5,409	-	-
Balance, Adjusted		\$104,970	-	-
REVENUES AND TRANSFERS				
Receipts:				
Operating Revenue:				
299900	Income—Other	139	-	-
250300	SMIF Interest	594	-	-
Totals, Operating Revenues		\$733	-	-
Transfers from Other Funds:				
F00942	Special Deposit Fund—State Highway Account	10,000	-	-
Totals, Revenues and Transfers		\$10,733	-	-
Totals, Resources		\$115,703	-	-

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued**EXPENDITURES**

Disbursements:

2240 Department of Housing and Community Development:

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
State Operations	\$919	—	—
Local Assistance	1,785	—	—

Other:

Local government per Court Order CV-72-355-hp:

(Expenditure not reflected in departmental budget)	112,743	—	—
--	---------	---	---

Totals, Expenditures	\$115,447	—	—
----------------------------	-----------	---	---

Reduce Fund Balance—expenditure not reflected in departmental budget ...	—256		
--	------	--	--

FUND BALANCE	—	—	—
--------------------	---	---	---

0942 Special Deposit Fund—Office of Migrant Services

BEGINNING BALANCE	\$695	\$811	\$647
-------------------------	-------	-------	-------

Prior year adjustment	739	—	—
-----------------------------	-----	---	---

Balance, Adjusted	\$1,434	\$811	\$647
-------------------------	---------	-------	-------

REVENUES AND TRANSFERS

Receipts:

Operating Revenue:

299900 Income—Other Rent Revenue	1,647	2,143	2,216
--	-------	-------	-------

Totals, Operating Revenues	\$1,647	\$2,143	\$2,216
----------------------------------	---------	---------	---------

Totals, Resources	\$3,081	\$2,954	\$2,863
-------------------------	---------	---------	---------

EXPENDITURES

Disbursements:

2240 Department of Housing and Community Development:

Local Assistance	3,670	3,229	4,945
------------------------	-------	-------	-------

Expenditure Reductions

2240 Department of Housing and Community Development

Less transfer provided by the General Fund (Local Assistance)	—1,400	—922	—2,500
---	--------	------	--------

Totals, Expenditures	\$2,270	\$2,307	\$2,445
----------------------------	---------	---------	---------

FUND BALANCE	\$811	\$647	\$418
--------------------	-------	-------	-------

0972 Mobilehome Recovery Fund

BEGINNING BALANCE	\$858	\$872	\$805
-------------------------	-------	-------	-------

Prior year adjustment	—7	—	—
-----------------------------	----	---	---

Balance, Adjusted	\$851	\$872	\$805
-------------------------	-------	-------	-------

REVENUES AND TRANSFERS

Receipts:

Operating Revenues:

216000 License Fees	344	529	529
---------------------------	-----	-----	-----

215000 Income from investments	46	45	45
--------------------------------------	----	----	----

Totals, Operating Revenues and Transfers	\$390	\$574	\$574
--	-------	-------	-------

Totals, Resources	\$1,241	\$1,446	\$1,379
-------------------------	---------	---------	---------

EXPENDITURES

Disbursements:

2240 Department of Housing and Community Development:

State Operations	247	241	241
------------------------	-----	-----	-----

Local Assistance	122	400	400
------------------------	-----	-----	-----

Totals, Expenditures	\$369	\$641	\$641
----------------------------	-------	-------	-------

FUND BALANCE	\$872	\$805	\$738
--------------------	-------	-------	-------

0980 Urban Predevelopment Loan Fund

BEGINNING BALANCE	\$1,287	\$1,859	—
-------------------------	---------	---------	---

Prior year adjustment	219	—	—
-----------------------------	-----	---	---

Balance, Adjusted	\$1,506	\$1,859	—
-------------------------	---------	---------	---

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued**REVENUES AND TRANSFERS**

Receipts:

Operating Revenues:

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
214000 Interest from loans.....	\$324	—	—
215000 Income from investments.....	97	—	—

Totals, Operating Revenues.....\$421—

Transfers to Other Funds:

T00938 Rental Housing Construction Fund per Chapter 104, Statutes of 1987.	—1,688	—	—
T00938 Rental Housing Construction Fund per Chapter 201, Statutes of 1996.	—	—\$1,859	—

Totals, Transfers.....—\$1,688—\$1,859—

Totals, Revenues and Transfers.....—\$1,267—\$1,859—

Totals, Resources.....\$239—

EXPENDITURES

Disbursements:

2240 Department of Housing and Community Development (State Operations).....	198	—	—
--	-----	---	---

Totals, Disbursements.....\$198—

Expenditure Reductions:

2240 Department of Housing and Community Development:			
Local Assistance (Loan repayments).....	—1,818	—	—

Totals, Expenditures.....—\$1,620—

FUND BALANCE.....\$1,859—

0985 Emergency Housing and Assistance Fund

BEGINNING BALANCE.....\$2,998\$589\$311

Prior year adjustment.....—477—

Balance, Adjusted.....\$2,521\$589\$311

REVENUES AND TRANSFERS

Receipts:

Operating Revenue:

215000 Income from investments.....	339	203	333
-------------------------------------	-----	-----	-----

Totals, Operating Revenues and Transfers.....\$339\$203\$333

Transfers from Other Funds:

F00843 California Housing Trust Fund per Budget Act Item 2240-100-0843.	2,000	2,000	2,143
---	-------	-------	-------

Totals, Revenues and Transfers.....\$2,339\$2,203\$2,476

Totals, Resources.....\$4,860\$2,792\$2,787

EXPENDITURES

Disbursements:

2240 Department of Housing and Community Development:			
State Operations.....	599	561	569
Local Assistance.....	3,672	1,920	2,000

Totals, Expenditures.....\$4,271\$2,481\$2,569

FUND BALANCE.....\$589\$311\$218

CHANGES IN**AUTHORIZED POSITIONS**

	<i>95-96</i>	<i>96-97</i>	<i>97-98</i>	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
Totals, Authorized Positions.....	502.5	563.5	487.0	\$21,447	\$23,255	\$21,024

Workload and Administrative Adjustments:

Reduction in Authorized Positions:

Staff Counsel.....	—	—	—2.0	Salary Range 3,200-6,043	—	—111
Staff Svcs Analyst.....	—	—	—1.0	2,197-3,430	—	—34

* Dollars in thousands.

2240 DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT—Continued

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Personnel Svcs Spec I	—	—	-1.0	Salary Range \$1,932-2,796	—	-\$28
Asst Admin Analyst	—	—	-1.0	2,423-3,602	—	-36
Staff Svcs Mgmt Auditor	—	—	-1.0	2,423-3,602	—	-36
Ofc Asst (Typ)	—	—	-1.8	1,656-2,138	—	-41
Housing & Community Dev Rep II	—	—	-2.0	3,602-4,346	—	-95
Housing & Community Dev Rep I	—	—	-3.0	2,423-3,602	—	-108
Totals, Adjustments	—	—	-12.8	—	—	-\$489
TOTALS, SALARIES AND WAGES	502.5	563.5	474.2	\$21,447	\$23,255	\$20,535

2260 CALIFORNIA HOUSING FINANCE AGENCY

The primary purpose of the California Housing Finance Agency is to meet the housing needs of persons and families of low and moderate income. The Agency is fiscally self-supporting, meeting its operating expenses by setting loan interest rates slightly above the interest cost on bonds and charging fees for specific services. It is administered by an 11-member Board of Directors representing various segments of the housing industry and State government officials. Ex-officio members include the State Treasurer, the Secretary of Business, Transportation, and Housing and the Director of the Department of Housing and Community Development. The Board of Directors adopts an annual budget each year which is presented for review to the Secretary of the Business, Transportation, and Housing Agency, the Director of Finance, and the Joint Legislative Budget Committee. The Board has not yet adopted a final budget for 1997-98. The budget presented here reflects the full year cost of the approved level for 1996-97.

Authority

Health and Safety Code Sections 50000-52533.

SUMMARY OF PROGRAM

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
REQUIREMENTS						
10 Lending and Program Activity	158.6	169.5	169.5	\$12,481	\$13,347	\$13,347
20 Insurance Activity	8.0	10.6	10.6	1,673	1,709	1,709
TOTALS, PROGRAMS	166.6	180.1	180.1	\$14,154	\$15,056	\$15,056
0501 California Housing Finance Fund				12,481	13,347	13,347
0916 Housing Insurance Fund				1,673	1,709	1,709

10 LENDING AND PROGRAM ACTIVITY

The primary functions of the Agency are to sell tax-exempt bonds and use the proceeds to finance housing at below-market interest rates by: (1) making mortgage loans to qualified borrowers to finance housing developments, or purchasing such loans from qualified mortgage lenders and (2) purchasing loans originated and serviced by qualified mortgage lenders. In addition to increasing the supply of affordable housing, the Agency's financing activities provide a stimulus to the State's economy which results in additional employment opportunities and increased income to California residents. The Agency may: (1) provide technical services in connection with the financing of housing developments; (2) act as a State representative in receiving and allocating federal housing subsidies; and (3) under certain circumstances make grants to housing sponsors, provided that grants are not made with the proceeds of the sale of bonds or notes.

	1995-96*	1996-97*	1997-98*
Bonds/Notes Outstanding:			
Issued during year	\$1,014,333	\$800,000	\$800,000
Outstanding	4,337,755	4,877,755	5,412,755
Lending Activities:			
Loaned:			
During year	708,023	750,000	750,000
Outstanding	3,731,656	4,221,656	4,681,656
Dwelling Units:			
During year	6,736	5,333	5,333
Outstanding	87,363	88,060	88,725

20 INSURANCE ACTIVITY

The goal of the California Housing Loan Insurance program is to encourage and facilitate the preservation of existing housing and improve housing opportunities for persons of low and moderate income.

Chapter 610, Statutes of 1977, established a program for bond and loan insurance. The program was initially funded with a \$5 million appropriation and a \$5 million loan from the General Fund. The loan has since been fully repaid. All money in the fund is continuously appropriated for the purposes of insuring loans and bonds pursuant to the program. To fill the void created for mortgage insurance for the low and moderate income housing market, the fund currently insures California Housing Finance Agency, Federal National Mortgage Association and Federal Home Loan Mortgage Corporation single family loans and has earned a claims-paying ability credit rating equivalent to that of a private mortgage insurance company. The fund expects to insure \$1.5 billion in mortgages during the next five years of market operation. It is self-supporting and depends upon neither the faith and credit nor the taxing power of the State of California to operate. The Board has not yet adopted a final for 1997-98. The budget presented reflects the full year cost of the approved level for 1996-97.

	1995-96*	1996-97*	1997-98*
Performance Measures			
Primary New Insurance Written	\$107,078	\$255,000	\$255,000
Pool New Insurance Written	—	—	—
Renewal Insurance Written	527,715	600,000	812,000

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2260 CALIFORNIA HOUSING FINANCE AGENCY—Continued

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 LENDING AND PROGRAM ACTIVITY

State Operations:	1995-96*	1996-97*	1997-98*
0501 California Housing Finance Fund.....	\$12,481	\$13,347	\$13,347

PROGRAM REQUIREMENTS

20 INSURANCE ACTIVITY

State Operations:			
0916 Housing Insurance Fund	\$1,673	\$1,709	\$1,709

TOTAL EXPENDITURES

State Operations	\$14,154	\$15,056	\$15,056
TOTALS, EXPENDITURES	\$14,154	\$15,056	\$15,056

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Authorized Positions (Equals Sch. 7A).....	166.6	187.0	187.0	\$8,209	\$9,278	\$9,278
Estimated Salary Savings	—	-6.9	-6.9	—	-371	-371
Net Totals, Salaries and Wages	166.6	180.1	180.1	\$8,209	\$8,907	\$8,907
Staff Benefits	—	—	—	2,117	2,256	2,256
Totals, Personal Services	166.6	180.1	180.1	\$10,326	\$11,163	\$11,163
OPERATING EXPENSES AND EQUIPMENT				\$3,828	\$3,893	\$3,893
TOTALS, EXPENDITURES				\$14,154	\$15,056	\$15,056

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0501 California Housing Finance Fund ⁿ

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
Health and Safety Code Section 51000 (expenditures).....	\$12,481	\$13,347	\$13,347
0916 Housing Insurance Fund ⁿ			
APPROPRIATIONS			
Health and Safety Code Section 51653 (expenditures).....	\$1,673	\$1,709	\$1,709
TOTALS, EXPENDITURES (State Operations).....	\$14,154	\$15,056	\$15,056

REVENUE AND EXPENDITURES STATEMENT

0501 California Housing Finance Fund

OPERATING RECEIPTS	1995-96*	1996-97*	1997-98*
214000 Interest income from loans.....	\$267,914	\$295,000	\$325,000
215000 Income from investments	73,607	80,000	85,000
216000 Fees and licenses—Financing and application fees	16,811	16,000	17,000
299000 Other income	80,223	82,000	84,000
Totals, Operating Revenues.....	\$438,555	\$473,000	\$511,000
EXPENDITURES			
Interest payments on bonds and notes	276,740	307,000	340,000
Servicing fees and other expenditures.....	98,179	100,000	102,000
State operations.....	12,481	13,347	13,347
TOTALS, EXPENDITURES	\$387,400	\$420,347	\$455,347
Revenue over expenditures.....	51,155	52,653	55,653

* Dollars in thousands.

2260 CALIFORNIA HOUSING FINANCE AGENCY—Continued**0916 California Housing Loan Insurance Fund**

RECEIPTS	1995-96*	1996-97*	1997-98*
Insurance premium	\$4,095	\$3,370	\$3,370
Investment interest	1,112	725	725
Other	702	250	250
Totals, Revenues	\$5,909	\$4,345	\$4,345
EXPENSES			
Reinsurance premium	1,364	1,650	1,650
Claims	545	250	250
State operations	1,673	1,709	1,709
Other	229	250	250
Totals, Expenses	\$3,811	\$3,859	\$3,859
Revenues over (under) expenses	2,098	486	486

The Agency's operations budget is not subject to budget act appropriations. The Agency's funds are derived from self-supporting revenue bonds and the Governor's Budget is for display purposes only.

2310 OFFICE OF REAL ESTATE APPRAISERS

The Office of Real Estate Appraisers (OREA) administers a program for voluntary licensing of real estate appraisers in federally related loan transactions. This office, established pursuant to Chapter 491, Statutes of 1990, within the Business, Transportation and Housing Agency implemented a requirement that, effective November 1, 1992, all appraisals for federally regulated real estate financing transactions must be conducted by persons licensed in accordance with applicable state standards. In addition, certain appraisals, because of the size of the real property or complexity involved, must be performed only by a state licensed appraiser.

SUMMARY OF PROGRAM

REQUIREMENTS	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
10 Administration of Real Estate						
Appraisers Program	37.5	41.5	41.5	\$3,321	\$4,639	\$4,096
0400 Real Estate Appraisers Regulation Fund				3,224	3,783	3,821
0995 Reimbursements				97	856	275

10 ADMINISTRATION OF REAL ESTATE APPRAISERS PROGRAM**Program Objectives Statement**

This program conducts the three major activities of the office. These include licensing activities, which ensure that only qualified persons are licensed to conduct appraisals in federally related real estate loan transactions; compliance activities, which ensure adherence to the applicable laws and regulations by all persons licensed by the state; and administration activities, which provide staff support.

SUMMARY BY OBJECT**1 STATE OPERATIONS**

PERSONAL SERVICES	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Authorized Positions (Equals Sch. 7A)	37.5	43.5	43.5	\$1,570	\$1,896	\$1,952
Estimated Salary Savings	—	-2.0	-2.0	—	-87	-91
Net Totals, Salaries and Wages	37.5	41.5	41.5	\$1,570	\$1,809	\$1,861
Staff Benefits	—	—	—	390	497	513
Totals, Personal Services	37.5	41.5	41.5	\$1,960	\$2,306	\$2,374
OPERATING EXPENSES AND EQUIPMENT				\$1,361	\$2,333	\$1,722
TOTALS, EXPENDITURES				\$3,321	\$4,639	\$4,096

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0400 Real Estate Appraisers Regulation Fund ^s**

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
001 Budget Act appropriation	\$3,747	\$3,767	\$3,821
Adjustment per Section 3.60	40	16	—
Totals Available	\$3,787	\$3,783	\$3,821
Unexpended balance, estimated savings	-563	—	—
TOTALS, EXPENDITURES	\$3,224	\$3,783	\$3,821

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2310 OFFICE OF REAL ESTATE APPRAISERS—Continued

0995 Reimbursements		1995-96*	1996-97*	1997-98*
Reimbursements		\$97	\$856	\$275
TOTALS, EXPENDITURES		\$3,321	\$4,639	\$4,096

FUND CONDITION STATEMENT**0400 Real Estate Appraisers Regulation Fund**

		1995-96*	1996-97*	1997-98*
BEGINNING BALANCE.....		\$6,568	\$6,460	\$9,183
Prior year adjustments		1,056	—	—
Reserves, Adjusted.....		\$7,624	\$6,460	\$9,183
REVENUE AND TRANSFERS				
Receipts:				
Revenues:				
123400 Examination fees		503	1,603	627
123500 License fees		1,221	3,710	1,377
125600 Other Regulatory Fees		230	721	274
125700 Other regulatory licenses and permits		24	72	62
150300 Income from surplus money investments		54	378	564
161400 Miscellaneous revenue		22	12	20
164300 Penalty assessments		6	10	35
Totals, Revenues.....		\$2,060	\$6,506	\$2,959
Totals, Resources		\$9,684	\$12,966	\$12,142
EXPENDITURES				
Disbursements:				
2310 Office of Real Estate Appraisers (State Operations).....		3,224	3,783	3,821
FUND BALANCE.....		\$6,460	\$9,183	\$8,321
Reserves for economic uncertainties		6,460	9,183	8,321

2320 DEPARTMENT OF REAL ESTATE

The primary objectives of the Department of Real Estate are: (1) to protect the public in offerings of subdivided property; (2) to ensure that licensed individuals conducting real estate transactions are competent and qualified; (3) to prevent fraud, deceit and misrepresentation in the real estate marketplace by assisting the public through the investigation of complaints; and (4) to educate the public and professional communities regarding the laws and regulations governing the handling of real estate transactions.

SUMMARY OF PROGRAM

REQUIREMENTS		95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
10	Licensing and Education	63.6	62.1	61.0	\$4,904	\$5,585	\$6,232
20	Enforcement and Recovery.....	168.5	168.0	163.6	15,382	15,059	16,349
30	Subdivisions	68.0	67.6	65.5	4,676	4,843	4,786
40	Administration	45.6	43.8	42.5	4,056	3,968	5,424
	Distributed Administration.....	-45.6	-43.8	-42.5	-4,056	-3,968	-5,424
TOTALS, PROGRAMS.....		300.1	297.7	290.1	\$24,962	\$25,487	\$27,367
0317	Real Estate Commissioner's Fund				24,484	24,612	26,492
0995	Reimbursements				478	875	875

10 LICENSING AND EDUCATION**Program Objectives Statement**

The main objectives of the Licensing and Education Program are: (1) to ensure that only those persons who meet prescribed qualifications are licensed; (2) to renew licenses for individuals who maintain qualifications by updating their technical knowledge through required continuing education; and (3) to enforce standards of legal conduct established for such licensees. Dealing in real property is often a once-in-a-lifetime activity for a large segment of the public. The degree of sophistication associated with real estate transactions makes it mandatory that real estate agents are qualified to render services which fully meet the public's needs.

Major Budget Adjustments Proposed for 1997-98

- An augmentation of \$175,000 to fund a new optical disk imaging system for maintenance of license records and an interactive voice response system to provide improved telephone response to the public and licensee.
- An augmentation of \$472,000 for the prorated share of the department's computer system development project.

Authority

Business and Professions Code, Division 4, Part 1.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2320 DEPARTMENT OF REAL ESTATE—Continued**20 ENFORCEMENT AND RECOVERY****Program Objectives Statement**

The Enforcement and Recovery Program is responsible for investigations of complaints filed by the public and possible infractions noted by the staff or other regulatory agencies. Investigations are conducted to determine violations of the Real Estate Law. Trust fund commingling and conversion complaint cases receive investigative audits. Mortgage loan brokers, property management companies and broker-escrows are routinely audited. If the Department's findings justify further action, disciplinary proceedings, including suspension or revocation of a license and/or criminal proceedings, may be initiated to protect the public. The goal of the program is to provide maximum protection for the purchasers of real property and those persons dealing with real estate licensees.

The Department's legal staff is responsible for administration of the Real Estate Recovery Account, which is a fund of last resort to members of the public who have been defrauded by real estate licensees in connection with acts requiring a real estate license. Whenever a person obtains a judgment based on licensee fraud and the licensee is unable to pay the judgment, the victim may file a claim against the Real Estate Recovery Account up to the maximum allowed by law. Recovery claims are decided by the Commissioner. When claims are paid out of the fund, the license of the agent on whose account the payment was made is automatically suspended until the recovery account has been repaid with interest.

Major Budget Adjustments Proposed for 1997–98

- A reduction of \$30,000 and one position related to workload reductions.
- An augmentation of \$625,000 to fund the increase in recovery claims paid as well as related attorney general fees.
- An augmentation of \$100,000 for costs associated with relocating San Francisco staff to the new Oakland State Office Building.
- An augmentation of \$1,289,000 for the prorated share of the department's computer system development project.

Authority

Business and Professions Code, Division 4, Parts 1 and 2.

30 SUBDIVISIONS**Program Objectives Statement**

The Subdivisions Program is directed toward protecting the public from fraud and misrepresentation in the sale or lease of subdivided land. No person may offer to sell or lease interests in a subdivision covered by the Subdivided Lands Law without first filing a notice of intention and obtaining from the Real Estate Commissioner a public report on the subdivision. The public report examines virtually all aspects of the project and requires compliance with specified statutory and regulatory standards. The public report serves two functions aimed at protecting buyers of subdivision interests: (1) the report requires disclosure of material facts and (2) it ensures adherence to applicable standards for creating, operating, financing and documenting the project.

Major Budget Adjustments Proposed for 1997–98

- A reduction of \$226,000 and 4 positions related to workload reductions.
- An augmentation of \$187,000 for the prorated share of the department's computer system development project.

Authority

Business and Professions Code, Division 4, Parts 1 and 2.

40 ADMINISTRATION**Program Objectives Statement**

The Administration Program is responsible for the final review of subdivision regulatory and license transactions, issuance and renewal of licenses, and maintenance of the official files of the Department. Administrative support staff perform the major part of the financial management, personnel, electronic data processing, training, support services and business services activities for the Department.

The Administration program legal and support staff advises the Commissioner on legal matters and drafts proposed legislation and regulations for administration of the Real Estate Law and the Subdivided Lands Law. Legal staff also represents the Department at disciplinary hearings, and answers inquiries from the public, licensees, and members of the Legislature.

Major Budget Adjustments Proposed for 1997–98

- Augmentations of \$2,148,000 to reflect the data processing system development project and the acquisition of voice mail which are prorated to the department's other programs.

Authority

Business and Professions Code, Division 4, Parts 1 and 2.

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****10 LICENSING AND EDUCATION**

State Operations:	1995–96*	1996–97*	1997–98*
0317 Real Estate Commissioner's Fund.....	\$4,492	\$4,785	\$5,432
0995 Reimbursements.....	412	800	800
Totals, State Operations	\$4,904	\$5,585	\$6,232

* Dollars in thousands.

2320 DEPARTMENT OF REAL ESTATE—Continued

PROGRAM REQUIREMENTS

20 ENFORCEMENT AND RECOVERY

State Operations:	1995-96*	1996-97*	1997-98*
0317 Real Estate Commissioner's Fund.....	\$15,316	\$14,984	\$16,274
0995 Reimbursements.....	66	75	75
Totals, State Operations	\$15,382	\$15,059	\$16,349

PROGRAM REQUIREMENTS

30 SUBDIVISIONS

State Operations:			
0317 Real Estate Commissioner's Fund.....	\$4,676	\$4,843	\$4,786
Totals, State Operations	\$4,676	\$4,843	\$4,786

TOTALS, EXPENDITURES

State Operations	\$24,962	\$25,487	\$27,367
------------------------	----------	----------	----------

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Authorized Positions (Equals Sch. 7A).....	300.1	313.5	308.0	\$13,028	\$13,419	\$13,366
Total Adjustments	—	—	-5.0	—	—	-197
Estimated Salary Savings	—	-15.8	-12.9	—	-635	-625
Net Totals, Salaries and Wages	300.1	297.7	290.1	\$13,028	\$12,784	\$12,544
Staff Benefits	—	—	—	3,758	4,067	4,050
Totals, Personal Services	300.1	297.7	290.1	\$16,786	\$16,851	\$16,594
OPERATING EXPENSES AND EQUIPMENT				\$6,007	\$6,836	\$8,473
SPECIAL ITEMS OF EXPENSE				2,169	1,800	2,300
TOTALS, EXPENDITURES				\$24,962	\$25,487	\$27,367

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0317 Real Estate Commissioner's Fund ^s

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
001 Budget Act appropriation.....	\$27,003	\$24,498	\$26,492
Allocation for contingencies or emergencies (court awarded attorney fees)	27	—	—
Adjustment per Section 3.60	347	114	—
Totals Available	\$27,377	\$24,612	\$26,492
Unexpended balance, estimated savings	-2,893	—	—
TOTALS, EXPENDITURES	\$24,484	\$24,612	\$26,492
0995 Reimbursements			
Reimbursements	\$478	\$875	\$875
TOTALS, EXPENDITURES	\$24,962	\$25,487	\$27,367

FUND CONDITION STATEMENT

0317 Real Estate Commissioner's Fund

BEGINNING BALANCE.....	1995-96*	1996-97*	1997-98*
Prior year adjustments	\$7,119	\$3,718	\$5,508
Balance, Adjusted.....	79	—	—
	\$7,198	\$3,718	\$5,508
REVENUE AND TRANSFERS			
Receipts:			
Revenues:			
123400 Examination fees	1,168	1,629	2,067
123500 License fees	13,750	16,195	18,720
123600 Subdivision filing fees	5,098	5,180	5,200
125700 Other regulatory licenses and permits	228	228	228

* Dollars in thousands.

2320 DEPARTMENT OF REAL ESTATE—Continued

	1995-96*	1996-97*	1997-98*
141200 Sales of documents	\$128	\$128	\$128
142500 Miscellaneous services to the public	293	293	293
150300 Income from surplus money investments	210	300	400
161000 Escheat of unclaimed checks and warrants	5	5	5
161400 Miscellaneous revenue	33	33	33
164300 Penalty assessments	91	91	91
Totals, Revenues	\$21,004	\$24,082	\$27,165
TRANSFERS			
Transfers from Other Funds:			
F00942 E Special Deposit Fund per Section 12.20, Budget Acts of 1996 and 1997	—	2,320	2,620
Totals, Transfers from Other Funds	—	\$2,320	\$2,620
Totals, Revenues and Transfers	\$21,004	\$26,402	\$29,785
Totals, Resources	\$28,202	\$30,120	\$35,293
EXPENDITURES			
Disbursements:			
2320 Department of Real Estate (State Operations)	24,484	24,612	26,492
FUND BALANCE	\$3,718	\$5,508	\$8,801
Reserves for economic uncertainties:			
Department of Real Estate	382	1,085	2,896
Reserve for Education and Research	622	1,423	2,905
Reserve for Recovery	2,714	3,000	3,000

CHANGES IN**AUTHORIZED POSITIONS**

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Totals, Authorized Positions	300.1	313.5	308.0	\$13,028	\$13,419	\$13,366
Workload and Administrative Adjustments:						
Reductions in Authorized Positions:						
Program 20:				Salary Range		
Sr Typist, Legal	—	—	-1.0	1,999-2,993	—	-24
Program 30:						
Sr Prop Appraiser	—	—	-3.0	3,430-4,139	—	-123
Assoc Prop Appraiser	—	—	-1.0	4,139-4,994	—	-50
Totals, Adjustments	—	—	-5.0	—	—	-\$197
TOTALS, SALARIES AND WAGES	300.1	313.5	303.0	\$13,028	\$13,419	\$13,169

2340 OFFICE OF SAVINGS AND LOAN

The principal objectives of the Office of Savings and Loan are to assure compliance by savings associations with laws and regulations including those involving consumer protection and anti-discrimination, and to assure the continued financial growth of these associations consistent with public need and convenience.

Reflective of the reduction in the number of state chartered savings and loan associations, the 1996-97 Governor's Budget transferred the functions of the Office of Savings and Loan to the State Banking Department in an effort to reduce costs and streamline government, and to maintain the powers of state chartering in an industry that is subject to extensive federal oversight. In accordance with this change, existing state chartered savings and loans will now require approval from the State Banking Department before taking specified actions under the Savings Association Law.

Pursuant to Chapter 1064 of 1996, the Department of Financial Institutions will be established effective July 1, 1997. This new department will consolidate the regulatory responsibilities of the Office of Savings and Loan, the State Banking Department, and the Credit Union and Industrial Loan Law regulatory programs previously in the Department of Corporations.

SUMMARY OF PROGRAM**REQUIREMENTS**

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
10 Supervision and Regulation	2.1	—	—	\$392	—	—
0337 Savings Association Special Regulatory Fund				332	—	—
0995 Reimbursements				60	—	—

Program Objectives Statement

The primary objective of this program is to protect the funds deposited in savings and share accounts held in State associations and to assure that the saving and borrowing public is properly and legally served.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2340 OFFICE OF SAVINGS AND LOAN—Continued

Authority

California Financial Code, Sections 5000 through 11709.

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Authorized Positions (Equals Sch. 7A).....	2.1	—	—	\$163	—	—
Net Totals, Salaries and Wages	2.1	—	—	\$163	—	—
Staff Benefits	—	—	—	34	—	—
Totals, Personal Services	2.1	—	—	\$197	—	—
OPERATING EXPENSES AND EQUIPMENT				\$195	—	—
TOTALS, EXPENDITURES				\$392	—	—

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0337 Savings Association Special Regulatory Fund *

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
001 Budget Act appropriation	\$226	—	—
Allocation for contingencies or emergencies	226	—	—
Adjustment per Section 3.60	5	—	—
Totals Available	\$457	—	—
Unexpended balance, estimated savings	—125	—	—
TOTALS, EXPENDITURES	\$332	—	—
0995 Reimbursements			
Reimbursements	\$60	—	—
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$392	—	—

FUND CONDITION STATEMENT

Fund conditions previously reported in 2340—Office of Savings and Loan are now reported in 2140—State Banking Department.

2600 CALIFORNIA TRANSPORTATION COMMISSION

The California Transportation Commission is primarily responsible for the following activities:

- (1) adopting the State Transportation Improvement Program, which includes an estimate of State & Federal funds expected to be available over a seven-year period for transportation projects and a set of projects prioritized in keeping with statewide interests;
 - (2) adopting other capital improvement programs for highway, rail, aeronautics, toll bridge and enhancement projects;
 - (3) allocating State funds for capital improvements to specific highway, toll bridge, public mass transportation and aeronautics projects upon readiness for construction, within the constraint of available financial resources;
 - (4) recommending funding priorities to the Legislature among the various elements of the State's Mass Transportation program, including State Transit Assistance, Rail Passenger Service and Transit Capital Improvements;
 - (5) providing policy guidance to the Administration and Legislature by identifying key issues in the areas of financing, operating and maintaining current and future transportation systems through the Annual Report to the Legislature;
 - (6) developing statewide guidelines for mandatory minimum local and private sector financial participation in the funding of various elements of the State's transportation programs; and
 - (7) submitting to the Legislature an evaluation of the proposed budget of the California Department of Transportation, its adequacy for contributing to a balanced transportation program and the adequacy of current State transportation revenues, including gasoline and diesel fuel taxes and vehicle weight fees;
 - (8) monitoring and reporting on the progress on implementation of transportation capital improvement programs.
- The Commission advises and assists the Secretary of the Business, Transportation and Housing Agency and the Legislature in formulating and evaluating State policies and plans for California's transportation programs. The Commission is also an active participant in the initiation and development of State and Federal legislation that seeks to secure financial stability for the State's transportation needs.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2600 CALIFORNIA TRANSPORTATION COMMISSION—Continued

The Commission consists of eight members appointed by the Governor, a ninth who represents the California Public Utilities Commission (selected by the Governor from among its members), all appointed to staggered four-year terms, and two non-voting ex-officio members, one from the State Senate and one from the State Assembly.

Authority

Government Code Sections 14500–14536; Streets and Highways Code Sections 70–86, 111, 111.5, 118, 150, 155.5, 165, 168, 183–183.3, 199–199.9, 210–215, 254; Public Utilities Code Section 130252.

SUMMARY OF PROGRAM

REQUIREMENTS	95–96	96–97	97–98	1995–96*	1996–97*	1997–98*
10 Administration of California Transportation Commission	12.5	13.4	13.4	\$1,280	\$1,421	\$1,463
30 Clean Air and Transportation Improvement	3.0	3.0	3.0	196,698	200,983	225,983
TOTALS, PROGRAMS	15.5	16.4	16.4	\$197,978	\$202,404	\$227,446
0042 State Highway Account, State Transportation Fund				134	174	179
0046 Transportation Planning and Development Account, State Transportation Fund				1,146	1,247	1,284
0703 Clean Air and Transportation Improvement Fund				196,698	200,983	225,983

SUMMARY BY OBJECT**1 STATE OPERATIONS**

PERSONAL SERVICES	95–96	96–97	97–98	1995–96*	1996–97*	1997–98*
Authorized Positions (Equals Sch. 7A)	15.5	16.5	16.5	\$893	\$954	\$958
Estimated Salary Savings	—	–0.1	–0.1	—	–17	–17
Net Totals, Salaries and Wages	15.5	16.4	16.4	\$893	\$937	\$941
Staff Benefits	—	—	—	231	249	249
Totals, Personal Services	15.5	16.4	16.4	\$1,124	\$1,186	\$1,190
OPERATING EXPENSES AND EQUIPMENT				\$1,063	\$1,218	\$1,256
TOTALS, EXPENDITURES				\$2,187	\$2,404	\$2,446

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0042 State Highway Account, State Transportation Fund ^s**

APPROPRIATIONS	1995–96*	1996–97*	1997–98*
001 Budget Act appropriation	\$169	\$173	\$179
Adjustment per Section 3.60	2	1	—
Reduction per Section 3.95	–4	—	—
Totals Available	\$167	\$174	\$179
Unexpended balance, estimated savings	–33	—	—
TOTALS, EXPENDITURES	\$134	\$174	\$179

0046 Transportation Planning and Development Account, State Transportation Fund ^s

APPROPRIATIONS	1995–96*	1996–97*	1997–98*
001 Budget Act appropriation	\$1,203	\$1,242	\$1,284
Adjustment per Section 3.60	16	5	—
Reduction per Section 3.95	–17	—	—
Totals Available	\$1,202	\$1,247	\$1,284
Unexpended balance, estimated savings	–56	—	—
TOTALS, EXPENDITURES	\$1,146	\$1,247	\$1,284

0703 Clean Air and Transportation Improvement Fund ^b

APPROPRIATIONS	1995–96*	1996–97*	1997–98*
Public Utilities Code Section 99612 (expenditures)	\$907	\$983	\$983
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$2,187	\$2,404	\$2,446

* Dollars in thousands.

2600 CALIFORNIA TRANSPORTATION COMMISSION—Continued**SUMMARY BY OBJECT****2 LOCAL ASSISTANCE**

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
Grants and Subventions.....	\$195,791	\$200,000	\$225,000

RECONCILIATION WITH APPROPRIATIONS**2 LOCAL ASSISTANCE****0703 Clean Air and Transportation Improvement Fund ^b**

APPROPRIATIONS	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
Public Utilities Code Section 99612 (expenditures)	\$195,791	\$200,000	\$225,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance).	\$197,978	\$202,404	\$227,446

FUND CONDITION STATEMENT**0703 Clean Air and Transportation Improvement Fund**

BEGINNING BALANCE	\$4,656	\$23,037	\$23
Prior year adjustment	117	—	—
Balance, Adjusted.....	\$4,773	\$23,037	\$23
REVENUES AND TRANSFERS			
Receipts:			
Other Revenues:			
520000 Proceeds from sale of bonds	215,000	178,000	226,000
Transfers to Other Funds:			
T00363 General Obligation Bond expense in connection with sale of bonds per Government Code Section 16724.5	—38	—31	—38
Totals, Revenues and Transfers.....	\$214,962	\$177,969	\$225,962
Totals, Resources	\$219,735	\$201,006	\$225,985
EXPENDITURES			
Disbursements:			
2600 California Transportation Commission:			
State Operations:			
CTC Administration and Caltrans consultant services.....	907	983	983
Local Assistance	195,791	200,000	225,000
Totals, Disbursements.....	\$196,698	\$200,983	\$225,983
FUND BALANCE	\$23,037	\$23	\$2

2640 SPECIAL TRANSPORTATION PROGRAMS

The Special Transportation Programs budget reflects mass transit program funding which is appropriated to the State Controller for allocation to Regional Transportation Planning Agencies. Administration of the State Transportation Assistance program is performed by the State Controller and the Department of Transportation.

SUMMARY OF PROGRAM REQUIREMENTS

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
10 State Transportation Assistance	\$71,000	\$76,100	\$84,600
TOTALS, PROGRAMS (Transportation Planning and Development Account, State Transportation Fund)	\$71,000	\$76,100	\$84,800

10 STATE TRANSPORTATION ASSISTANCE**Program Objectives Statement**

This program provides funds to local agencies for the operation of public mass transit systems and for street and road purposes in rural areas.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2640 SPECIAL TRANSPORTATION PROGRAMS—Continued**Authority**

Public Utilities Code Sections 99312–99314.5; Revenue and Taxation Code Section 7102; and Government Code Section 29530.

Major Budget Adjustment Proposed for 1997–98

- An \$8,700,000 increase to provide full funding of the statutory allocation.

SUMMARY BY OBJECT**2 LOCAL ASSISTANCE**

		<i>1995–96*</i>	<i>1996–97*</i>	<i>1997–98*</i>
661701	Grants and subventions (State Transportation Assistance) (expenditures)	\$71,000	\$76,100	\$84,800

RECONCILIATION WITH APPROPRIATIONS**2 LOCAL ASSISTANCE****0046 Transportation Planning and Development Account****State Transportation Fund^s**

APPROPRIATIONS		<i>1995–96*</i>	<i>1996–97*</i>	<i>1997–98*</i>
101	Budget Act appropriation (expenditures)	\$71,000	\$76,100	\$84,800

2660 DEPARTMENT OF TRANSPORTATION

The California Department of Transportation (department) is recognized as one of the world's outstanding transportation and engineering organizations. The department constructs, operates, and maintains a comprehensive transportation system of more than 15,200 miles of highways and freeways and provides rail passenger services under contract with Amtrak. California's road network supports the vast majority of all personal and commercial travel in the State. Roads provide for the movement of people in private vehicles and on bicycles, and for the movement of freight in trucks. The State highway system, which comprises less than nine percent of the total roadway mileage in California, handles 54 percent of the miles traveled. Further, the department continues its efforts in the areas of congestion relief, rail transportation, promotion of transportation technology, protection of the State's transportation investment, and environmental and worker protection. The department also provides technical assistance and development loans to more than 100 of California's public general aviation airports. The department's objectives are identified under four primary programs: Aeronautics, Highway Transportation, Mass Transportation and Transportation Planning.

SUMMARY OF PROGRAM**REQUIREMENTS**

	<i>95–96</i>	<i>96–97</i>	<i>97–98</i>	<i>1995–96*</i>	<i>1996–97*</i>	<i>1997–98*</i>
10 Aeronautics	31.6	32.3	29.8	\$5,605	\$15,167	\$9,880
20 Highway Transportation	14,980.2	14,848.2	14,100.3	4,243,963	4,793,695	5,351,379
30 Mass Transportation	237.0	194.9	164.3	213,876	205,857	268,238
40 Transportation Planning	157.0	188.3	185.3	44,164	39,342	39,166
50 Administration	1,777.6	1,510.0	1,509.7	139,776	99,393	187,740
60.10 Equipment Service Center	—	—	687.9	—	—	135,110
60.20 Distributed Equipment Service Center	—	—	—	—	—	—
98 State-Mandated Local Programs	—	—	—	—	108	—
TOTALS, PROGRAMS	17,183.4	16,773.7	16,677.3	\$4,647,384	\$5,153,562	\$5,856,403
0001 General Fund	—	—	—	—	108	—
0041 Aeronautics Account, STF	—	—	—	5,878	8,972	6,719
0042 State Highway Account, STF	—	—	—	1,814,786	2,270,701	2,518,055
0045 Bicycle Lane Account, STF	—	—	—	459	470	470
0046 Transportation Planning and Development Account, STF	—	—	—	119,199	105,632	120,398
0052 Local Airport Loan Account, STF	—	—	—	—106	2,850	2,850
0056 Seismic Safety Fund	—	—	—	29,224	—	—
0183 Environmental Enhancement Mitigation Demo Fund	—	—	—	5,000	10,265	10,000
0608 Equipment Service Fund	—	—	—	—	—	—
0653 Seismic Retrofit Bond Act of 1996	—	—	—	—	321,942	785,893
0676 Rideshare Vanpool Revolving Loan and Grant Fund	—	—	—	46	50	50
0756 Passenger Rail Bond Fund of 1990	—	—	—	293	—	—
0853 Petroleum Violation Escrow Account (PVEA) [†]	—	—	—	3,825	—	17,741
0890 Federal Trust Fund [†]	—	—	—	2,061,386	1,808,795	1,765,371
0942 Special Deposit Fund	—	—	—	9,184	—	—
0987 Toll bridge funds	—	—	—	55,393	125,680	160,282
0995 Reimbursements	—	—	—	542,817	498,097	468,574

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued**10 AERONAUTICS****Program Objectives Statement**

The Aeronautics program supports California's aviation activities by promoting optimum use of existing airports. California's aeronautical activity is greater than any other area in the United States. The Aeronautics program alleviates problems such as airborne traffic congestion, potential safety hazards, aircraft noise and airport terminal congestion by: (1) ensuring that airports and heliports comply with safety regulations; (2) providing engineering and financial assistance to local governments; (3) preparing the California Aviation System Plan which includes commercial air service; (4) providing guidance to local governments about airport land use; and (5) administering the airport noise standards regulations.

Major Budget Adjustments Proposed for 1997–98

- A reduction of \$147,000 and 2.5 personnel years in support operations reflecting the elimination of unnecessary aeronautics activities in the department's support budget. The \$147,000 will be re-directed to fund local assistance grants supporting the California Aid-to-Airports program.
- An increase of \$17,000 to offset inflation-driven costs which represents this program's share of \$18,703,000 department proposal to fund higher operating expense costs.

Authority

Public Utilities Code, Division 9.

20 HIGHWAY TRANSPORTATION**Program Objectives Statement**

The Highway Transportation program's highest priorities are maintaining and rehabilitating existing facilities for maximum use and building new roads and highways. This budget commits major resources to the development of a flexible congestion relief program in urban areas, improvements to the rural interregional road system, highway safety improvements, and ensures the efficient operation of the State highway system. The Highway Transportation program provides for: the administration, legal support, tort funding and related legal costs, coordination and control required by Federal and State law for implementing transportation projects and furnishing financial assistance to city and county transportation programs; the management of traffic through a system surveillance, travel forecasting, analysis and control effort; and the operation of toll bridges. In addition, the Highway Transportation program responds to the need for safe, efficient and environmentally compatible highway transportation service through the use of theoretical and applied research, testing and evaluation, demonstration projects, new technology development and resource conservation research.

Major Budget Adjustments Proposed for 1997–98

- An increase of \$151,694,000 in the Capital Outlay Support program to fund equipment expenses and to hire consultant firms to begin engineering design in 1997–98 in order to accelerate the delivery of State Transportation Improvement Plan, State Highway Operation and Protection Program, and other transportation projects.
- A reduction of \$48.9 million in support and an increase in capital outlay of \$157.2 million for Phase II of the highway bridge seismic retrofit program.
- An increase of \$7,100,000 in operating expenses to maintain new highway capacity and to pay the Highway Patrol to regulate traffic during highway maintenance projects. An additional \$514,000 is provided for administration and support costs, in Program 50—Administration.
- An increase of \$13,595,000 to hire consultants and pay operating expenses to maintain traffic management centers and operations and \$450,000 to fund contracts for weight-in-motion detectors which monitor highway traffic.
- An increase of \$15,193,000 to offset inflation-driven costs which represents this program's share of a \$18,703,000 department proposal to fund higher operating expenses costs.
- The proposed budget reflects a new schedule for seismic retrofit of the State's toll bridges which extends previously assumed schedules by up to six months. The Governor's Budget projects expenditures of \$97 million (Proposition 192 bond funds) for support operations and \$5 million (Proposition 192 bond funds) for capital outlay in 1996–97. Additionally, the Governor's Budget proposes \$70 million (Proposition 192 bond funds) for support operations and \$387 million (Proposition 192 bond funds) for capital outlay in 1997–98.

Authority

Government Code, Title 2, Division 3, Part 5; Streets and Highways Code, Divisions 1, 3 and 17; Vehicle Code, Division 11, Chapters 2, 3, 13 and 14; Public Utilities Code, Division 1, Part 1, Chapters 6 and 6.5; Public Resources Code, Division 15, Chapter 5.8; Business and Professions Code, Division 3, Chapter 2.

30 MASS TRANSPORTATION**Program Objectives Statement**

The Mass Transportation program supports California's transportation system by encouraging and implementing urban, rural and interregional public transportation which contributes to modal balance in the overall transportation system. The program encompasses the department's activities in the areas of rail and public transportation. These activities support the State's transportation system by providing leadership in the implementation of safe, effective public transportation, improved air quality, environmental protection and the efficient movement of people, information and services. Additionally, it promotes the conservation of energy resources by providing, developing and facilitating: (1) the transportation needs of all persons, including low-mobility and economically disadvantaged; (2) improved intercity passenger service through expanded service and related facility enhancements; (3) improved urban/commuter rail services and (4) enhanced mobility in congested corridors.

2660 DEPARTMENT OF TRANSPORTATION—Continued**Major Budget Adjustments Proposed for 1997–98**

- An increase of \$9,210,00 to pay for the estimated cost of AMTRAK to provide intercity passenger rail route services and to expand the San Joaquin-Sacramento train service. The proposal would be subject to federal decisions on the amount of AMTRAK support.
- A reduction of \$637,000 and 10.8 personnel years to reflect the reduction of department costs to administer federal grants for transporting senior and developmentally-challenged people as well as meeting AB 772, Chapter 669, Statutes of 1996 which placed restrictions on department overhead.
- An increase of \$291,000 and 3.0 personnel years to fund the High Speed Rail Authority established by SB 1420, Chapter 796, Statutes of 1996. The Authority will direct the planning, development, and coordination of intercity high speed rail service in California. An additional \$12,000 is provided for administration and support costs in Program 50—Administration.
- An increase of \$205,000 to offset inflation-driven costs which represents this program's share of a \$18,703,000 department proposal to fund higher operating expenses costs.

Authority

Government Code, Title 2, Division 3, Part 5, Chapter 1, Articles 3, 6 and Title 3; Division 3, Chapter 3, Article 2; Public Utilities Code, Division 4, Chapter 1, Article 9, Division 10, Part II, Chapter 4, Sections 702 and 2056 through 2059; Streets and Highways Code Sections 183.5, 199 through 199.10, 2170 through 2180, and 2500 through 2506.

40 TRANSPORTATION PLANNING**Program Objectives Statement**

The Transportation Planning program is designed to oversee implementation of statewide transportation policy through coordination and development of transportation plans and resulting projects. It prepares the long-range State transportation plan required by State and Federal law and provides long-range transportation system planning and transportation planning studies as input to the regional transportation plans, State Transportation Improvement Program (STIP) and departmental policies and programs. It ensures that the requirements of new legislation and departmental policy are evaluated and changes integrated into the various programs of the department. The program also provides analyses and recommendations regarding current transportation issues and improvements, management of planning activities on multi-modal transportation plans, air quality, goods movement, growth management, corridor preservation, traffic forecasts, emission modeling, and energy conservation. Through Advanced Transportation System Development activities, and clearing house/environmental review processes, an evaluation is made of the potential impact on the State transportation system of proposed local development. Further, the department builds and maintains partnerships with regional/local agencies and the private sector to coordinate transportation and local development.

Major Budget Adjustments Proposed for 1997–98

- An increase of \$639,000 and 6.0 personnel years to continue the department's efforts for California to meet anti-pollution standards set in the federal Clean Air Act and Intermodal Surface Transportation Efficiency Act. An additional \$23,000 is provided for administration and support costs in Program 50—Administration.
- An increase of \$37,000 to offset inflation-driven costs which represents this program's share of \$18,703,000 department proposal to fund higher operating expenses costs.

50 ADMINISTRATION

The Administration program provides the budgeting, accounting, auditing, personnel, administrative and computer services required to support the programmatic responsibilities of the department. Major activities include providing necessary accounting and related financial services, providing computer services, ensuring that the department's management and financial responsibilities are consistent with State requirements, and providing a wide range of personnel services, including training and equal opportunity programs.

Major Budget Adjustments Proposed for 1997–98

- The Administration program budget includes the Business, Information, and Technical Services program previously displayed in the Highway Transportation Program. This change will contribute to a more accurate accounting of Administration costs and does not have a net effect on department funding levels.
- An increase of \$1,005,000 and 12.4 personnel years to continue drug testing and follow-up tests involving staff holding commercial class drivers' licenses and working in sensitive safety areas. The proposal results from federal mandates.
- An increase of \$234,000 and 3.2 personnel years to continue efforts to monitor, oversee, and support the department's bond programs involving rail, clean air, and seismic retrofit bonds.
- An increase of \$1,766,000 to offset inflation-driven costs which represents this program's share of an \$18,703,000 department proposal to fund higher operating expenses costs.
- "Workload and Administrative Adjustments" reflect a department-wide reduction of 208.4 positions and \$7,469,000. The \$7,469,000 will be used instead to reduce the amount of time that positions are kept vacant thereby decreasing the budgeted salary savings by \$7,469,000.

60 EQUIPMENT SERVICE CENTER**Program Objectives Statement**

The Equipment Service Center program provides mobile fleet equipment and services including: 1) purchasing new vehicles; 2) receiving, servicing and equipping new units; 3) assembling equipment components into completed units; 4) managing the fleet, including payments for fuel and insurance; 5) repairing and maintaining the fleet; and 6) disposing of used vehicles.

Major Budget Adjustments Proposed for 1997–98

- The establishment of an Equipment Service Fund and full cost recovery system for mobile fleet equipment services which charges department programs directly for full equipment services based on usage.
- An increase of \$1,485,000 to offset inflation-driven costs which represents this program's share of an \$18,703,000 department proposal to fund higher operating expenses costs.

2660 DEPARTMENT OF TRANSPORTATION—Continued

PROGRAM BUDGET DETAIL

PROGRAM REQUIREMENTS

10 AERONAUTICS

State Operations:	1995-96*	1996-97*	1997-98*
0041 Aeronautics Account.....	\$2,556	\$2,583	\$2,451
0890 Federal Trust Fund ^f	214	430	433
0995 Reimbursements.....	2	3,000	—
Totals, State Operations	\$2,772	\$6,013	\$2,884
Local Assistance:			
0001 General Fund	—	—	—
0041 Aeronautics Account.....	2,939	6,304	4,146
0052 Local Airport Loan Account, STF	-106	2,850	2,850
Totals, Local Assistance	\$2,833	\$9,154	\$6,996

ELEMENT REQUIREMENTS

10.10 Safety and Local Assistance

State Operations:			
0041 Aeronautics Account.....	2,560	2,533	2,400
0890 Federal Trust Fund ^f	174	430	433
0995 Reimbursements.....	2	3,000	—
Local Assistance:			
0041 Aeronautics Account.....	2,939	6,304	4,146
0052 Local Airport Loan Account, STF	-106	2,850	2,850
10.30 Planning and Noise			
State Operations:			
0041 Aeronautics Account.....	-4	—	—
0890 Federal Trust Fund.....	40	—	—
10.65 Legal Program			
State Operations:			
0041 Aeronautics Account.....	—	50	51

PROGRAM REQUIREMENTS

20 HIGHWAY TRANSPORTATION

State Operations:			
0041 Aeronautics Account.....	—	\$52	—
0042 State Highway Account	\$1,150,263	1,237,594	\$1,249,101
0045 Bicycle Lane Account	—	10	10
0046 Transportation Planning and Development Account.....	—	866	—
0056 Seismic Safety Fund.....	30,680	—	—
0653 Seismic Retrofit Bond Act of 1996.....	—	194,406	119,000
0890 Federal Trust Fund ^f	297,960	238,171	299,007
0987 Toll Bridge Funds	45,244	75,601	66,486
0995 Reimbursements.....	53,079	37,318	33,188
Totals, State Operations	\$1,577,226	\$1,784,018	\$1,766,792
Local Assistance:			
0042 State Highway Account	230,945	189,029	272,251
0045 Bicycle Lane Account	459	460	460
0056 Seismic Safety Fund.....	199	—	—
0183 Environmental Enhancement Mitigation	5,000	10,265	10,000
0429 Local Jurisdiction Energy Assistance Account	—	—	—
0853 Petroleum Violation Escrow Account ^f	3,825	—	—
0890 Federal Trust Fund ^f	602,324	587,484	622,810
0995 Reimbursements.....	5,000	—	—
Totals, Local Assistance	\$847,752	\$787,238	\$905,521
Capital Outlay:			
0042 State Highway Account	275,808	715,892	757,395
0056 Seismic Safety Fund.....	-1,655	—	—
0653 Seismic Retrofit Bond Act of 1996.....	—	127,536	666,893
0890 Federal Trust Fund ^f	1,085,686	925,060	784,512
0942 Special Deposit Fund	9,184	—	—
0987 Toll Bridge Funds	5,415	44,700	83,600
0995 Reimbursements.....	444,547	409,251	386,666
Totals, Capital Outlay	\$1,818,985	\$2,222,439	\$2,679,066

ELEMENT REQUIREMENTS

20.10 Capital Outlay Support

State Operations:			
0042 State Highway Account	327,566	319,530	390,742
0056 Seismic Safety Fund.....	30,680	—	—
0653 Seismic Retrofit Bond Act of 1996.....	—	194,406	119,000
0890 Federal Trust Fund ^f	269,436	199,830	261,984

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	1995-96*	1996-97*	1997-98*
0987 Toll Bridge Fund	\$11,495	\$43,206	\$36,813
0995 Reimbursements.....	46,035	26,550	26,642
20.20 Capital Outlay Projects			
Capital Outlay:			
0042 State Highway Account	275,798	715,892	757,395
0056 Seismic Safety Fund.....	-1,655	-	-
0653 Seismic Retrofit Bond Act of 1996.....	-	127,536	666,893
0890 Federal Trust Fund ^f	1,085,686	925,060	784,512
0987 Toll Bridge Funds	5,415	44,700	83,600
0942 Special Deposit Fund	9,184	-	-
0995 Reimbursements.....	435,239	409,251	386,666
20.25 State-Local Transportation Partnership			
Local Assistance:			
0042 State Highway Account	139,335	34,800	91,000
20.30 Local Assistance			
State Operations:			
0042 State Highway Account	8,502	7,762	7,812
0045 Bicycle Lane Account	-	10	10
0890 Federal Trust Fund ^f	1,235	4	4
0987 Toll Bridge Fund	-	-	-
0995 Reimbursements.....	1,773	2,487	2,571
Local Assistance:			
0042 State Highway Account	91,610	154,229	181,251
0045 Bicycle Lane Account	459	460	460
0056 Seismic Safety Fund.....	199	-	-
0183 Environmental Enhancement Mitigation	5,000	10,265	10,000
0853 Petroleum Violation Escrow Account ^f	3,825	-	-
0890 Federal Trust Fund ^f	602,324	587,484	622,810
0995 Reimbursements.....	5,000	-	-
Capital Outlay:			
0042 State Highway Account	10	-	-
0995 Reimbursements.....	9,308	-	-
20.40 Program Development			
State Operations:			
0042 State Highway Account	20,844	27,228	28,647
0056 Seismic Safety Account.....	-	-	-
0890 Federal Trust Fund ^f	21,276	32,163	33,077
0995 Reimbursements.....	87	96	96
20.60 Business, Information and Technical Services			
State Operations:			
0041 Aeronautics Account.....	-	52	-
0042 State Highway Account	88,263	88,232	-
0046 Transportation Planning and Development Account.....	-	866	-
0890 Federal Trust Fund.....	20	2,295	-
0987 Toll Bridge Fund	1,833	4,131	-
0995 Reimbursements.....	-	4,304	-
20.65 Legal Program			
State Operations:			
0042 State Highway Account	58,053	61,387	61,422
0987 Toll Bridge Fund	-	510	510
0995 Reimbursements.....	50	6	6
20.70 Operations			
State Operations:			
0042 State Highway Account	91,805	91,270	108,956
0890 Federal Trust Fund ^f	2,923	1,626	1,689
0987 Toll Bridge Funds	22,284	19,705	19,929
0995 Reimbursements.....	4,983	3,663	3,688
20.80 Maintenance			
State Operations:			
0042 State Highway Account	439,117	508,232	639,304
0890 Federal Trust Fund ^f	3,070	2,253	2,253
0987 Toll Bridge Funds	9,632	8,049	9,234
0995 Reimbursements.....	151	212	185
20.90 Telecommunications			
0042 State Highway Account	116,113	133,953	12,218
PROGRAM REQUIREMENTS			
30 MASS TRANSPORTATION			
State Operations:			
0042 State Highway Account	\$767	\$643	\$665
0046 Transportation Planning and Development Account.....	57,961	65,881	74,010
0756 Passenger Rail Bond Account.....	293	-	-
0890 Federal Trust Fund ^f	16,189	2,191	1,973
0995 Reimbursements.....	2,306	3,576	1,570
Totals, State Operations	\$77,516	\$72,291	\$78,218
Local Assistance:			
0042 State Highway Account	25,550	39,228	77,743
0046 Transportation Planning and Development Account.....	48,231	26,170	32,503
0676 Rideshare Vanpool Revolving Loan and Grant Fund.....	46	50	50

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	1995-96*	1996-97*	1997-98*
0756 Passenger Rail Bond Account.....	—	—	—
0853 Petroleum Violation Escrow Account.....	—	—	\$17,741
0890 Federal Trust Fund ^f	\$23,882	\$28,118	27,000
Totals, Local Assistance.....	\$97,709	\$93,566	\$155,037
Capital Outlay:			
0042 State Highway Account.....	—	—	—
0756 Passenger Rail Bond Account.....	—	—	—
0890 Federal Trust Fund ^f	783	—	—
0995 Reimbursements.....	37,868	40,000	34,983
Totals, Capital Outlay.....	\$38,651	\$40,000	\$34,983
ELEMENT REQUIREMENTS			
30.10 State and Federal Mass Transit			
State Operations:			
0042 State Highway Account.....	—	—	—
0046 Transportation Planning and Development.....	5,286	5,117	4,756
0890 Federal Trust Fund ^f	1,331	1,993	1,735
0995 Reimbursements.....	1,188	1,197	1,197
Local Assistance:			
0890 Federal Trust Fund ^f	23,807	28,118	27,000
30.20 Rail Transit Capital			
State Operations:			
0042 State Highway Account.....	569	643	665
0046 Transportation Planning and Development Account.....	52,637	60,672	68,870
0890 Federal Trust Fund ^f	25	198	238
0995 Reimbursements.....	803	2,379	373
Local Assistance:			
0042 State Highway Account.....	25,550	39,228	77,743
0046 Transportation Planning and Development Account.....	48,231	26,170	32,503
0756 Passenger Rail Bond Account.....	—	—	—
0853 Petroleum Violation Escrow Account.....	—	—	17,741
0890 Federal Trust Fund ^f	75	—	—
Capital Outlay:			
0890 Federal Trust Fund ^f	721	—	—
0995 Reimbursements.....	37,864	40,000	34,983
30.25 Rail Authority			
State Operations:			
0046 Transportation Planning and Development Account.....	—	—	291
30.30 Interregional Public Transportation			
State Operations:			
0756 Passenger Rail Bond Account.....	293	—	—
Capital Outlay:			
0890 Federal Trust Fund ^f	62	—	—
0995 Reimbursements.....	4	—	—
30.40 Transfer Facilities and Services			
State Operations:			
0046 Transportation Planning and Development Account.....	20	—	—
30.65 Legal Program			
State Operations:			
0046 Transportation Planning and Development Account.....	—	92	93
30.80 Transportation Demand Management			
State Operations:			
0042 State Highway Account.....	198	—	—
0046 Transportation Planning and Development Account.....	18	—	—
0890 Federal Trust Fund ^f	14,833	—	—
0995 Reimbursements.....	315	—	—
Local Assistance:			
0676 Rideshare Vanpool Revolving Loan and Grant Account.....	46	50	50

PROGRAM REQUIREMENTS**40 TRANSPORTATION PLANNING**

State Operations:			
0046 Transportation Planning and Development Account.....	\$9,801	\$12,401	\$12,084
0890 Federal Trust Fund ^f	6,589	5,940	6,081
0995 Reimbursements.....	15	1	1
Totals, State Operations.....	\$16,405	\$18,342	\$18,166
Local Assistance:			
0890 Federal Trust Fund ^f	27,759	21,000	21,000
Totals, Local Assistance.....	\$27,759	\$21,000	\$21,000

ELEMENT REQUIREMENTS

40.10 Statewide Planning			
State Operations:			
0042 State Highway Account.....	—	—	—
0046 Transportation Planning and Development Account.....	\$6,759	\$7,734	\$7,422
0890 Federal Trust Fund ^f	3,812	4,442	4,621
0995 Reimbursements.....	15	—	—

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

40.20	Regional Planning			
State Operations:		1995-96*	1996-97*	1997-98*
0046	Transportation Planning and Development Account.....	\$2,955	\$4,529	\$4,522
0890	Federal Trust Fund ^f	2,777	1,498	1,460
0995	Reimbursements.....	—	1	1
Local Assistance:				
0890	Federal Trust Fund ^f	27,759	21,000	21,000
40.40	Work for Others			
State Operations:				
0046	Transportation Planning and Development Account.....	87	—	—
40.65	Legal Program			
State Operations:				
0046	Transportation Planning and Development Account.....	—	138	140

PROGRAM REQUIREMENTS**50 ADMINISTRATION**

State Operations:				
0041	Aeronautics Account.....	\$383	\$33	\$122
0042	State Highway Account	131,453	88,315	160,900
0046	Transportation Planning and Development Account.....	3,206	314	1,801
0987	Toll Bridge Funds	4,734	5,379	10,196
0995	Reimbursements.....	—	4,951	12,166
0890	Federal Trust Fund.....	—	401	2,555
Totals		<u>\$139,776</u>	<u>\$99,393</u>	<u>\$187,740</u>

ELEMENT REQUIREMENTS**50.10 General Administration**

State Operations:				
0041	Aeronautics Account.....	\$339	\$6	\$6
0042	State Highway Account	108,599	61,403	58,447
0046	Transportation Planning and Development Account.....	2,518	202	4
0987	Toll Bridge Funds	3,565	5,064	5,064
0995	Reimbursements.....	—	4,951	4,951

50.20 CENTRAL ADMINISTRATIVE SERVICES

State Operations:				
0041	Aeronautics Account.....	\$44	\$27	\$64
0042	State Highway Account	22,854	26,912	17,990
0046	Transportation Planning and Development Account.....	688	112	931
0890	Federal Trust Fund.....	—	401	260
0987	Toll Bridge Funds	1,169	315	995

50.60 BUSINESS, INFORMATION AND TECHNICAL SERVICES

State Operations:				
0041	Aeronautics Account.....	—	—	\$52
0042	State Highway Account	—	—	84,463
0046	Transportation Planning and Development Account.....	—	—	866
0890	Federal Trust Fund.....	—	—	2,295
0987	Toll Bridge Funds	—	—	4,137
0995	Reimbursements.....	—	—	7,215

60 EQUIPMENT SERVICE CENTER

State Operations:				
0608	Equipment Service Fund.....	—	—	\$135,110
Distributed Costs to Other Programs		—	—	-135,110

PROGRAM REQUIREMENTS**98 STATE-MANDATED LOCAL PROGRAMS**

Local Assistance:				
0001	General Fund	—	\$108	—

TOTAL EXPENDITURES

State Operations	\$1,813,695	1,980,057	\$2,053,800
Local Assistance.....	976,053	911,066	1,088,554
Capital Outlay	1,857,636	2,262,439	2,714,049
TOTALS, EXPENDITURES	<u>\$4,647,384</u>	<u>\$5,153,562</u>	<u>\$5,856,403</u>

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Authorized Positions (Equals Sch. 7A).....	17,183.4	17,667.6	17,617.6	\$845,865	\$878,386	\$882,636
Total Adjustments	—	—	-259.7	—	—	-7,774
Estimated Salary Savings	—	-893.9	-680.6	—	-53,732	-52,549
Net Totals, Salaries and Wages	17,183.4	16,773.7	16,677.3	\$845,865	\$824,654	\$822,313
Staff Benefits	—	—	—	241,833	225,223	229,053
Totals, Personal Services	17,183.4	16,773.7	16,677.3	\$1,087,698	\$1,049,877	\$1,051,366
OPERATING EXPENSES AND EQUIPMENT				\$688,186	\$888,824	\$961,078
SPECIAL ITEMS OF EXPENSE						
Tort Payments				37,811	41,356	41,356
TOTALS, EXPENDITURES				\$1,813,695	\$1,980,057	\$2,053,800

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0041 Aeronautics Account, State Transportation Fund ^s

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
001 Budget Act appropriation	\$2,919	\$2,655	\$2,573
011 Budget Act appropriation (transfer to Transportation Planning and Development Account)	(30)	(30)	(30)
Public Utilities Code Section 21505(c)	8	—	—
Adjustment per Section 3.60	43	13	—
Totals Available	\$2,970	\$2,668	\$2,573
Unexpended balance, estimated savings	-31	—	—
TOTALS, EXPENDITURES	\$2,939	\$2,668	\$2,573

0042 State Highway Account, State Transportation Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$951,217	\$1,072,152	\$1,392,296
002 Budget Act appropriation	409	332	—
007 Budget Act appropriation	18,370	18,370	18,370
012 Budget Act appropriation (Deficiencies)	—	—	(40,000)
021 Budget Act appropriation (transfer to Transportation Planning and Development Account)	(13,038)	(13,070)	(14,070)
022 Budget Act appropriation (transfer to Environmental Enhancement and Mitigation Demo Fund)	(9,126)	(10,000)	(5,828)
024 Budget Act appropriation (transfer to Seismic Safety Retrofit Account)	(6,848)	—	—
025 Budget Act appropriation	298,277	196,688	—
031 Budget Act appropriation (loan repayment to General Fund)	(77,000)	—	—
Adjustment per Section 3.60	13,209	4,274	—
Reduced expenditure authority per Item 2660-025-056, Budget Act of 1995, Provision 1	-22,516	—	—
Increased expenditure authority per Item 2660-305-0942, Provision 2	36,700	34,916	—
Increased expenditure authority per Item 2660-301-0987, Provision 1	8,610	—	—
Transfer from Capital Outlay	34,440	—	—
Transfer expenditure authority per Item 2660-025-0056	(22,516)	—	—
Transfer to Legislative Claims (9670)	-123	-152	—
Prior year balances available:			
Item 2660-002-042, Budget Act of 1994 as reappropriated by Item 2660-492, Budget Act of 1995 and 1996	360	6	—
Chapter 1472, Statutes of 1988 as reappropriated by Item 2660-492, Budget Acts of 1991, 1992, 1993, 1994, and 1995	1,433	—	—
Chapter 1109, Statutes of 1992 (Freeway Service Patrol)	390	—	—
Totals Available	\$1,340,776	\$1,326,586	\$1,410,666
Balance available in subsequent years	-6	—	—
Unexpended balance, estimated savings	-58,286	-34	—
TOTALS, EXPENDITURES	\$1,282,484	\$1,326,552	\$1,410,666

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

0045 Bicycle Lane Account, State Transportation Fund ^s

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
001 Budget Act appropriation	\$10	\$10	\$10
Unexpended balance, estimated savings	-10	-	-
TOTALS, EXPENDITURES	-	\$10	\$10

0046 Transportation Planning and Development Account,
State Transportation Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$73,378	\$79,354	\$87,895
Adjustment per Section 3.60	449	108	-
Totals Available	\$73,827	\$79,462	\$87,895
Unexpended balance, estimated savings	-2,859	-	-
TOTALS, EXPENDITURES	\$70,968	\$79,462	\$87,895

0056 Seismic Safety Retrofit Account, State Transportation Fund ^s

APPROPRIATIONS			
025 Budget Act appropriation	\$8,258	-	-
Increased expenditure authority per Provision 1	22,516	-	-
Adjustment per Section 3.60	65	-	-
Totals Available	\$30,839	-	-
Unexpended balance, estimated savings	-159	-	-
TOTALS, EXPENDITURES	\$30,680	-	-

0608 Equipment Service Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$135,110
Less charges in Item 2660-001-0041	-	-	-1
Less charges in Item 2660-001-0042	-	-	-126,678
Less charges in Item 2660-001-0046	-	-	-90
Less charges in Item 2660-501-0653	-	-	-1,476
Less charges in Item 2660-001-0890	-	-	-4,493
Less charges in Streets and Highway Code Division 17	-	-	-1,946
Less charges in Reimbursements	-	-	-426
TOTALS, EXPENDITURES	-	-	-

0653 Seismic Retrofit Bond Act of 1996 ^b

APPROPRIATIONS			
Government Code Section 8879.3 (expenditures)	-	\$194,406	\$119,000

0756 Passenger Rail Bond Fund of 1990 ^b

APPROPRIATIONS			
Government Code Section 16724.6 (expenditures)	\$293	-	-

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	\$269,198	\$247,217	\$310,049
Adjustment per Section 3.60	3,567	1,134	-
Budget adjustment	48,186	-1,218	-
TOTALS, EXPENDITURES	\$320,951	\$247,133	\$310,049

0987 Consolidated Toll Bridge Funds ⁿ

APPROPRIATIONS			
Streets and Highways Code, Division 17	\$49,978	\$80,980	\$76,682
San Francisco Bay Bridge Northern Unit Account (0500)	(17,846)	-	-
San Diego-Coronado Toll Revenue Fund (0536)	(273)	-	-
San Francisco Bay Bridge Southern Unit Account (0586)	(30,074)	-	-
Vincent Thomas Bridge Toll Revenue Fund (0596)	(1,785)	-	-
TOTALS, EXPENDITURES	\$49,978	\$80,980	\$76,682

0995 Reimbursements

Reimbursements	\$55,402	\$48,846	\$46,925
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,813,695	\$1,980,057	\$2,053,800

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

SUMMARY BY OBJECT

2 LOCAL ASSISTANCE

	1995-96*	1996-97*	1997-98*
661701 Grants and subventions (expenditures)	\$976,053	\$911,066	\$1,088,554

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
Prior year balances available:			
Chapter 241, Statutes of 1993	\$108	\$108	—
Balance available in subsequent years	-108	—	—
TOTALS, EXPENDITURES	—	\$108	—

0041 Aeronautics Account, State Transportation Fund ^s

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
Public Utilities Code Section 21680 (Airport acquisition/development)	\$1,522	\$4,834	\$2,666
Public Utilities Code Section 21681 (cities, counties, airport districts)	1,417	1,470	1,480
TOTALS, EXPENDITURES	\$2,939	\$6,304	\$4,146

0042 State Highway Account, State Transportation Fund ^s

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
101 Budget Act appropriation	\$63,060	\$20,760	\$449,802
125 Budget Act appropriation	165,900	172,780	—
Transfer from Item 2660-325-0042	20,567	243,586	—
126 Budget Act appropriation	—	8,300	—
Prior year balances available:			
Item 2660-125-042, Budget Act of 1991 as reappropriated by Item 2660-492,			
Budget Acts of 1994 and Item 2660-492, Budget Act of 1995	18,568	18,568	—
Item 2660-125-042, Budget Act of 1993	47,703	47,233	—
Item 2660-125-042, Budget Act of 1994	146,486	89,655	71,934
Item 2660-101-042, Budget Act of 1995	—	17,751	—
Item 2660-125-042, Budget Act of 1995	—	20,420	—
Item 2660-125-0042, Budget Act of 1996	—	—	274,661
Totals Available	\$462,284	\$639,053	\$796,397
Balance available in subsequent years	-193,627	-346,595	-375,469
Unexpended balance, estimated savings	-12,163	-64,201	-70,934
TOTALS, EXPENDITURES	\$256,494	\$228,257	\$349,994

0045 Bicycle Lane Account, State Transportation Fund ^s

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
101 Budget Act appropriation (Highway Transportation Program)	\$460	\$460	\$460
Unexpended balance, estimated savings	-1	—	—
TOTALS, EXPENDITURES	\$459	\$460	\$460

0046 Transportation Planning and Development Account,
State Transportation Fund ^s

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
101 Budget Act appropriation	\$15,124	—	\$82,259
125 Budget Act appropriation	39,876	\$25,000	—
Interest repayment to Petroleum Violation Escrow Account per Federal Audit...	—	(17,485)	—
Prior year balances available:			
Item 2660-101-046, Budget Act of 1993	29,500	29,500	—
Item 2660-125-046, Budget Act of 1993	25,207	9,222	—
Item 2660-101-046, Budget Act of 1994	462	—	—
Item 2660-125-046, Budget Act of 1994	18,658	2,485	—
Item 2660-125-046, Budget Act of 1995	—	39,389	33,000
Item 2660-125-0046, Budget Act of 1996	—	—	20,000
Totals Available	\$128,827	\$105,596	\$135,259
Balance available in subsequent years	-80,596	-53,000	-102,756
Unexpended balance, estimated savings	—	-26,426	—
TOTALS, EXPENDITURES	\$48,231	\$26,170	\$32,503

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

0052 Local Airport Loan Account, State Transportation Fund ^s

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
Public Utilities Code Section 21602 (as amended by Chapter 901, Statutes of 1986)	\$1,372	\$4,000	\$4,000
Loan repayments from local agencies	-1,478	-1,150	-1,150
TOTALS, EXPENDITURES	-106	\$2,850	\$2,850

0056 Seismic Safety Retrofit Account, State Transportation Fund ^s

APPROPRIATIONS			
Prior year balances available:			
Chapter 18, Statutes of 1989, First Extraordinary Session, Section 7 (expenditures)	\$199	-	-

0183 Environmental Enhancement and Mitigation Demo Fund ^s

APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$10,000
125 Budget Act appropriation	\$5,000	\$10,200	-
Prior year balances available:			
Chapter 944, Statutes of 1994	65	65	-
Totals Available	\$5,065	\$10,265	\$10,000
Balance available in subsequent years	-65	-	-
TOTALS, EXPENDITURES	\$5,000	\$10,265	\$10,000

0676 Ridesharing Vanpool Revolving Loan and Grant Fund ^b

APPROPRIATIONS			
Streets and Highways Code Section 2570	\$4,350	\$4,304	\$4,254
Balance available in subsequent years	-4,304	-4,254	-4,204
TOTALS, EXPENDITURES	\$46	\$50	\$50

0756 Passenger Rail Bond Fund of 1990 and 1992 ^b

APPROPRIATIONS			
Prior year balances available:			
Item 2660-101-756, Budget Act of 1993	\$586	-	-
Unexpended balance, estimated savings	-586	-	-
TOTALS, EXPENDITURES	-	-	-

0853 Petroleum Violation Escrow Account ^f

APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$17,741
Chapter 980, Statutes of 1995	\$3,825	-	-
TOTALS, EXPENDITURES	\$3,825	-	\$17,741

0890 Federal Trust Fund

APPROPRIATIONS			
101 Budget Act appropriation	\$556,000	\$565,000	\$670,810
Transfer from Capital Outlay	54,091	70,418	-
Budget adjustment	102,059	-57,000	-
Prior year balances available:			
Item 2660-101-890, Budget Act of 1995	-	58,184	-
Totals Available	\$712,150	\$636,602	\$670,810
Balance available in subsequent years	-58,184	-	-
TOTALS, EXPENDITURES	\$653,966	\$636,602	\$670,810

0995 Reimbursements

Reimbursements	\$5,000	-	-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$976,053	\$911,066	\$1,088,554

STATE BUILDING PROGRAM
EXPENDITURESActual
1995-96Estimated
1996-97Proposed
1997-98

SUMMARY BY OBJECT

3 CAPITAL OUTLAY

Summary of Office Building Projects

This schedule summarizes projected expenditures for the Office Building subcomponent of the Department of Transportation Capital Outlay program.

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES		Actual 1995-96	Estimated 1996-97	Proposed 1997-98
Projects				
20.20.500	Studies, preplanning and budget packages	—	\$100	\$100
20.20.501	Minor projects	—	641	—
20.20.502	Sacramento office headquarters.....	\$2,553	3,930	477
	Corrects fire and life safety deficiencies; adds ADA improvements.			
20.20.507	District office, San Diego	1,655	—	412
	Provides fire and life safety improvements.			
20.20.508	Headquarters office, Sacramento	—	—	—
	Provides fire and life safety improvements.			
	Totals, Office Building Capital Outlay Projects.....	\$4,208	\$4,671	\$989
Summary of Transportation Capital Outlay Projects				
TOTALS, EXPENDITURES		\$1,857,636	\$2,262,439	\$2,714,049

RECONCILIATION WITH APPROPRIATIONS

3 CAPITAL OUTLAY

0042 State Highway Account, State Transportation Fund ^s

APPROPRIATIONS				
301	Budget Act appropriation.....	\$17,700	—	\$976,521
311	Budget Act appropriation	1,655	\$2,118	989
325	Budget Act appropriation	1,387,242	766,028	—
326	Budget Act appropriation.....	44,800	—	—
	Transfer to State Operations.....	-34,440	—	—
	Transfer to Local Assistance	-20,567	-243,586	—
	Allocation from Item 2660-399-0042	5,000	5,000	5,000
Prior year balances available:				
	Item 2660-301-042, Budget Act of 1989 as reappropriated by Item 2660-490, Budget Act of 1993 and Item 2660-492, Budget Act of 1994.....	6,788	—	—
	Item 2660-301-042, Budget Act of 1990 as reappropriated by Item 2660-490, Budget Act of 1993 and Item 2660-492, Budget Acts of 1994 and 1995..	6,092	—	—
	Item 2660-301-042, Budget Act of 1990 as authorized by Item 2660-490, Budget Act of 1995 to pay for cost overruns of 1990 projects.....	5,000	—	—
	Item 2660-325-042, Budget Act of 1991 as reappropriated by Item 2660-493 Budget Act of 1993, and Item 2660-492, Budget Act of 1994.....	113,579	110,527	—
	Item 2660-325-042, Budget Act of 1992 as reappropriated by Item 2660-492, Budget Acts of 1994 and 1995	14,454	—	—
	Item 2660-325-042, Budget Act of 1992 as authorized by Item 2660-490, Budget Act of 1995 to pay for cost overruns of 1992 projects.....	5,000	—	—
	Item 2660-325-042, Budget Act of 1993.....	139,456	133,855	—
	Item 2660-311-042, Budget Act of 1994 as reappropriated by Item 2660-494, Budget Act of 1995	2,553	2,553	—
	Item 2660-325-042, Budget Act of 1994.....	176,630	79,942	2,978
	Item 2660-301-042, Budget Act of 1995.....	—	17,700	17,700
	Item 2660-325-042, Budget Act of 1995.....	—	1,204,241	224,781
	Item 2660-326-042, Budget Act of 1995.....	—	6,861	6,861
	Item 2660-325-0042, Budget Act of 1996	—	—	184,183
	Chapter 781, Statutes of 1990.....	343	345	345
	Totals Available	\$1,871,285	\$2,085,584	\$1,419,358
	Balance available in subsequent years	-1,556,024	-436,848	-390,893
	Unexpended balance, estimated savings	-39,453	-932,844	-271,070
	Item 2660-301-042, Budget Act of 1990.....	(11,093)	—	—
	Item 2660-804-042, Ch 781/90	—	—	(345)
	Item 2660-325-042, Budget Act of 1991.....	—	(110,527)	—
	Item 2660-325-042, Budget Act of 1992.....	(16,822)	—	—
	Item 2660-325-042, Budget Act of 1993.....	—	(133,855)	—
	Item 2660-325-042, Budget Act of 1989.....	(6,788)	—	—
	Item 2660-325-042, Budget Act of 1994.....	—	(85,309)	(732)
	Item 2660-311-042, Budget Act of 1995.....	(1,655)	—	—
	Item 2660-801-042, Budget Act of 1995.....	(3,095)	—	—
	Item 2660-301-042, Budget Act of 1995.....	—	—	(17,700)
	Item 2660-325-042, Budget Act of 1995.....	—	(603,153)	(196,956)
	Item 2660-326-042, Budget Act of 1995.....	—	—	(6,861)
	Item 2660-325-042, Budget Act of 1996.....	—	—	(48,476)
TOTALS, EXPENDITURES		\$275,808	\$715,892	\$757,395

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES		Actual 1995-96	Estimated 1996-97	Proposed 1997-98
0046 Transportation Planning and Development Account, State Transportation Fund				
APPROPRIATIONS				
302	Budget Act appropriation (expenditures)	\$0 ¹	\$0 ¹	\$0 ¹
¹ Fully reimbursed item.				
0056 Seismic Safety Retrofit Account, State Transportation Fund^s				
APPROPRIATIONS				
Prior year balances available:				
	Item 2660-325-056, Budget Act of 1994.....	\$1,728	—	—
	Chapter 18, Statutes of 1989, First Extraordinary Session, Section 7 as reappropriated by Item 2660-492, Budget Acts of 1993 and 1994.....	6,445	—	—
	Totals Available	\$8,173	—	—
	Unexpended balance, estimated savings	—9,828	—	—
TOTALS, EXPENDITURES		—\$1,655	—	—
0653 Seismic Retrofit Bond Act of 1996^b				
APPROPRIATIONS				
	Government Code 8879.3 (expenditures)	—	\$127,536	\$666,893
0756 Passenger Rail Bond Fund of 1990^b				
APPROPRIATIONS				
Prior year balances available:				
	Item 2660-301-756, Budget Act of 1993.....	\$95	—	—
	Unexpended balance, estimated savings	—95	—	—
TOTALS, EXPENDITURES		—	—	—
0890 Federal Trust Fund^f				
APPROPRIATIONS				
301	Budget Act appropriation.....	\$877,310	\$802,321	\$784,000
	Transfer to Local Assistance	—114,091	—70,417	—
	Budget adjustment.....	35,000	—	—
Prior year balances available:				
	Item 2660-301-890, Budget Act of 1991 as reappropriated by Item 2660-492, Budget Act of 1994	84,049	80,195	—
	Item 2660-301-890, Budget Act of 1992 as reappropriated by Item 2660-492, Budget Act of 1994	107,240	—	—
	Item 2660-301-890, Budget Act of 1993.....	29,541	1,811	—
	Item 2660-301-890, Budget Act of 1994.....	733,348	133,455	—
	Item 2660-301-890, Budget Act of 1995.....	—	322,443	128,775
	Item 2660-301-890, Budget Act of 1996	—	—	512
	Budget adjustment.....	—128,024	—215,461	—
	Totals Available	\$1,624,373	\$1,054,347	\$913,287
	Balance available in subsequent years	—537,904	—129,287	—
	Unexpended balance, estimated savings	—	—	—128,775
TOTALS, EXPENDITURES		\$1,086,469	\$925,060	\$784,512
0942 Special Deposit Fund, Petroleum Anti-Trust Litigation Settlementⁿ				
APPROPRIATIONS				
305	Budget Act appropriation.....	\$80,800	—	—
	Transfer expenditure authority to Item 2660-001-0042 per Provision 2.....	—36,700	—	—
Prior year adjustments:				
	Item 2660-305-942, Budget Act of 1995.....	—	\$34,916	—
	Transfer expenditure authority to Item 2660-001-0042 per Provision 2	—	—34,916	—
	Totals Available.....	\$44,100	—	—
	Balance available in subsequent years	—34,916	—	—
TOTALS, EXPENDITURES		\$9,184	—	—

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES		Actual 1995-96	Estimated 1996-97	Proposed 1997-98
0987 Consolidated Toll Bridge Funds ⁿ				
APPROPRIATIONS				
301 Budget Act appropriation.....		\$11,200	\$1,715	\$1,715
Transfer expenditure authority to Item 2660-001-0042 per Provision 1.....		-8,610	-	-
Streets and Highways Code, Division 17.....		4,540	44,700	83,600
San Francisco Bay Bridge Northern Unit Account (0500).....		(23,487)	-	-
San Diego-Coronado Toll Revenue Fund (0536).....		(1,115)	-	-
San Francisco Bay Bridge Southern Unit Account (0586).....		(-19,894)	-	-
Vincent Thomas Bridge (0596).....		(-167)	-	-
Balance available in subsequent years.....		-1,715	-1,715	-
Unexpended balance, estimated savings.....		-	-	-1,715
TOTALS, EXPENDITURES.....		\$5,415	\$44,700	\$83,600
0995 Reimbursements				
Reimbursements.....		\$482,415	\$449,251	\$421,649
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay).....		\$1,857,636	\$2,262,439	\$2,714,049
RECONCILIATION WITH APPROPRIATIONS				
4 UNCLASSIFIED				
0042 State Highway Account, State Transportation Fund				
APPROPRIATIONS				
399 Budget Act appropriation.....		\$5,000	\$5,000	\$5,000
Allocation to Capital Outlay.....		-5,000	-5,000	-5,000
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified).....		-	-	-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance, Capital Outlay and Unclassified).....		\$4,647,384	\$5,153,562	\$5,856,403
FUND CONDITION STATEMENT				
0041 Aeronautics Account, State Transportation Fund ²				
BEGINNING BALANCE.....				
Prior year adjustments.....		\$2,170	\$3,513	\$719
		199	-	-
Balance, Adjusted.....		\$2,369	\$3,513	\$719
REVENUES AND TRANSFERS				
Receipts:				
Revenues:				
141200 Sales of documents.....		4	5	5
150300 Income from surplus money investments.....		615	400	400
Totals, Revenues.....		\$619	\$405	\$405
Transfers from Other Funds:				
F00061 Motor Vehicle Fuel Account, Transportation Tax Fund per Revenue and Taxation Code, Section 8352.3.....		6,433	5,803	5,660
Transfers to Other Funds:				
T00046 Transportation Planning and Development Account, State Trans- portation Fund per Budget Act Item 2660-011-0041.....		-30	-30	-30
Totals, Revenues and Transfers.....		\$7,022	\$6,178	\$6,035
Totals, Resources.....		\$9,391	\$9,691	\$6,754

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES		Actual 1995-96	Estimated 1996-97	Proposed 1997-98
EXPENDITURES				
Disbursements:				
2660 Department of Transportation:				
State Operations		\$2,939	\$2,668	\$2,573
Local Assistance		2,939	6,304	4,146
Totals, Disbursements		\$5,878	\$8,972	\$6,719
FUND BALANCE		\$3,513	\$719	\$35
Reserves for economic uncertainties		\$3,513	\$719	\$35
² This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.				
0042 State Highway Account, State Transportation Fund ¹				
BEGINNING BALANCE		\$380,086	\$873,293	\$990,562
Prior year adjustments		51,112	—	—
Balance, Adjusted		\$431,198	\$873,293	\$990,562
REVENUES AND TRANSFERS ²				
Receipts:				
Revenues:				
114100 Motor vehicle registration (weight fees)		609,131	618,600	622,300
125700 Other regulatory licenses and permits (permit revenues)		7,000	7,012	7,024
141200 Sales of documents (materials)		1,500	1,530	1,561
142500 Miscellaneous services to the public		750	731	713
150300 Income from surplus money investments		25,405	32,000	25,600
151200 Income from Condemnation Deposits Fund investments		2,000	1,809	1,637
152200 Rentals of State property (real property income)		31,000	31,084	31,168
152300 Miscellaneous revenue from use of property and money		25,000	24,421	23,990
161400 Miscellaneous revenue		1,000	1,021	1,042
Totals, Revenues		\$702,786	\$718,208	\$715,035
Transfers from Other Funds:				
F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2108		1,470,374	1,533,943	1,565,249
F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Sections 2104.1 and 2107.6		5,000	5,000	5,000
F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2108		225,097	229,567	240,761
F00942 Petroleum Anti-Trust Special Dep Fund per Item 2660-305-942, Budget Act of 1995		36,700	—	—
F00987 Consolidated Toll Bridge Fund per Item 2660-301-987, Budget Act of 1995		8,610	—	—
Totals, Transfers from Other Funds		\$1,745,781	\$1,768,510	\$1,811,010
Transfers to Other Funds:				
T00001 General Fund per Item 2660-031-0042 (loan repayment)		-77,000	—	—
T00044 Motor Vehicle Account State Transportation Fund per Vehicle Code Section 42205		-33,412	—	—
T00044 Motor Vehicle Account, State Transportation Fund per Item 2720-011-0042 Budget Act of 1997		—	-12,800	—
T00046 Transportation Planning and Development Acct, State Transportation Fund per Budget Act Item 2660-021-0042		-13,038	-13,070	-14,070
T00183 Environmental Enhancement and Mitigation Demo Program Fund per Budget Act Item 2660-022-0042		-9,126	-10,000	-5,828
T00056 Seismic Safety Retrofit Account, State Transportation Fund per Budget Act Item 2660-024-0042		-27,505	—	—
T000308 Earthquake Risk Reduction Fund per Chapter 966, Statutes of 1996		—	-1,000	-1,000
Totals, Transfers to Other Funds		-\$160,081	-\$36,870	-\$20,898
Totals, Revenues and Transfers		\$2,288,486	\$2,449,848	\$2,505,147
Totals, Resources		\$2,719,684	\$3,323,141	\$3,495,709

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES		Actual 1995-96	Estimated 1996-97	Proposed 1997-98
EXPENDITURES				
Disbursements:				
1730	Franchise Tax Board:			
	State Operations.....	\$19	\$2	\$2
2600	California Transportation Commission:			
	State Operations.....	134	174	179
2660	Department of Transportation:			
	State Operations.....	1,282,484	1,326,552	1,410,666
	Local Assistance	256,494	228,257	349,994
	Capital Outlay	275,808	715,892	757,395
2720	California Highway Patrol:			
	State Operations.....	25,140	22,558	55,476
2740	Department of Motor Vehicles:			
	State Operations.....	—	30,214	32,327
3360	State Energy Commission	—	—	—
3480	Department of Conservation:			
	State Operations.....	12	12	12
8660	Public Utilities Commission:			
	State Operations.....	2,323	2,306	2,336
9370	Shared Revenues, Rental Payments to Counties:			
	Local Assistance	3,541	3,576	3,576
9625	Interest Payments to the Federal Government:			
	State Operations.....	312	500	500
9670	Legislative Claims:			
	State Operations.....	124	2,536	—
9900	Statewide General Administration Expenditures (Pro Rata)	—	—	—
	Totals, Disbursements	\$1,846,391	\$2,332,579	\$2,612,463
FUND BALANCE		\$873,293	\$990,562	\$883,246
Reserve for economic uncertainties		873,293	990,562	883,246

¹ This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

0045 Bicycle Lane Account, State Transportation Fund ²

BEGINNING BALANCE.....	\$573	\$670	\$640
Prior year adjustments.....	88	—	—
Balance, Adjusted.....	\$661	\$670	\$640
REVENUES AND TRANSFERS			
Receipts:			
Revenues:			
150300 Income from surplus money investments	108	80	80
Transfers from Other Funds:			
F00062 Highway Users' Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2106	360	360	360
Totals, Revenues and Transfers	\$468	\$440	\$440
Totals, Resources	\$1,129	\$1,110	\$1,080
EXPENDITURES			
Disbursements:			
2660 Department of Transportation:			
State Operations.....	—	10	10
Local Assistance	459	460	460
Totals, Disbursements.....	\$459	\$470	\$470
FUND BALANCE.....	\$670	\$640	\$610
Reserve for economic uncertainties	670	640	610

² This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES		Actual 1995-96	Estimated 1996-97	Proposed 1997-98
0046 Transportation Planning and Development Account, State Transportation Fund ²				
BEGINNING BALANCE.....		\$25,340	\$29,614	\$34,364
Prior year adjustments		12,267		
Balance, Adjusted.....		\$37,607	\$29,614	\$34,364
REVENUES AND TRANSFERS				
Receipts:				
Revenues:				
114900 Retail sales and use taxes.....		166,274	185,485	191,544
150300 Income from surplus money investments		6,904	10,000	10,000
Totals, Revenues.....		\$173,178	\$195,485	\$201,544
Transfers from Other Funds:				
F00041 Aeronautics Account, State Transportation Fund per Budget Act Item 2660-011-0041		30	30	30
F00042 State Highway Account, State Transportation Fund per Budget Act Item 2660-021-0042		13,038	13,070	14,070
Totals, Transfers from Other Funds		\$13,068	\$13,100	\$14,100
Transfers to Other Funds:				
T00853 Petroleum Violation Escrow Account for Interest repayment per Federal Audit		—	—17,485	—
Totals, Transfers		\$13,068	—\$4,385	\$14,100
Totals, Revenues and Transfers		\$186,246	\$191,100	\$215,644
Totals, Resources		\$223,853	\$220,714	\$250,008
EXPENDITURES				
Disbursements:				
0860 Board of Equalization:				
State Operations.....		177	—	—
2600 California Transportation Commission:				
State Operations.....		1,146	1,247	1,284
2640 Special Transportation Programs:				
Local Assistance		71,000	76,100	84,800
2660 Department of Transportation:				
State Operations.....		70,968	79,462	87,895
Local Assistance		48,231	26,170	32,503
6440 University of California:				
State Operations.....		956	956	956
8660 Public Utilities Commission:				
State Operations.....		1,761	2,415	2,414
Totals, Expenditures.....		\$194,239	\$186,350	\$209,852
FUND BALANCE.....		\$29,614	\$34,364	\$40,156
Reserve for unencumbered balance of continuing appropriations.....		29,614	24,620	30,149
Reserve for economic uncertainties		—	9,774	10,007

² This fund contains tax proceeds subject to the State Appropriations Limit, Article XIII B of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

0052 Local Airport Loan Account, State Transportation Fund

BEGINNING BALANCE.....		\$7,826	\$8,205	\$6,455
Prior year adjustments		—1,107	—	—
Balance, Adjusted.....		\$6,719	\$8,205	\$6,455
REVENUES AND TRANSFERS				
Receipts:				
Revenues:				
131200 Interest on loans to local agencies.....		965	1,000	1,000
150300 Income from surplus money investments		415	100	100
Totals, Revenues.....		\$1,380	\$1,100	\$1,100
Totals, Resources		\$8,099	\$9,305	\$7,555

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES		Actual 1995-96	Estimated 1996-97	Proposed 1997-98
EXPENDITURES				
Disbursements:				
2660 Department of Transportation:				
Local Assistance		\$1,372	\$4,000	\$4,000
Expenditure Reductions:				
2660 Department of Transportation:				
Local Assistance:				
Loan repayments from local agencies		-1,478	-1,150	-1,150
Totals, Expenditures		-106	\$2,850	\$2,850
FUND BALANCE		\$8,205	\$6,455	\$4,705
Reserve for economic uncertainties		8,205	6,455	4,705
0056 Seismic Safety Retrofit Account, State Transportation Fund				
BEGINNING BALANCE				
Prior year adjustments		173	-	-
		932	-	-
Balance, Adjusted		\$1,105	-	-
REVENUES AND TRANSFERS				
Receipts:				
150300 Income from surplus money investments		614	-	-
Transfers from Other Funds:				
F00042 State Highway Account, State Transportation Fund per Budget Act				
Item 2660-024-0042		27,505	-	-
F00042 Highway Account, State, STF per Item 2660-011-042, Budget Act of				
1994		-	-	-
Totals, Revenues and Transfers		\$28,119	-	-
Totals, Resources		\$29,224	-	-
EXPENDITURES				
Disbursements:				
2660 Department of Transportation:				
State Operations		30,680	-	-
Local Assistance		199	-	-
Capital Outlay		-1,655	-	-
Totals, Expenditures		\$29,224	-	-
FUND BALANCE		-	-	-
Reserve for economic uncertainties		-	-	-
0061 Motor Vehicle Fuel Account, Transportation Tax Fund ²				
BEGINNING BALANCE				
		\$196,552	\$216,685	\$235,663
REVENUES AND TRANSFERS				
Receipts:				
Revenues:				
113800 Motor vehicle fuel tax (gasoline)		2,422,051	2,518,083	2,568,463
113900 Jet fuel tax (AV gas refund)		1,364	1,400	1,400
114000 Motor vehicle fuel tax (diesel)		350,891	358,740	376,200
125700 Other regulatory licenses and permits		201	250	250
150300 Income from surplus money investments		3,752	4,000	4,000
161000 Escheat of unclaimed checks and warrants		50	50	50
Totals, Revenues		\$2,778,309	\$2,882,523	\$2,950,363
Transfers to Other Funds:				
T00041 Aeronautics Account, State Transportation Fund per Section				
8352.3, Revenue and Taxation Code		-6,433	-5,803	-5,660
T00062 Highway Users' Tax Account, Transportation Tax Fund per Section				
8353, Revenue and Taxation Code		-2,652,204	-2,753,030	-2,819,620
T00111 Agriculture Account, Agriculture Fund per Section 8352, Revenue				
and Taxation Code		-20,596	-20,600	-20,600
T00263 Off-Highway Vehicle Fund per Section 8352.6, Revenue and				
Taxation Code		-16,843	-17,500	-17,850

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES		Actual 1995-96	Estimated 1996-97	Proposed 1997-98
T00265	Conservation and Enforcement Services Account, Off-Highway Vehicle Fund per Section 8352.8, Revenue and Taxation Code.	-\$8,296	-\$8,550	-\$8,750
T00392	Parks and Recreation Account, State Parks and Recreation Fund per Item 3790-012-061, Budget Acts of 1993 and 1994	-11,649	-12,744	-11,649
Totals, Transfers to Other Funds.....		-\$2,716,021	-\$2,818,227	-\$2,884,129
Totals, Revenues and Transfers		\$62,288	\$64,296	\$66,234
Totals, Resources		\$258,840	\$280,981	\$301,897
EXPENDITURES				
Disbursements:				
0840 State Controller:				
State Operations.....		2,860	2,916	3,013
0860 State Board of Equalization:				
State Operations.....		12,447	14,242	15,534
3680 Department of Boating and Waterways:				
Unclassified.....		26,848	28,155	29,850
9670 Legislative Claims:				
State Operations.....		-	5	-
Totals, Disbursements.....		\$42,155	\$45,318	\$48,397
FUND BALANCE.....		\$216,685	\$235,663	\$253,500
Reserve for transfer		216,685	235,663	253,500

² This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

0183 Environmental Enhancement and Mitigation Demo Fund

BEGINNING BALANCE.....		\$2,820	\$4,471	\$4,289
Prior year adjustments		1,604		
Balance, Adjusted.....		\$4,424	\$4,471	\$4,289
REVENUES AND TRANSFERS				
Revenues:				
150300 Income from surplus money investments.....		1,037	200	200
Transfers from Other Funds:				
F00042 State Highway Account, State Transportation Fund per Budget Act Item 2660-022-0042		9,126	10,000	\$5,828
Transfers to Other Funds:				
T00262 Habitat Conservation Fund, per Item 3640-101-183, Budget Act of 1995.....		-5,000	-	-
Totals, Revenues and Transfers.....		\$5,163	\$10,200	\$6,028
Totals, Resources		\$9,587	\$14,671	\$10,317
EXPENDITURES				
Disbursements:				
0540 (3030) Secretary for Resources:				
State Operations.....		116	117	117
2660 Department of Transportation:				
Local Assistance		5,000	10,265	10,000
Totals, Expenditures.....		\$5,116	\$10,382	\$10,117
FUND BALANCE.....		\$4,471	\$4,289	\$200
Reserve for economic uncertainties		4,471	4,289	200

0608 Equipment Service Fund

BEGINNING BALANCE.....		-	-	-
------------------------	--	---	---	---

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

	STATE BUILDING PROGRAM EXPENDITURES	Actual 1995-96	Estimated 1996-97	Proposed 1997-98
EXPENDITURES				
Disbursements:				
2660 Department of Transportation:				
State Operations.....		—	—	\$135,110
Expenditure Reductions:				
2660 Department of Transportation:				
Less Charges to other funds (State operations).....		—	—	-135,110
Totals, Expenditures.....		—	—	—
FUND BALANCE.....		—	—	—
Reserve for economic uncertainties		—	—	—
0653 Seismic Safety Bond Act of 1996				
BEGINNING BALANCE.....		—	—	\$18,058
REVENUES AND TRANSFERS				
Receipts:				
520000 Proceeds from sale of bonds		—	\$340,000	900,000
Total, Resources.....		—	\$340,000	\$918,058
EXPENDITURES				
Disbursements:				
2660 Department of Transportation:				
State Operations:				
Phase 2		—	\$97,863	\$49,000
Toll.....		—	96,543	70,000
Totals, State Operations		—	\$194,406	\$119,000
Capital Outlay:				
Phase 2		—	122,268	279,443
Toll.....		—	5,268	387,450
Totals, Capital Outlay		—	\$127,536	\$666,893
Total Disbursements		—	\$321,942	\$785,893
FUND BALANCE.....		—	\$18,058	\$132,165
0676 Ridesharing Vanpool Revolving Loan & Grant Fund				
BEGINNING BALANCE.....		\$4,374	\$4,592	\$4,542
Prior year adjustment		—	—	—
Balance, Adjusted.....		\$4,374	\$4,592	\$4,542
REVENUES AND TRANSFERS				
Operating Revenues:				
215000 Income from surplus money investments		264	—	—
Totals, Resources.....		\$4,638	\$4,592	\$4,542
EXPENDITURES				
Disbursements:				
2660 Department of Transportation:				
Local Assistance.....		46	50	50
FUND BALANCE.....		\$4,592	\$4,542	\$4,492
Reserve for grant program		—	—	—
Reserve for loan program		—	—	—
0756 Passenger Rail Bond Fund of 1990				
BEGINNING BALANCE.....		\$2,046	—	—
Prior year adjustments		-1,753	—	—
Balance, Adjusted.....		\$293	—	—

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES		Actual 1995-96	Estimated 1996-97	Proposed 1997-98
EXPENDITURES				
Disbursements:				
0840 State Controller's Office:				
State Operations.....		—	—	—
2660 Department of Transportation:				
State Operations.....		\$293	—	—
Local Assistance		—	—	—
Capital Outlay		—	—	—
Totals, Disbursements.....		\$293	—	—
FUND BALANCE		—	—	—
0942 Petroleum Anti-Trust Account, Special Deposit Fund				
BEGINNING BALANCE		\$80,800	\$39,317	\$4,401
Prior year adjustments		1,305	—	—
Balance, Adjusted.....		\$82,105	\$39,317	\$4,401
REVENUES AND TRANSFERS:				
Receipts:				
150300 Income from Surplus Money Investments		3,096	—	—
Transfers to Other Funds:				
T00042 Highway Account, State per Item 2660-001-042, Budget Act of 1995.		—36,700	—34,916	—
Totals, Resources.....		\$48,501	\$4,401	\$4,401
EXPENDITURES				
Disbursements:				
2660 Department of Transportation:				
Capital Outlay		9,184	—	—
FUND BALANCE		\$39,317	\$4,401	\$4,401

¹ Reflects one-time funds available to California for expenditure in the 1995-96 fiscal year from the final U.S. District Court settlement in Petroleum Products Antitrust litigation (MDL Docket No. 150 AWT).

0987 Consolidated Toll Bridge Funds ⁿ

BEGINNING BALANCE		\$447,688	\$516,355	\$533,013
REVENUES AND TRANSFERS				
Receipts:				
Operating Revenues:				
211000 Services to public (Sec. 211000).....		\$137,600	\$143,315	\$147,614
213000 Property and Natural Services (Sec. 213000).....		3,627	3,780	3,893
215000 Income from Investments (Sec. 215000).....		32,257	30,000	35,000
Totals, Operating Revenues		\$173,484	\$177,095	\$186,507
Transfers to Other Funds:				
T00049 Toll Bridge Revenues Account.....		—\$3,441	—\$2,528	—\$2,700
T00042 State Highway Account (Item 2660-301-0987, Budget Act of 1995)		—8,610	—	—
T00049 Toll Bridge Revenues Account per Sec 30890		—12,215	—11,800	—12,000
T00049 Toll Bridge Revenues Account per Sec 30914		—9,674	—10,900	—11,000
T00049 Toll Bridge Revenues Account per Sec 30913b & 30914a		—1,355	—850	—1,000
Totals, Transfer to Other Funds.....		—\$35,295	—\$26,078	—\$26,700
Total, Revenues & Transfers		\$138,189	\$151,017	\$159,807
Total, Resources.....		\$585,877	\$667,372	\$692,820

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES			Actual 1995-96	Estimated 1996-97	Proposed 1997-98
EXPENDITURES					
Disbursements:					
2660 Department of Transportation					
State Operations.....			\$49,978	\$80,980	\$76,682
Capital Outlay.....			5,415	44,700	83,600
Total Disbursements.....			\$55,393	\$125,680	\$160,282
Other Disbursements:					
Debt Service.....			14,111	8,659	8,641
Payments of agent's fees.....			18	20	20
Total Other Disbursements.....			\$14,129	\$8,679	\$8,661
Total Expenditures.....			\$69,522	\$134,359	\$168,943
FUND BALANCE.....			\$516,355	\$537,013	\$523,877

**CHANGES IN
AUTHORIZED POSITIONS**

Totals, Authorized Positions.....	17,183.4	17,667.6	17,617.6	\$845,865	\$878,386	\$882,636
Totals, Adjusted Authorized Positions.....	17,183.4	17,667.6	17,617.6	\$845,865	\$878,386	\$882,636
Workload and Administrative Adjustments:				Salary Range		
Reductions in Authorized Positions:						
Aeronautics:						
Assoc Transp Planner.....	—	—	—0.3	3,602-4,346	—	—13
Highways:						
Supvng Transp Engr.....	—	—	—6.0	5,408-5,963	—	—389
Sr Transp Engr.....	—	—	—15.0	4,454-5,413	—	—802
Assoc Transp Elec Eng Supvr.....	—	—	—3.0	3,869-4,702	—	—139
Assoc Transp Engr.....	—	—	—6.9	2,868-4,702	—	—237
Transp Engr Elec.....	—	—	—4.7	2,868-4,700	—	—162
Landscape Assoc.....	—	—	—2.2	2,868-4,700	—	—76
Transp Engr Civil.....	—	—	—57.7	2,868-4,700	—	—1,986
Land Survey Supvr.....	—	—	—1.0	3,692-4,487	—	—44
Assoc Admin Spec.....	—	—	—4.7	3,430-4,139	—	—193
Asst Land Surveyor.....	—	—	—4.7	2,797-3,988	—	—158
Transp Engrng Techn.....	—	—	—9.0	2,544-3,717	—	—275
Asst Admin Spec.....	—	—	—4.4	2,197-3,430	—	—116
Maint Supvr.....	—	—	—3.0	2,789-3,357	—	—100
Caltrans Heavy Equipt Mech.....	—	—	—10.3	2,916-3,200	—	—360
Equipt Opr.....	—	—	—33.6	2,544-2,789	—	—1,026
Ofc Serv Supvr-Typ.....	—	—	—1.0	2,038-2,478	—	—24
Ofc Techn-Typ.....	—	—	—6.7	2,038-2,477	—	—164
Landscape Maint Worker.....	—	—	—10.9	2,239-2,431	—	—293
Mass Transportation:						
Assoc Transp Planner.....	—	—	—2.0	3,602-4,346	—	—86
Planning:						
Assoc Transp Planner.....	—	—	—2.3	3,602-4,346	—	—99
Administration:						
Staff Services Mgr I.....	—	—	—2.2	3,958-4,775	—	—104
Assoc Programmer Analyst.....	—	—	—2.7	3,602-4,346	—	—117
Assoc Info Systems Analyst.....	—	—	—1.8	3,602-4,346	—	—78
Assoc Admin Spec.....	—	—	—4.8	3,430-4,139	—	—198
Acctg Off Spec.....	—	—	—3.2	2,996-3,602	—	—115
Asst Info Systems Analyst.....	—	—	—0.9	2,423-3,602	—	—26
Asst Admin Spec.....	—	—	—3.4	2,197-3,430	—	—89
Subtotals, Workload & Administrative Adjustments.....	—	—	—208.4	—	—	—\$7,469

* Dollars in thousands.

2660 DEPARTMENT OF TRANSPORTATION—Continued

STATE BUILDING PROGRAM EXPENDITURES				Actual 1995-96	Estimated 1996-97	Proposed 1997-98
Proposed Deleted Positions:						
Aeronautics:				Salary Range		
Assoc Transp Planner	—	—	—1.5	\$3,602-4,346	—	-\$65
Assoc Aviation Consultant	—	—	—0.5	3,595-4,347	—	-22
Word Processing Techn	—	—	—0.6	1,760-2,298	—	-14
Highways:						
Maint Supvr	—	—	—19.0	2,789-3,357	—	-713
Equipt Opr	—	—	—19.0	2,544-2,789	—	-579
Highway Maint Worker	—	—	—19.0	2,239-2,431	—	-509
Landscape Maint Worker	—	—	—6.2	2,239-2,431	—	-170
Mass Transportation:						
Assoc Transp Planner	—	—	—11.4	3,602-4,346	—	-493
Totals, Proposed Deleted Positions...	—	—	—77.2	—	—	-\$2,565
Proposed New Positions:						
Highways						
Overtime	—	—	—	—	—	1,064
Mass Transportation:						
C.E.A. III	—	—	1.1	4,811-9,732	—	91
Rail Transp Assoc	—	—	2.1	3,684-4,441	—	112
Planning:						
Sr Environmental Planner	—	—	2.1	4,346-5,244	—	132
Sr Transp Planner	—	—	1.6	4,346-5,244	—	99
Assoc Transp Planner	—	—	2.6	3,602-4,346	—	137
Administration:						
Staff Services Mgr I	—	—	1.8	3,958-4,775	—	83
Assoc Budget Analyst	—	—	3.4	3,430-4,139	—	159
Assoc Admin Spec	—	—	6.8	3,430-4,139	—	280
Ofc Techn-Typ	—	—	3.2	2,038-2,477	—	79
Ofc Asst-Typ	—	—	1.2	1,656-2,138	—	24
Totals, Proposed New Positions	—	—	25.9	—	—	\$2,260
Totals, Adjustments	—	—	—259.7	—	—	-\$7,774
TOTALS, SALARIES AND WAGES	17,183.4	17,667.6	17,357.9	\$845,865	\$878,386	\$874,862

2700 OFFICE OF TRAFFIC SAFETY

The Office of Traffic Safety (OTS) was established in 1967 for the purpose of administering the California Traffic Safety Program. Under the provisions of State law, the OTS carries out a wide range of activities designed to reduce deaths, injuries and property damage that result from traffic accidents. The activities include: (1) developing the California Highway Safety Plan which identifies major traffic safety problems, appropriate countermeasure programs and available State and Federal funds; (2) administering funds to State and local governmental entities in the form of project grant agreements; and (3) coordinating statewide traffic safety programs and activities.

The major areas of traffic safety activity are alcohol and drugs, police traffic services, occupant protection, traffic records, traffic engineering and emergency medical services.

Authority

Vehicle Code, Division 2, Chapter 5.

SUMMARY OF PROGRAM

REQUIREMENTS		95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
10	California Traffic Safety Program	26.1	27.3	27.8	\$29,661	\$25,517	\$25,515
TOTALS, PROGRAM		26.1	27.3	27.8	\$29,661	\$25,517	\$25,515
0044	Motor Vehicle Account, State Transportation Fund				329	336	334
0890	Federal Trust Fund				29,332	25,181	25,181

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES		95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Authorized Positions (Equals Sch. 7A)		26.1	28.0	28.0	\$1,110	\$1,178	\$1,190
Estimated Salary Savings		—	—0.7	—0.2	—	—29	—8
Net Totals, Salaries and Wages		26.1	27.3	27.8	\$1,110	\$1,149	\$1,182
Staff Benefits		—	—	—	328	367	373
Totals, Personal Services		26.1	27.3	27.8	\$1,438	\$1,516	\$1,555

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2700 OFFICE OF TRAFFIC SAFETY—Continued

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
OPERATING EXPENSES AND EQUIPMENT	\$2,557	\$1,590	\$1,548
SPECIAL ITEMS OF EXPENSE	15,209	11,729	11,730
TOTALS, EXPENDITURES	\$19,204	\$14,835	\$14,833

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0044 Motor Vehicle Account, State Transportation Fund ***

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
APPROPRIATIONS			
001 Budget Act appropriation	\$326	\$334	\$334
Adjustment per Section 3.60	5	2	—
Totals Available	\$331	\$336	\$334
Unexpended balance, estimated savings	-2	—	—
TOTALS, EXPENDITURES	\$329	\$336	\$334

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	\$14,458	\$14,490	\$14,499
Adjustment per Section 3.60	32	9	—
Budget adjustment	4,385	—	—
TOTALS, EXPENDITURES	\$18,875	\$14,499	\$14,499
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$19,204	\$14,835	\$14,833

SUMMARY BY OBJECT**RECONCILIATION WITH APPROPRIATIONS****2 LOCAL ASSISTANCE****0890 Federal Trust Fund**

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
APPROPRIATIONS			
101 Budget Act appropriation	\$10,682	\$10,682	\$10,682
Budget adjustment	-225	—	—
TOTALS, EXPENDITURES (Local Assistance)	\$10,457	\$10,682	\$10,682
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$29,661	\$25,517	\$25,515

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL

The principal objective of the Department of the California Highway Patrol is to ensure the safe, convenient, and efficient transportation of people and goods across the state's highway system.

SUMMARY OF PROGRAM

	<i>95-96</i>	<i>96-97</i>	<i>97-98</i>	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
REQUIREMENTS						
10 Traffic Management	7,895.9	8,155.9	8,368.7	\$636,833	\$670,055	\$715,276
20 Regulation and Inspection	879.7	953.3	983.8	70,947	77,630	81,008
30 Vehicle Ownership Security	223.0	208.7	211.7	17,988	19,677	21,928
35 Protective Services	347.6	413.3	401.3	28,032	31,966	32,808
40.01 Administration	1,280.5	1,804.0	1,804.0	103,279	124,687	133,863
40.02 Distributed Administration	-1,280.5	-1,804.1	-1,804.0	-103,279	-124,687	-133,863
98 State Mandated Local Programs	—	—	—	9	191	—
TOTALS, PROGRAMS	9,346.2	9,731.2	9,965.5	\$753,809	\$799,519	\$851,020
Unallocated Reduction	—	—	—	—	-7,500	—
Unclassified Disencumbrances	—	—	—	-9,200	—	—
NET TOTALS, PROGRAMS	9,346.2	9,731.2	9,965.5	\$744,609	\$792,019	\$851,020
0001 General Fund	—	—	—	9	3,653	3,462
0042 State Highway Account, State Transportation Fund	—	—	—	25,140	22,558	55,476
0044 Motor Vehicle Account, State Transportation Fund	—	—	—	660,856	702,619	726,292
0138 Commercial Motor Carrier Safety Enforcement Fund	—	—	—	243	1,522	—
0246 Protective Services Fund	—	—	—	28,032	28,201	26,149
0292 Motor Carrier Permit Fund	—	—	—	—	1,935	1,439
0293 Motor Carrier Safety Improvement Fund	—	—	—	—	—	1,510

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

		<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
0840	<i>California Motorcycle Safety Fund.....</i>	\$1,361	\$1,500	\$742
0890	<i>Federal Trust Fund</i>	4,026	4,695	3,914
0942	<i>Hazardous Substance Account, Special Deposit Fund</i>	8	200	200
0942	<i>Asset Forfeiture Account, Special Deposit Fund.....</i>	687	2,002	2,002
0995	<i>Reimbursements</i>	24,247	23,134	29,834

Major Budget Adjustments Included for 1996-97

- Due in part to the unexpected unavailability of surplus earnings in California Highway Patrol's retirement account, in 1995-96, in the Public Employees Retirement System, which has been used to offset employee and employer contributions; as well as increased employee compensation expenses; the Motor Vehicle Account has insufficient resources to remain solvent in 1996-97 and subsequent years unless sufficient actions are taken to rebalance the account.
- An estimated savings of \$7.5 million to maintain the solvency of the Motor Vehicle Account is reflected in the budget as an unallocated reduction. The budget also assumes that a new \$40 million allocation of PERS surplus assets will be available by June 30, 1997 to offset \$22.2 million in 1996-97 employer contributions. The balance is assumed to be available in 1997-98.

10 TRAFFIC MANAGEMENT**Program Objectives Statement**

The objectives of this program are to minimize deaths, injuries, and property losses due to traffic accidents; to minimize traffic delays to the motoring public; and to provide protection and assistance to the motoring public.

Major Budget Adjustments Included for 1997-98

- \$5,174,000 for the prorated share of telecommunications projects to address current communication requirements.
- \$3,314,000 to provide full-year funding for the 180 vacant Officer positions. This is the final increment of funding needed to increase filled Officer positions by 500 over three years.
- \$1,000,000 to provide funding for the upgrade of 28 Officer positions to Sergeants to strengthen supervisory control.
- \$961,000 in reimbursement authority in connection with the Cellular 9-1-1 Program.
- A reduction of \$741,000 in connection with the anticipated termination of the CHP's involvement with the California Motorcyclist Safety Program effective with the sunset of the authorizing statute on January 1, 1998.

Authority

Vehicle Code, Division 2, Chapter 2, Article 3.

20 REGULATION AND INSPECTION**Program Objectives Statement**

The major objectives of this program are: to reduce the incidence of truck and bus accidents attributable to defective vehicle equipment, improper maintenance, loading or securement of cargo, or disqualified drivers; to protect the public from spills of hazardous materials or specially regulated loads and from the improper operation of specified vehicles such as ambulances and armored cars; to protect farm workers transported in farm labor vehicles and children transported in school buses; to ensure that proper registration fees are paid; and to protect highways from excessive weights. Goals are to inspect as many vehicles, loads, hazardous materials shipping facilities, and vehicle maintenance facilities as can be accomplished with the resources available.

Major Budget Adjustments Included for 1996-97

- A \$12.8 million loan is proposed from the State Highway Account to the Motor Vehicle Account, effective June 30, 1997, to support the Commercial Vehicle Inspection Program in order to maintain the solvency of the fund in Fiscal Year 1996-97.
- 19 personnel years and \$1,935,000 to transfer responsibilities relative to regulation of motor carriers of property from the California Public Utilities Commission to the CHP pursuant to Chapter 1042, Statutes of 1996.

Major Budget Adjustments Included for 1997-98

- An increase of \$31.8 million from the State Highway Account to fully fund the Commercial Vehicle Inspection Program with State Highway Account Funds as part of the strategy to restore the solvency of the Motor Vehicle Account.
- 19 personnel years and \$1,439,000 to transfer responsibilities relative to regulation of motor carriers of property from the California Public Utilities Commission to the CHP.
- \$980,000 to staff and equip the new inspection facility at Cottonwood.
- \$311,000 for the prorated share of telecommunications projects to address current communication requirements.
- A reduction of 13.3 personnel years and \$894,000 in connection with the termination of the Hazardous Waste Transport Vehicle and Container Inspection and Certification Program.

Authority

Vehicle Code Sections 2416, 2417, 2501, 2510, 2512, 2802, 2804, 2805, 2807, 2807.1, 2813, 12519, 12522, 31401, 34500, et seq., 34501, Division 14.8; Education Code Section 39813; Administrative Code Section 14204.

30 VEHICLE OWNERSHIP SECURITY**Program Objective Statement**

The objective of this program is to protect the public from economic losses as a result of vehicle theft. This objective is accomplished by: (1) investigation and prosecution of the professional vehicle thief; (2) assistance and training of CHP and allied agency personnel; and (3) prevention of vehicle theft through public awareness and coordination with industry. The objective also includes a program to ensure that vehicles registered in California have an appropriate vehicle identification number attached.

* Dollars in thousands.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued**Major Budget Adjustment Included for 1996–97**

- 7.6 personnel years and \$641,000 to reestablish the Salvage Vehicle Inspection Program, pursuant to Chapter 450, Statutes of 1996 (SB 1713).

Major Budget Adjustments Included for 1997–98

- 15.2 personnel years and \$1,172,000 to reestablish the Salvage Vehicle Inspection Program.
- 11 personnel years and \$845,000 in reimbursement authority in connection with the CHP's involvement in various Automotive Theft Task Forces.
- \$149,000 for the prorated share of telecommunications projects to address current communication requirements.

Authority

Vehicle Code Sections 2400 and 2805.

35 PROTECTIVE SERVICES PROGRAM**Program Objective Statement**

The objective of this program is to provide protective services and security for state employees and property.

Major Budget Adjustments Included for 1997–98

- A reduction of 13.3 personnel years and \$819,000 related to the termination of a protective services contract with the Department of Transportation for the Transbay Terminal.

40 ADMINISTRATION**Major Budget Adjustments Included for 1997–98**

- \$1,000,000 to provide funding for the upgrade of 28 Officer positions to Sergeants to strengthen supervisory control and reflect the completion of the 500 Officer increase in deployed staff.
- \$454,000 for the prorated share of telecommunications projects to address current communication requirements.

Authority

Vehicle Code, Division 2, Chapter 2, Article 1.

98 STATE-MANDATED LOCAL PROGRAMS**Program Objective Statement**

The objective of this program is to provide funding, pursuant to Section 6 of Article XIII B of the California Constitution, to reimburse local entities for costs they incur in complying with certain state-mandated local programs.

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****10 TRAFFIC MANAGEMENT**

	<i>1995–96*</i>	<i>1996–97*</i>	<i>1997–98*</i>
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund.....	\$612,176	\$645,751	\$689,017
0840 California Motorcycle Safety Fund.....	1,361	1,500	742
0890 Federal Trust Fund.....	366	437	398
0942 Asset Forfeiture Account, Special Deposit Fund.....	344	1,001	1,001
0995 Reimbursements.....	22,586	21,366	24,118
Totals, State Operations	\$636,833	\$670,055	\$715,276

ELEMENT REQUIREMENTS

10.10 Ground Operations.....	624,804	657,569	701,990
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund.....	600,147	633,265	675,731
0840 California Motorcycle Safety Fund.....	1,361	1,500	742
0890 Federal Trust Fund.....	366	437	398
0942 Asset Forfeiture Account, Special Deposit Fund.....	344	1,001	1,001
0995 Reimbursements.....	22,586	21,366	24,118
10.20 Flight Operations	12,029	12,486	13,286
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund.....	12,029	12,486	13,286

PROGRAM REQUIREMENTS**20 REGULATION AND INSPECTION**

State Operations:			
0042 State Highway Account, State Transportation Fund.....	\$25,140	\$22,558	\$55,476
0044 Motor Vehicle Account, State Transportation Fund.....	41,042	46,678	18,188
0138 Commercial Motor Carrier Safety Enforcement Fund.....	243	1,522	—

* Dollars in thousands.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>
0292 Motor Carrier Permit Fund.....	—	\$1,935	\$1,439
0293 Motor Carrier Safety Improvement Fund.....	—	—	1,510
0890 Federal Trust Fund.....	\$3,660	3,937	3,195
0942 Hazardous Substance Account, Special Deposit Fund.....	8	200	200
0995 Reimbursements.....	854	800	1,000
Totals, Program 20.....	\$70,947	\$77,630	\$81,008
ELEMENT REQUIREMENTS			
20.05 School Pupil Transportation Safety	4,907	5,586	6,076
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund.....	4,907	5,586	6,076
20.10 Regulated Special Purpose Vehicles	1,069	1,213	1,331
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund.....	1,069	1,213	1,331
20.15 Transportation of Hazardous Materials	4,212	4,998	5,383
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund.....	4,204	4,798	5,183
0942 Hazardous Substance Account, Special Deposit Fund.....	8	200	200
20.20 Farm Labor Transportation Safety	52	60	64
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund.....	52	60	64
20.25 Commercial Vehicle Inspection and Enforcement.....	52,687	57,008	59,425
State Operations:			
0042 State Highway Account, State Transportation Fund.....	25,140	22,558	55,476
0044 Motor Vehicle Account, State Transportation Fund.....	26,319	29,895	—
0138 California Motor Carrier Safety Enforcement Fund.....	243	1,522	—
0292 Motor Carrier Permit Fund.....	—	1,935	1,439
0293 Motor Carrier Safety Improvement Fund.....	—	—	1,510
0890 Federal Trust Fund.....	221	298	—
0995 Reimbursements.....	764	800	1,000
20.45 Motor Carrier Safety Operations	8,020	8,765	8,729
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund.....	4,491	5,126	5,534
0890 Federal Trust Fund.....	3,439	3,639	3,195
0995 Reimbursements.....	90	—	—

PROGRAM REQUIREMENTS**30 VEHICLE OWNERSHIP SECURITY**

State Operations:			
0044 Motor Vehicle Account, State Transportation Fund.....	\$16,838	\$17,690	\$19,087
0890 Federal Trust Fund.....	—	321	321
0942 Asset Forfeiture Account, Special Deposit Fund.....	343	1,001	1,001
0995 Reimbursements.....	807	665	1,519
Totals, Program 30.....	\$17,988	\$19,677	\$21,928

ELEMENT REQUIREMENTS

30.10 Vehicle Theft Control	15,909	17,576	19,725
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund.....	14,759	15,589	16,884
0890 Federal Trust Fund.....	—	321	321
0942 Asset Forfeiture Account, Special Deposit Fund.....	343	1,001	1,001
0995 Reimbursements.....	807	665	1,519
30.20 Vehicle Identification Numbering Program	2,079	2,101	2,203
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund.....	2,079	2,101	2,203

PROGRAM REQUIREMENTS**35 PROTECTIVE SERVICES**

State Operations:			
0001 General Fund.....	—	\$3,462	\$3,462
0246 Protective Services Fund	\$28,032	28,201	26,149
0995 Reimbursements.....	—	303	3,197
Totals, Program 35.....	\$28,032	\$21,966	\$32,808

PROGRAM REQUIREMENTS**40 ADMINISTRATION**

40.01 Administration			
40.01.010 Management and Command.....	\$14,576	\$20,438	\$22,604
40.01.020 Budget and Fiscal Management.....	3,035	3,641	3,884
40.01.030 Planning and Analysis	2,995	3,504	3,743

* Dollars in thousands.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

		1995-96*	1996-97*	1997-98*
40.01.040	Training	\$21,355	\$25,045	\$26,745
40.01.050	Administrative Services	58,793	69,105	73,730
40.01.060	Statewide Traffic Integrated Records System	2,525	2,954	3,157
	Totals, Administration	\$103,279	\$124,687	\$133,863
40.02	Distributed Administration			
40.02.010	Traffic Management	-91,949	-114,129	-122,831
40.02.020	Regulation and Inspection	-9,271	-3,922	-4,184
40.02.030	Vehicle Ownership Security	-2,059	-3,164	-3,376
40.02.035	Protective Services	-	-3,472	-3,472
	Totals, Expenditures	-\$103,279	-\$124,687	-\$133,863
PROGRAM REQUIREMENTS				
98 STATE-MANDATED LOCAL PROGRAMS				
Local Assistance:				
0001	General Fund	\$9	\$191	-
	Totals, Local Assistance	\$9	\$191	-
TOTAL EXPENDITURES				
	State Operations	\$753,800	\$800,302	\$851,020
	Local Assistance	9	191	-
	Totals, Expenditures	\$753,809	\$800,493	\$851,020
	Unallocated Reduction	-	-7,500	-
	Unclassified Disencumbrance	-9,200	-	-
	NET TOTALS, EXPENDITURES	\$744,609	\$792,019	\$851,020

SUMMARY BY OBJECT**1 STATE OPERATIONS**

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	9,346.2	10,079.4	10,079.4	\$472,023	\$516,130	\$512,566
Total Adjustments	-	31.5	42.0	-	1,569	2,272
Estimated Salary Savings	-	-379.7	-155.9	-	-17,426	-17,407
Net Totals, Salaries and Wages	9,346.2	9,731.2	9,965.5	\$472,023	\$500,273	\$497,431
Staff Benefits	-	-	-	103,159	106,910	164,529
Totals, Personal Services	9,346.2	9,731.2	9,965.5	\$575,182	\$607,183	\$661,960
OPERATING EXPENSES AND EQUIPMENT				\$172,993	\$192,026	\$188,928
SPECIAL ITEMS OF EXPENSE						
Taxes and Assessments				163	119	119
Tort Payments				2	3	3
Other				5,460	-3	10
Totals, Special Items of Expense				\$5,625	\$119	\$132
TOTALS, EXPENDITURES				\$753,800	\$799,328	\$851,020
Unallocated Reduction				-	-7,500	-
NET TOTALS, EXPENDITURES				\$735,800	\$791,828	\$851,020

RECONCILIATION WITH APPROPRIATIONS**1 STATE OPERATIONS****0001 General Fund**

	1995-96*	1996-97*	1997-98*
APPROPRIATIONS			
031 Budget Act appropriation (expenditures)	-	\$3,462	\$3,462
0042 State Highway Account, State Transportation Fund ^s			
APPROPRIATIONS			
001 Budget Act appropriation	\$24,613	\$22,443	\$55,476
011 Budget Act appropriation (loan to the Motor Vehicle Account)	-	-	(12,800)
Allocation for employee compensation	432	-	-
Adjustment per Section 3.60	95	121	-
Transfer to Legislative Claims (9670)	-	-6	-
TOTALS, EXPENDITURES	\$25,140	\$22,558	\$55,476

* Dollars in thousands.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

0044 Motor Vehicle Account, State Transportation Fund ^s

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
001 Budget Act appropriation	\$702,740	\$753,596	\$744,092
021 Budget Act appropriation (advance authorization)	(5,000)	(5,000)	(5,000)
Allocation for employee compensation	15,684	—	—
Adjustment per Section 3.60(a)	54	3,790	—
Reduction per Section 3.60(b)	-42,001	-46,927	—
Estimated savings per Section 3.60(b)	—	—	-17,800
Transfer to Legislative Claims (9670)	-32	-7	—
Chapter 450, Statutes of 1996	—	641	—
Totals Available	\$676,445	\$711,093	\$726,292
Unexpended balance, estimated savings	-6,389	-974	—
Unexpended balance, estimated savings (Savings to maintain solvency Account)	—	-7,500	—
TOTALS, EXPENDITURES	\$670,056	\$702,619	\$726,292

0138 Commercial Motor Carrier Safety Enforcement Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	\$1,400	\$1,522	—
Allocation for employee compensation	16	—	—
Totals Available	\$1,416	\$1,522	—
Unexpended balance, estimated savings	-1,173	—	—
TOTALS, EXPENDITURES	\$243	\$1,522	—

0246 Protective Services Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$27,755	\$28,034	\$26,149
Adjustment per Section 3.60	279	167	—
Totals Available	\$28,034	\$28,201	\$26,149
Unexpended balance, estimated savings	-2	—	—
TOTALS, EXPENDITURES	\$28,032	\$28,201	\$26,149

0292 Motor Carrier Permit Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	—	—	\$1,439
Chapter 1042, Statutes of 1996	—	\$1,935	—
TOTALS, EXPENDITURES	—	\$1,935	\$1,439

0293 Motor Carrier Safety Improvement Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation	—	—	\$110
Chapter 1042, Statutes of 1996	—	\$1,400	—
Prior year balances available:			
Chapter 1042, Statutes of 1996	—	—	1,400
Balance available in subsequent years	—	-1,400	—
TOTALS, EXPENDITURES	—	—	\$1,510

0840 California Motorcyclist Safety Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$1,767	\$1,500	\$742
Unexpended balance, estimated savings	-406	—	—
TOTALS, EXPENDITURES	\$1,361	\$1,500	\$742

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation	\$4,117	\$4,127	\$3,914
Adjustment per Section 3.60	16	—	—
Budget adjustment	-107	568	—
TOTALS, EXPENDITURES	\$4,026	\$4,695	\$3,914

0942 Hazardous Substance Account, Special Deposit Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation	\$200	\$200	\$200
Unexpended balance, estimated savings	-192	—	—
TOTALS, EXPENDITURES	\$8	\$200	\$200

* Dollars in thousands.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

0942 Asset Forfeiture Account, Special Deposit Fund ⁿ

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
011 Budget Act appropriation	\$2,002	\$2,002	\$2,002
Unexpended balance, estimated savings	-1,315	-	-
TOTALS, EXPENDITURES	\$687	\$2,002	\$2,002
0995 Reimbursements			
Reimbursements	\$24,247	\$23,134	\$29,834
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$753,800	\$791,828	\$851,020

SUMMARY BY OBJECT

2 LOCAL ASSISTANCE

Grants and Subventions:	1995-96*	1996-97*	1997-98*
State Mandates	\$200	\$191	-
Unexpended balance, estimated savings	-191	-	-
TOTALS, EXPENDITURES	\$9	\$191	-

RECONCILIATION WITH APPROPRIATIONS

2 LOCAL ASSISTANCE

0001 General Fund

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
Prior year balances available:			
Chapter 241, Statutes of 1993 (State Mandates)	\$200	\$191	-
Balance available in subsequent years	-191	-	-
TOTALS, EXPENDITURES	\$9	\$191	-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$9	\$191	-

SUMMARY BY OBJECT

RECONCILIATION WITH APPROPRIATIONS

4 UNCLASSIFIED

0044 Motor Vehicle Account, State Transportation Fund ^s

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
Unclassified Disencumbrances (expenditures)	-\$9,200	-	-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance, Unclassified)	\$744,609	\$792,019	\$851,020

FUND CONDITION STATEMENT

0138 Commercial Motor Carrier Safety Enforcement Fund

	1995-96*	1996-97*	1997-98*
BEGINNING BALANCE	\$1,262	\$1,537	\$66
REVENUES AND TRANSFERS			
Revenues:			
150300 Income from Surplus Money Investment Fund	91	51	-
Transfers from Other Funds:			
F00412 Transportation Rate Fund per Public Utilities Code Sections 4006 and 5003.1	427	-	-
Totals, Receipts	\$518	\$51	-
Totals, Resources	\$1,780	\$1,588	\$66

* Dollars in thousands.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

EXPENDITURES

Disbursements:

2720 California Highway Patrol (State Operations).....

1995-96*

\$243

1996-97*

\$1,522

1997-98*

—

FUND BALANCE.....

\$1,537

\$66

\$66

Reserve for economic uncertainties

1,537

66

66

0246 Protective Services Fund

BEGINNING RESERVES

—

\$488

\$838

REVENUES AND TRANSFERS

Receipts:

Operating Revenues:

299000 Income from Operations

\$28,520

28,551

26,037

EXPENDITURES

Disbursements:

2720 California Highway Patrol (State Operations).....

28,032

28,201

26,149

FUND BALANCE.....

\$488

\$838

\$726

Reserve for economic uncertainties

488

838

726

0293 Motor Carrier Safety Improvement Funds

BEGINNING BALANCE.....

—

—

\$1,445

REVENUES AND TRANSFERS

Revenues:

150300 Interest Income from Surplus Money Investment Fund.....

—

\$45

100

Transfers from Other Funds:

F00292 Motor Carrier Permit Fund per Revenue and Taxation Code Section

7236(b).....

—

1,400

1,460

Totals, Receipts.....

—

\$1,445

\$1,560

Totals, Resources

—

\$1,445

\$3,005

EXPENDITURES

Disbursements:

2720 California Highway Patrol (State Operations).....

—

—

1,510

FUND BALANCE.....

—

\$1,445

\$1,495

0840 California Motorcycle Safety Funds

BEGINNING BALANCE.....

\$1,033

\$860

\$525

REVENUES AND TRANSFERS

Receipts:

Operating Revenues:

214000 Interest Income from loans.....

96

90

45

216000 Motorcycle registration fees.....

1,092

1,075

525

Totals, Receipts.....

\$1,188

\$1,165

\$570

Totals, Resources

\$2,221

\$2,025

\$1,095

EXPENDITURES

Disbursements:

2720 California Highway Patrol (State Operations).....

1,361

1,500

742

FUND BALANCE.....

\$860

\$525

\$353

* Dollars in thousands.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

CHANGES IN						
AUTHORIZED POSITIONS						
	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Totals, Authorized Positions	9,346.2	10,079.4	10,079.4	\$472,023	\$516,130	\$512,566
Workload and Administrative Adjustments:						
Positions Established:				Salary Range		
Lieut	—	1.0	—	4,846-5,343	63	—
Off (Downgrade from Sgt)	—	(3.0)	—	3,156-4,688	-52	—
State Transp Plnr	—	1.0	—	4,346-5,244	69	—
Ofc Asst	—	1.0	—	1,656-2,138	21	—
Overtime	—	—	—	—	244	—
Reductions in Authorized Positions:						
Asst Chief	—	—	—	6,563-7,237	—	-70
Totals, Workload and Administrative						
Adjustments	—	3.0	—	—	\$345	-\$70
Proposed New Positions:						
Lieut	—	—	1.0	4,846-5,343	—	63
Lieut	—	—	(1.0)	4,846-5,343	—	16
Sgt	—	—	1.0	3,837-4,664	—	58
Sgt	—	—	(1.0)	3,837-4,664	—	13
Sgt	—	—	-1.0	3,837-4,664	—	-53
Sgt (Upgrade from Off)	—	—	(28.0)	3,837-4,664	—	236
Off	—	23.5	41.0	3,156-4,688	1,023	1,840
Off	—	—	(4.0)	3,156-3,384	—	45
Off	—	—	-13.0	3,156-3,384	—	-567
Off (Downgrade from Sgt)	—	—	(3.0)	3,156-4,688	—	-52
Assoc Programmer Analyst	—	2.0	2.0	3,602-4,326	86	86
Assoc Govtl Prog Analyst	—	2.0	3.0	3,430-4,139	82	82
Motor Carrier Spec I	—	—	-14.0	2,991-3,605	—	-502
Communications Opr II	—	—	18.0	2,292-2,785	—	617
Prog Techn III	—	1.0	1.0	2,279-2,771	33	33
Commercial Vehicle Inspection Spec	—	—	(20.0)	2,239-2,664	—	134
Ofc Techn	—	—	(1.0)	2,038-2,447	—	6
Staff Services Analyst	—	—	1.0	2,197-3,430	—	(27)
Prog Techn II (Traffic Records)	—	—	1.0	2,038-2,477	—	(25)
Ofc Asst	—	—	1.0	1,760-2,138	—	21
Ofc Asst	—	—	(1.0)	1,760-2,138	—	5
Janitor	—	—	(1.0)	1,620-1,969	—	5
Cadet Costs	—	—	—	2,738-3,269	—	99
Overtime	—	—	—	—	—	157
Totals, Proposed New Positions	—	28.5	42.0	—	\$1,224	\$2,342
Total Adjustments	—	31.5	42.0	—	\$1,569	\$2,272
TOTALS, SALARIES AND WAGES	9,346.2	10,110.9	10,121.4	\$472,023	\$517,699	\$514,838

STATE BUILDING PROGRAM
EXPENDITURESActual
1995-96
Estimated
1996-97
Proposed
1997-98

50 CAPITAL OUTLAY

PROGRAM ELEMENTS

Major Projects

50.13 PORTOLA

50.13.103 Purchase of Leased Facility

\$157

—

—

50.19 COBB MT. RADIO SITE

50.19.109 Purchase Land

—

\$152 ^{As}

—

50.28 AMADOR

50.28.208 Purchase of Leased Facility

2,460

—

—

50.29 SACRAMENTO TRAFFIC MANAGEMENT CENTER

50.29.209 Equipment for Sacramento Traffic Management Cent-E

—

—

\$1,565 ^{Es}

50.49 MERCED

50.49.409 Purchase of Leased Facility

—

4,829 ^{As}

—

* Dollars in thousands.

2720 DEPARTMENT OF THE CALIFORNIA HIGHWAY PATROL—Continued

STATE BUILDING PROGRAM EXPENDITURES		Actual 1995-96	Estimated 1996-97	Proposed 1997-98
50.66 INDIO				
50.66.606	Purchase of Leased Facility	\$4,503	—	—
50.67 WINTERHAVEN				
50.67.607	Purchase of Leased Facility	2,034	—	—
50.68 BANNING				
50.68.608	Purchase of Leased Facility	—	—	\$4,380 ^{As}
50.69 EL CAJON				
50.69.609	Building Alterations	—	—	400 ^{APWs}
50.71 SAN LUIS OBISPO				
50.71.701	New Facility—Working drawings and Construction.....	—	\$4,153 ^{As}	—
50.72 SANTA MARIA				
50.72.702	Purchase of Leased Facility	2,158	—	—
50.86 RANCHO CUCAMONGA				
50.86.806	Purchase of Leased Facility	4,521	—	—
50.90 STATEWIDE				
50.90.900	Property Options and Appraisals.....	20	20 ^{Ss}	20 ^{Ss}
50.90.901	Studies, Preplanning and Budget Packages.....	165	200 ^{Ss}	200 ^{Ss}
50.90.902	Americans with Disabilities (ADA) Compliance: Phase II	—	1,692 ^{PWCs}	—
	Totals, Major Projects	\$16,018	\$11,046	\$6,565
Minor Projects				
50.01.001	Minor Projects	\$853	\$395	\$788
TOTALS, EXPENDITURES, ALL FUNDS, CAPITAL OUTLAY.....		\$16,871	\$11,441	\$7,353
0044 Motor Vehicle Account, State Transportation Fund		16,871	11,441	7,353

RECONCILIATION WITH APPROPRIATIONS

3 CAPITAL OUTLAY

0044 Motor Vehicle Account, State Transportation Fund ^s

APPROPRIATIONS				
301	Budget Act appropriation.....	\$10,004	\$11,289	\$7,353
Prior year balances available:				
	Item 2720-301-044, Budget Act of 1993.....	4,060	—	—
	Item 2720-301-044, Budget Act of 1994.....	7,170	156	—
	Item 2720-301-044, Budget Act of 1995.....	—	21	—
	Transfers to and from Government Code Sections 16351.5 and 16352	—	-25	—
	Totals Available	\$21,234	\$11,441	\$7,353
	Balance available in subsequent years	-177	—	—
	Unexpended balance, estimated savings	-4,186	—	—
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay).....		\$16,871	\$11,441	\$7,353

2740 DEPARTMENT OF MOTOR VEHICLES

The Department of Motor Vehicles' objectives are: (1) to protect the public interest in vehicle and vessel ownership, to provide various revenue collection services for state and local agencies and to provide miscellaneous registration-related services through the vehicle and vessel registration and titling process; (2) to promote highway safety and financial responsibility by regulating the issuance and retention of driver licenses and to provide personal identification services to drivers and nondrivers; and (3) to provide public protection by licensing and regulating occupations and businesses related to the manufacture, transport, sale and disposal of vehicles and occupations and businesses related to the instruction of drivers in the safe operation of vehicles on the highways.

Authority

Vehicle Code, Division 2, Chapters 1 and 6.

For the list of standard (lettered) footnotes, see the end of the Governor's Budget.

* Dollars in thousands.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

SUMMARY OF PROGRAM

REQUIREMENTS

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
11 Vehicle/Vessel Identification and Compliance.....	3,695.3	3,814.7	4,484.4	\$275,176	\$289,745	\$312,929
22 Driver Licensing and Personal Identification.....	2,135.2	2,120.3	2,120.0	146,535	152,003	148,907
25 Driver Safety.....	1,139.1	1,118.1	1,116.0	66,495	68,214	68,371
32 Occupational Licensing and Investigative Services.....	421.6	448.8	435.5	29,568	31,454	32,292
35 New Motor Vehicle Board.....	15.7	22.8	22.8	1,166	1,517	1,510
41 Administration.....	567.9	574.4	572.1	53,848	61,464	59,655
Distributed Administration.....	—	—	—	-53,848	-61,464	-59,655
TOTALS, PROGRAMS.....	7,974.8	8,099.1	8,750.8	\$518,940	\$542,933	\$564,009
Unallocated Reduction.....				—	-7,500	—
Unclassified Estimated Disencumbrances.....				-3,000	—	—
Adjusted Totals, Programs.....				\$515,940	\$535,433	\$564,009
0001 General Fund.....				60	57	60
0042 State Highway Account, State Transportation Fund.....				—	30,214	32,327
0044 Motor Vehicle Account, State Transportation Fund.....				329,476	307,992	311,443
0054 New Motor Vehicle Board Account.....				1,166	1,517	1,510
0064 Motor Vehicle License Fee Account, Transportation Tax Fund.....				166,835	172,722	192,147
0292 Motor Carrier Permit Fund.....				—	4,918	8,118
0516 Harbors and Watercraft Revolving Fund.....				4,355	4,413	4,636
0890 Federal Trust Fund.....				98	96	96
0995 Reimbursements.....				13,950	13,504	13,672

Major Budget Adjustment Included for 1996-97

- The budget reflects an unallocated reduction of \$7.5 million to maintain the solvency of the Motor Vehicle Account. This is part of an overall strategy being proposed in this budget and the budget of the California Highway Patrol to maintain the balance between revenues and expenditures in the account.

The budget reflects a \$12.8 million loan from the State Highway Account to the Motor Vehicle Account effective June 30, 1997. This loan is being proposed in order to maintain the solvency of the Motor Vehicle Account in the 1996-97 fiscal year.

11 VEHICLE/VESSEL IDENTIFICATION AND COMPLIANCE

Program Objectives Statement

The principal objectives of this program are to establish identification and ownership of vehicles and vessels of California residents, assure compliance with various related laws, collect revenue for various state and local government programs, and provide information from vehicle and vessel records. Consistent with these objectives, the department participates in the International Registration Plan which provides for the proration of commercial vehicle fees to the member states and provinces of Canada.

Major Budget Adjustment Included for 1996-97

- Increase of 4.0 personnel years and \$4,918,000 for Motor Carrier Safety Improvement Act of 1996 (AB 1683).

Major Budget Adjustments Proposed for 1997-98

- Increase of 6.4 personnel years and \$222,000 to maintain customer service staffing levels as previously approved.
- Increase of \$1,013,000 to maintain program operations at the level previously approved.
- Increase of \$404,000 to convert database files to a standard environment.
- Increase of \$1,133,000 to continue to separate system-level logic from application logic in mainframe assembler programs, and to convert common routines into a more supportable, and portable programming language.
- Increase of \$422,000 for continuation of the Business Process Reengineering activities through the acquisition of a consultant with expertise to assist the department in identifying targets for improvement.
- Increase of 562.4 personnel years and \$19,050,000 for implementation of the legislation which requires evidence of financial responsibility (auto insurance) to be provided to DMV before a vehicle may be registered (AB 650, Chapter 1126, Statutes of 1996).
- Increase of 78.0 personnel years and \$8,118,000 for implementation of the Motor Carrier Safety Improvement Act (AB 1683, Chapter 1042, Statutes of 1996).
- Increase of 20.2 personnel years and \$668,000 for implementation of other budget change proposals and miscellaneous legislation chaptered in 1996.
- Decrease of \$215,000 for outsourcing facilities maintenance and upkeep.

Authority

Vehicle Code, Divisions 3; 3.5; 16.5, Chapters 1 and 2; and 16.7.
Revenue and Taxation Code, Division 2, Part 5.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued**22 DRIVER LICENSING AND PERSONAL IDENTIFICATION****Program Objectives Statement**

The principal objective of this program is to issue identifying documentation to individuals who are eligible drivers and personal identification to other individuals. Activities in this program include application review, photography, fees collection and response to information requests. The program also promotes the financial responsibility of vehicle owners and operators.

Major Budget Adjustments Proposed for 1997–98

- As part of the strategy for restoring fiscal balance to the Motor Vehicle Account, the fund condition statement for the Motor Vehicle Account reflects proposed legislation which will increase fees charged for licensing and other services to levels closer to the department's actual cost. In 1997–98, revenues are proposed to be increased by approximately \$50 million.
- Decrease of 14.1 personnel years and \$425,000 to adjust customer service staffing to levels previously approved.
- Increase of \$676,000 to maintain program operations at the level previously approved.
- Increase of \$285,000 to convert database files to a standard environment.
- Increase of \$800,000 to continue to separate system-level logic from application logic in mainframe assembler programs, and to convert common routines into a more supportable, and portable programming language.
- Increase of \$272,000 for continuation of the Business Process Reengineering activities through the acquisition of a consultant with expertise to assist the department in identifying targets for improvement.
- Increase of 2.8 personnel years and \$118,000 to extend the pilot program allowing driving schools to administer drive test (AB 3003, Chapter 922, Statutes of 1996).
- Increase of 2.7 personnel years and \$128,000 to institute an audit and tracking system to compare drunk driving arrests with subsequent actions (SB 1579, Chapter 224, Statutes of 1996).
- Decrease of \$123,000 for Outsourcing of Facilities Maintenance and Upkeep.
- Decrease of 1.6 personnel years and increase of \$79,000 for implementation of other budget change proposals and miscellaneous legislation chaptered in 1996.

Authority

Vehicle Code, Divisions 6; 7; and 10, Sections 20012 and 20014.

25 DRIVER SAFETY**Program Objectives Statement**

The principal objectives of this program are promotion of highway safety by screening driver license applicants for driving competency, and regulation, control and improvement of drivers who become safety risks. Driver license applicants are required to demonstrate: (1) knowledge and understanding of safe driving principles and the laws governing the operation of vehicles on the highways; (2) the skill to drive within reasonably safe standards; and, (3) adequate and/or compensating vision efficiency for the safe operation of vehicles. Driver control programs are maintained at hearing points throughout the state and include both those in which driver control actions are mandated by statute and in which action is determined administratively.

Major Budget Adjustments Proposed for 1997–98

- Decrease of 3.4 personnel years and \$102,000 to maintain customer service staffing levels as previously approved.
- Increase of \$271,000 to maintain program operations at the level previously approved.
- Increase of \$106,000 to convert database files to a standard environment.
- Increase of \$298,000 to continue to separate system-level logic from application logic in mainframe assembler programs, and to convert common routines into a more supportable, and portable programming language.
- Increase of \$249,000 for continuation of the Business Process Reengineering activities through the acquisition of a consultant to assist the department in identifying targets for improvement.
- Decrease of \$60,000 for outsourcing facilities maintenance and upkeep.

Authority

Vehicle Code, Divisions 6; 7; and 10, Sections 20012 and 20014.

32 OCCUPATIONAL LICENSING AND INVESTIGATIVE SERVICES**Program Objectives Statement**

The chief objective of this program is to provide consumer protection by licensing and regulating principal segments of motor vehicle-related business and enforcing laws within the department's jurisdiction.

Major Budget Adjustments Proposed for 1997–98

- Increase of \$69,000 to maintain program operations at the level previously approved.
- Increase of \$102,000 to continue to separate system-level logic from application logic in mainframe assembler programs, and to convert common routines into a more supportable, and portable programming language.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

- Increase of \$1,007,000 for continuation of the Business Process Reengineering activities through the acquisition of a consultant to assist the department in identifying targets for improvement.
- Decrease of 13.2 personnel years and \$444,000 to eliminate the licensing of vehicle salespersons employed by licensed vehicle dealers.
- Increase of 1.5 personnel years and \$234,000 to implement the written exam and educational program for a used vehicle dealer's license (AB 2367, Chapter 1008, Statutes of 1996).
- Increase of 0.2 personnel year and \$11,000 for implementation of other budget change proposals and miscellaneous legislation chaptered in 1996.

Authority

Vehicle Code, Division 5.

35 NEW MOTOR VEHICLE BOARD**Program Objectives Statement**

The primary objective of this program is to prohibit manufacturers from adding, withdrawing or relocating, automobile dealerships in market areas of existing franchisees, where such effect would be injurious to the existing franchisees and to the public interest, and to protect members of the public from the activities of dishonest or unqualified motor vehicle licensees.

The New Motor Vehicle Board is a quasi-judicial tribunal that adjudicates disputes which arise between new motor vehicle franchisees and their respective franchisors concerning rights or obligations afforded by statute as well as by virtue of the franchise relationship between the parties. The Board hears and considers protests filed by new motor vehicle dealers against the proposed termination or modification of, or refusal to continue, the franchise; the proposed establishment or relocation of dealerships; disputes concerning dealer delivery preparation obligations and the compensation thereof; and disputes which arise as a result of the warranty relationship which exists between the dealers and their respective franchisors. Also, the Board hears and considers virtually every other type of dispute which arises between a dealer and manufacturer or distributor and which concerns the franchise relationship. Further, the Board hears appeals on final decisions of the Director of the Department of Motor Vehicles. Finally, the Board mediates disputes which arise between consumers of new motor vehicles and the dealers and/or manufacturers or distributors from which the vehicles are acquired.

Authority

Vehicle Code, Division 2, Chapter 6.

41 ADMINISTRATION**Major Budget Adjustments Proposed for 1997-98**

- Increase of \$92,000 to maintain program operations at the level previously approved.
- Increase of \$85,000 to convert database files to a standard environment.
- Increase of \$238,000 to continue to separate system-level logic from application logic in mainframe assembler programs, and to convert common routines into a more supportable, and portable programming language.
- Increase of \$554,000 for continuation of the Business Process Reengineering activities through the acquisition of a consultant to assist the department in identifying new targets for improvement.
- Decrease of \$26,000 for implementation of other budget change proposals and miscellaneous legislation chaptered in 1996.

PROGRAM BUDGET DETAIL**PROGRAM REQUIREMENTS****11 VEHICLE/VESSEL IDENTIFICATION AND COMPLIANCE**

State Operations:	1995-96*	1996-97*	1997-98*
0042 State Highway Account, State Transportation Fund.....	—	\$30,214	\$32,327
0044 Motor Vehicle Account, State Transportation Fund.....	\$91,071	64,135	62,189
0064 Motor Vehicle License Fee Account, Transportation Tax Fund.....	166,835	172,722	192,147
0292 Motor Carrier Permit Fund.....	—	4,918	8,118
0516 Harbors and Watercraft Revolving Fund.....	4,355	4,413	4,636
0995 Reimbursements.....	12,915	13,343	13,512
Totals, State Operations	\$275,176	\$289,745	\$312,929

PROGRAM REQUIREMENTS**22 DRIVER LICENSING AND PERSONAL IDENTIFICATION**

State Operations:	1995-96*	1996-97*	1997-98*
0001 General Fund.....	\$60	\$57	\$60
0044 Motor Vehicle Account, State Transportation Fund.....	145,888	151,838	148,741
0995 Reimbursements.....	587	108	106
Totals, State Operations	\$146,535	\$152,003	\$148,907

* Dollars in thousands.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

PROGRAM REQUIREMENTS

25 DRIVER SAFETY

State Operations:	1995-96*	1996-97*	1997-98*
0044 Motor Vehicle Account, State Transportation Fund.....	\$66,077	\$68,081	\$68,237
0890 Federal Trust Fund.....	98	96	96
0995 Reimbursements.....	320	37	38
Totals, State Operations	\$66,495	\$68,214	\$68,371

PROGRAM REQUIREMENTS

32 OCCUPATIONAL LICENSING AND INVESTIGATIVE SERVICES

State Operations:			
0044 Motor Vehicle Account, State Transportation Fund.....	\$29,440	\$31,438	\$32,276
0995 Reimbursements.....	128	16	16
Totals, State Operations	\$29,568	\$31,454	\$32,292

PROGRAM REQUIREMENTS

35 NEW MOTOR VEHICLE BOARD

State Operations:			
0054 New Motor Vehicle Board Account.....	\$1,166	\$1,517	\$1,510
Totals, State Operations	\$1,166	\$1,517	\$1,510

TOTAL EXPENDITURES	\$518,940	\$542,933	\$564,009
Unallocated Reduction	—	—7,500	—
Unclassified Disencumbrance	—3,000	—	—
NET TOTALS, EXPENDITURES, STATE OPERATIONS	\$515,940	\$535,433	\$564,009

SUMMARY BY OBJECT

1 STATE OPERATIONS

PERSONAL SERVICES	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Authorized Positions (Equals Sch. 7A).....	7,974.8	8,533.4	8,534.8	\$270,861	\$286,648	\$289,121
Total Adjustments	—	—5.1	679.0	—	—162	15,755
Estimated Salary Savings	—	—429.2	—463.0	—	—13,989	—18,040
Net Totals, Salaries and Wages	7,974.8	8,099.1	8,750.8	\$270,861	\$272,497	\$286,836
Staff Benefits	—	—	—	91,312	92,944	98,786
Totals, Personal Services	7,974.8	8,099.1	8,750.8	\$362,173	\$365,441	\$385,622
OPERATING EXPENSES AND EQUIPMENT				\$156,726	\$177,525	\$178,387
Special Items of Expense				41	—33	—
TOTALS, EXPENDITURES				\$518,940	\$542,933	\$564,009
Unallocated Reduction				—	—7,500	—
NET TOTALS, EXPENDITURES				\$518,940	\$535,433	\$564,009

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0001 General Fund

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
001 Budget Act appropriation.....	\$60	\$60	\$60
Transfer to Legislative Claims (9670)	—	—3	—
TOTALS, EXPENDITURES	\$60	\$57	\$60

0042 State Highway Account, State Transportation Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	—	\$30,084	\$32,327
Adjustment per Section 3.60	—	130	—
TOTALS, EXPENDITURES	—	\$30,214	\$32,327

* Dollars in thousands.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

0044 Motor Vehicle Account, State Transportation Fund ^s

APPROPRIATIONS	1995-96*	1996-97*	1997-98*
001 Budget Act appropriation.....	\$338,378	\$314,158	\$311,443
011 Budget Act appropriation (deficiencies).....	(1,000)	(1,000)	(1,000)
021 Budget Act appropriation (estimated transfer to the General Fund)	(53,795)	—	—
Adjustment per Section 3.60	4,088	1,364	—
Transfer to Legislative Claims (9670)	-49	-30	—
Prior year balances available:			
Chapter 38, Statutes of 1994 (First Extraordinary Session).....	967	967	967
Totals Available	\$343,384	\$316,459	\$312,410
Balance available in subsequent years	-967	-967	—
Unexpended balance, estimated savings	-9,941	—	-967
Unexpended balance, estimated savings (Savings to maintain solvency of Account).....	—	-7,500	—
TOTALS, EXPENDITURES	\$332,476	\$307,992	\$311,443

0054 New Motor Vehicle Board Account ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$1,479	\$1,511	\$1,510
Adjustment per Section 3.60	20	6	—
Totals Available	\$1,499	\$1,517	\$1,510
Unexpended balance, estimated savings	-333	—	—
TOTALS, EXPENDITURES	\$1,166	\$1,517	\$1,510

0064 Motor Vehicle License Fee Account, Transportation Tax Fund ^s

APPROPRIATIONS			
001 Budget Act appropriation.....	\$164,831	\$171,976	\$192,147
Adjustment per Section 3.60	2,004	746	—
TOTALS, EXPENDITURES	\$166,835	\$172,722	\$192,147

0292 Motor Carrier Permit Fund ^s

APPROPRIATIONS			
001 Budget Act Appropriation	—	—	\$8,118
Chapter 1042, Statutes of 1996	—	4,918	—
TOTALS, EXPENDITURES	—	\$4,918	\$8,118

0516 Harbors and Watercraft Revolving Fund ⁿ

APPROPRIATIONS			
001 Budget Act appropriation.....	\$4,305	\$4,394	\$4,636
Adjustment per Section 3.60	50	19	—
TOTALS, EXPENDITURES	\$4,355	\$4,413	\$4,636

0890 Federal Trust Fund

APPROPRIATIONS			
001 Budget Act appropriation.....	\$36	\$96	\$96
Budget adjustment.....	62	—	—
TOTALS, EXPENDITURES	\$98	\$96	\$96

0995 Reimbursements

Reimbursements	\$13,950	\$13,504	\$13,672
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$518,940	\$535,433	\$564,009

SUMMARY BY OBJECT
RECONCILIATION WITH APPROPRIATIONS

4 UNCLASSIFIED

0044 Motor Vehicle Account,
State Transportation Fund

APPROPRIATION	1995-96*	1996-97*	1997-98*
4 Unclassified Disencumbrance.....	-3,000	—	—
TOTALS, EXPENDITURES	-3,000	—	—
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Unclassified) ...	\$515,940	\$535,433	\$564,009

* Dollars in thousands.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

FUND CONDITION STATEMENT

0044 Motor Vehicle Account, State Transportation Fund ¹		1995-96*	1996-97*	1997-98*
BEGINNING BALANCE.....		\$64,592	\$19,471	\$25
REVENUES AND TRANSFERS				
Receipts:				
Revenues:				
114100	Motor vehicle registration (and other fees)	865,105	870,100	877,600
114200	Driver license fees	103,879	109,132	175,900
114300	Other Motor Vehicle Fees.....	30,028	31,000	33,000
114400	Identification card fees	6,733	6,900	6,600
120900	Off-highway vehicle fees (registration and other fees)	2,284	2,200	2,200
125700	Other regulatory licenses and permits	11,200	10,200	10,200
142500	Miscellaneous services to the public (sale of information).....	47,856	50,000	50,000
150300	Income from surplus money investments	5,689	3,200	3,200
161400	Miscellaneous revenue	12,142	11,500	11,500
Totals, Revenues.....		\$1,084,916	\$1,094,232	\$1,170,200
Transfers from Other Funds:				
F00042	State Highway Account, State Transportation Fund per Section 42205, Vehicle Code.....	33,412	—	—
F00042	State Highway Account, State Transportation Fund, Loan per Item 2720-011-0042, Budget Act of 1997.....	—	12,800	—
F00140	California Environmental License Plate Fund per Section 21191(b), Public Resources Code	4,354	4,152	3,546
Totals, Transfers from Other Funds		\$37,766	\$16,952	\$3,546
Transfers to Other Funds:				
T00001	General Fund per Item 2740-021-044, Budget Act of 1995	—53,795	—	—
Totals, Transfers to Other Funds.....		—53,795	—	—
Totals, Revenues and Transfers		\$1,068,887	\$1,111,184	\$1,173,746
Totals, Resources		\$1,133,479	\$1,130,655	\$1,173,771
EXPENDITURES				
Disbursements:				
0250	Judicial Council (State Operations)	4	123	125
0520	Secretary, Business, Transportation and Housing (2030) (State Operations)	810	830	880
0555	Secretary for Environmental Protection (State Operations).....	500	563	555
0820	Department of Justice (State Operations).....	18,158	18,237	18,214
1730	Franchise Tax Board (State Operations)	2,938	2,771	2,741
2700	Office of Traffic Safety (State Operations).....	329	336	334
2720	Department of the California Highway Patrol:			
	State Operations	670,056	702,619	726,292
	Capital Outlay	16,871	11,441	7,353
	Unclassified Disencumbrances	—9,200	—	—
2740	Department of Motor Vehicles:			
	State Operations.....	332,476	307,992	311,443
	Capital Outlay	8,672	9,715	7,612
	Unclassified Disencumbrances	—3,000	—	—
3360	State Energy Resources Conservation and Development Commission (State Operations).....	116	117	119
3900	Air Resources Board:			
	State Operations	66,971	66,880	66,818
	Local Assistance	7,511	7,511	7,511
3980	Office of Environmental Health Hazard Assessment (State Operations).....	59	974	—
4260	Department of Health Services (State Operations)	455	520	459
9670	Legislative Claims, State Board of Control (State Operations)	282	—	—
9900	Statewide General Administrative Expenditures (Pro Rata) (State Operations)	—	1	—
Totals, Disbursements		\$1,114,006	\$1,130,630	\$1,150,456
FUND BALANCE.....		\$19,471	\$25	\$23,315
Reserve for economic uncertainties		19,471	25	23,315

¹ This fund contains tax proceeds subject to the State Appropriations Limit, Article XIII B of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

0054 New Motor Vehicle Board Account		1995-96*	1996-97*	1997-98*
BEGINNING BALANCE.....		\$391	\$723	\$766
REVENUES AND TRANSFERS				
Receipts:				
Revenues:				
121300	New Motor Vehicle Dealer License Fee	1,450	1,497	1,464
142500	Miscellaneous Services to the Public	48	63	68
Totals, Revenues.....		\$1,498	\$1,560	\$1,532
Totals, Resources		\$1,889	\$2,283	\$2,298
EXPENDITURES				
Disbursements:				
2740	Department of Motor Vehicles (State Operations).....	1,166	1,517	1,510
FUND BALANCE.....		\$723	\$766	\$788
Reserve for economic uncertainties		723	766	788
0064 Motor Vehicle License Fee Account, Transportation Tax Fund ¹				
BEGINNING BALANCE.....		—	—	—
REVENUES AND TRANSFERS				
Receipts:				
Revenues:				
113600	Motor vehicle license (in-lieu) fees.....	\$2,515,612	\$2,660,658	\$2,803,769
150300	Income from surplus money investments	3,760	3,500	3,500
Totals, Revenues.....		\$2,519,372	\$2,664,158	\$2,807,269
Totals, Resources		\$2,519,372	\$2,664,158	\$2,807,269
EXPENDITURES				
Disbursements:				
1730	Franchise Tax Board (State Operations)	5,225	4,905	5,008
2740	Department of Motor Vehicles (State Operations).....	166,835	172,722	192,147
Totals, Disbursements		\$172,060	\$177,627	\$197,155
Apportionments:				
9430 Shared Revenues:				
To cities		964,276	1,021,467	1,072,234
To counties		1,383,036	1,465,064	1,537,880
Totals, Apportionments		\$2,347,312	\$2,486,531	\$2,610,114
Totals, Expenditures		\$2,519,372	\$2,664,158	\$2,807,269
FUND BALANCE		—	—	—

¹ This fund contains tax proceeds subject to the State Appropriations Limit, Article XIIIB of the Constitution. In addition to the amounts reflected as expenditures in this statement, appropriations subject to the limit are adjusted for increases or decreases in the fund's Reserve for Economic Uncertainties and do not include any amounts expended for debt service, subventions to local government, compliance with federal or court mandates and from appropriations made in previous years (carryovers). Additional information on the State Appropriations Limit is provided in the Governor's Budget Summary.

0292 Motor Carrier Permit Fund				
	<i>1995-96*</i>	<i>1996-97*</i>	<i>1997-98*</i>	
BEGINNING BALANCE.....	—	—	\$7,752	
REVENUES AND TRANSFERS				
Receipts:				
Revenues:				
12000 Motor Carriers Fees	—	\$15,550	15,802	
Transfers from Other Funds:				
F00412 Transportation Rate Fund, Chapter 1042 per Statutes of 1996.....	—	7,300		
Transfers to Other Funds:				
T00001 General Fund (Uniform Business License) per Chapter 1042, Statutes of 1996.....	—	—\$6,845	—6,541	
T00293 Motor Carrier Safety Improvement Fund per Chapter 1042, Statutes of 1996.....	—	—1,400	—1,460	
Totals, Transfer to Other Funds	—	—\$8,245	—\$8,001	
Totals, Revenues and Transfers	—	\$14,605	\$7,801	
Totals, Resources	—	\$14,605	\$15,553	

* Dollars in thousands.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

EXPENDITURES

Disbursements:	1995-96*	1996-97*	1997-98*
2720 California Highway Patrol Safety Enforcement Program	—	\$1,935	\$1,439
2740 Department of Motor Vehicles (State Operations)	—	4,918	8,118
Totals, Expenditures	—	\$6,853	\$9,557
FUND BALANCE	—	\$7,752	\$5,996

0487 Financial Responsibility Penalty Account

BEGINNING BALANCE	\$195	\$428	—
REVENUES AND TRANSFERS			
Receipts:			
Revenues:			
164100 Traffic violations	6,963	6,963	\$6,963
Transfers to Other Funds:			
T00001 General Fund per Vehicle Code Section 16072(c)	—6,730	—7,391	—6,963
Totals, Resources	\$428	—	—
FUND BALANCE	\$428	—	—

CHANGES IN

AUTHORIZED POSITIONS

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Total, Authorized Positions	7,974.8	8,533.4	8,534.8	\$270,861	\$286,648	\$289,121
Workload and Administrative Adjustments:						
Positions Established:						
HEADQUARTERS OPERATIONS:						
General Administration:				Salary Range		
Temporary Help	—	0.7	—	—	13	—
Motor Carrier:						
Manager IV, DMV ¹	—	0.5	—	3,770-4,582	23	—
Motor Vehicle Technician ^{B2}	—	3.0	—	2,038-2,477	74	—
Totals	—	3.5	—	—	\$97	—
Reductions in Authorized Positions:						
EXECUTIVE:						
Legal:						
Staff Counsel ^{C3}	—	—	—1.0	4,441-5,369	—	—53
Sr Typist, Legal ^{B4}	—	—	—0.5	2,234-2,716	—	—13
Totals	—	—	—1.5	—	—	—\$66
HEADQUARTERS OPERATIONS:						
General Administration:						
Temporary Help	—	—	—8.8	—	—	—165
Driver License Operations:						
Motor Vehicle Assistant	—	—	—27.0	1,891-2,298	—	—613
INVESTIGATIONS & OCCUPATIONAL LICENSING:						
Occupational Licensing:						
Manager I, DMV ⁴	—	—	—0.5	2,601-3,161	—	—16
Motor Vehicle Prog Supvr II ³	—	—	—1.0	2,279-2,771	—	—27
Motor Vehicle Techn ⁵	—	—	—7.0	1,891-2,298	—	—159
Serv Asst ⁴	—	—	—0.5	1,760-2,138	—	—11
Ofc Asst ³	—	—	—1.0	1,602-1,946	—	—19
Totals	—	—	—10.0	—	—	—\$232
FIELD OPERATIONS:						
General Administration:						
Licensing-Registration Examiners,						
DMV ³	—	—7.8	—1.5	2,244-2,670	—209	—40
Motor Vehicle Field Rep ⁶	—	—	—2.5	1,760-2,138	—	—53
Temporary Help	—	—	—0.6	—	—	—15
Total	—	—7.8	—4.6	—	—\$209	—\$108
INFORMATION SYSTEMS:						
Application/Systems:						
Assoc Programmer Analyst	—	—1.5	—	—	—63	—
Totals, Reductions in Authorized Positions	—	—5.1	—51.9	—	—\$162	—\$1,184
Proposed New Positions:						
ADMINISTRATION:						
Financial Management:						
Assoc Admin Analyst ⁷	—	—	3.0	3,602-4,346	—	130

* Dollars in thousands.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

HEADQUARTERS OPERATIONS:	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
General Administration:				Salary Range		
Temporary Help	—	—	3.9	—	—	\$73
Driver License Operations:						
Inspector ⁸	—	—	0.5	\$2,244-3,066	—	13
Auditor I ⁸	—	—	0.5	2,423-2,879	—	14
Motor Vehicle Techn	—	—	1.0	1,891-2,477	—	23
Temporary Help	—	—	1.9	—	—	36
Totals.....	—	—	3.9	—	—	\$86
Registration Operations:						
Motor Vehicle Techn ^{B9}	—	—	26.0	2,038-2,298	—	636
Key Data Opr ^B	—	—	6.0	1,891-2,298	—	136
Temporary Help	—	—	1.1	—	—	21
Totals.....	—	—	33.1	—	—	\$793
Prog Ops:						
Mail Machines Opr II ¹⁰	—	—	1.0	2,045-2,483	—	25
Ofc Asst ^{B11}	—	—	13.0	1,760-2,318	—	275
Key Data Opr ^{B12}	—	—	37.0	1,891-2,298	—	840
Totals.....	—	—	51.0	—	—	\$1,140
Motor Carrier:						
Mgr IV, DMV ^{13, 14}	—	—	2.0	3,770-4,582	—	90
Mgr I, DMV ¹⁵	—	—	0.7	2,601-3,161	—	22
Motor Vehicle Prog Supvr II ^{15, 16}	—	—	2.6	2,279-2,771	—	71
Motor Vehicle Techn ^{B17, 18}	—	—	68.8	2,038-2,477	—	1,683
Totals.....	—	—	74.1	—	—	\$1,866
PROGRAM & POLICY ADMINISTRATION:						
Training:						
Temporary Help	—	—	0.1	—	—	3
Research/Development:						
Research Analyst II ¹⁹	—	—	0.7	3,602-4,348	—	30
Temporary Help	—	—	0.2	—	—	5
Totals.....	—	—	0.9	—	—	\$35
Program/Policy Section:						
Mgr III, DMV ^{14, 20, 21}	—	—	10.0	3,430-4,170	—	411
Temporary Help	—	—	1.6	—	—	42
Totals.....	—	—	11.6	—	—	\$453
Publications/Procedures:						
Mgr III, DMV ²²	—	—	0.3	3,430-4,170	—	12
Database Mgmt:						
Mgr III, DMV ²³	—	—	2.5	3,430-4,170	—	103
INVESTIGATIONS AND OCCUPATIONAL LICENSING:						
Gen Admin:						
Temporary Help	—	—	0.1	—	—	2
Occupational Licensing:						
Assoc Govtl Prog Analyst	—	—	1.0	3,602-4,346	—	43
Mgr III ²⁴	—	—	0.3	3,430-4,170	—	10
Inspector ²⁴	—	—	0.3	2,244-3,066	—	7
Totals.....	—	—	1.6	—	—	\$60
Investigative Prog Support:						
Inspector ²⁵	—	—	1.0	2,244-3,066	—	27
Motor Vehicle Techn ²⁶	—	—	0.5	1,891-2,477	—	11
Temporary Help ²⁷	—	—	0.6	—	—	28
Totals.....	—	—	2.1	—	—	\$66
FIELD OPERATIONS:						
General Administration:						
Mgr I	—	—	3.0	2,601-3,161	—	93
Supvg Motor Vehicle Rep ²⁸	—	—	68.0	2,379-2,877	—	1,941
Licensing Registration Examiner, DMV ..	—	—	4.0	2,244-2,670	—	108
Motor Vehicle Field Rep ^{A7, 29, 30, 31}	—	—	461.0	1,760-2,138	—	9,736
Temporary Help	—	—	3.2	—	—	88
Totals.....	—	—	539.2	—	—	\$11,966

* Dollars in thousands.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

INFORMATION SYSTEMS:	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Application/Systems:				Salary Range		
Assoc Programmer Analyst ^{14, 32}	—	—	2.5	\$3,602-4,436	—	\$108
Assoc Info Sys Analyst ¹⁴	—	—	1.0	3,602-4,346	—	43
Totals.....	—	—	3.5	—	—	\$151
Totals, Proposed New Positions	—	—	730.9	—	—	\$16,939
Totals, Adjustments	—	-5.1	679.0	—	-\$162	\$15,755
TOTALS, SALARIES AND WAGES	7,974.8	8,528.3	9,213.8	\$270,861	\$286,486	\$304,876

¹ 1 Effective 1/1/97.
² 9 Effective 3/1/97, limited term 6/30/97.
³ 2 Effective 1/1/98.
⁴ 1 Effective 1/1/98.
⁵ 14 Effective 1/1/98.
⁶ 5 Effective 1/1/98.
⁷ Effective 7/1/97.
⁸ 1 Effective 1/98-1/99.
⁹ 26 Effective 1/1/97, limited term 1/1/2000.
¹⁰ 1 Effective 1/1/97, limited term 1/1/2000.
¹¹ 13 Effective 1/1/97, limited term 1/1/2000.
¹² 37 Effective 1/1/97, limited term 1/1/2000.
¹³ 1.0 Effective 1/1/97, limited term 1/1/2000.
¹⁴ 1.0 Effective 7/1/97.
¹⁵ 1.0 Effective 10/1/97.
¹⁶ 3.0 Effective 12/1/97.
¹⁷ 28.0 Effective 10/1/97, limited term 6/30/98.
¹⁸ 82.0 Effective 12/1/97, limited term 6/30/98.
¹⁹ 1.5 Effective 1/98-1/99.
²⁰ 1 Effective 1/1/97, limited term 1/1/2000.
²¹ 8 Effective 1/1/97, limited term 1/1/2000.
²² 0.5 Effective 1/1/97, limited term 12/31/97.
²³ 1 Effective 1/1/97, limited term 12/31/97.
²⁴ 0.5 Effective 1/1/98.
²⁵ 2 Effective 1/98-1/99.
²⁶ 1 Effective 1/98-1/99.
²⁷ Effective 1/1/97.
²⁸ 68 Effective 1/1/97, limited term 1/1/2000.
²⁹ 432 Effective 1/1/97, limited term 1/1/2000.
³⁰ Effective 1/1/97-12/31/97.
³¹ 1/1/98-ongoing.
³² 3 Effective 1/1/97, limited term 12/31/97.

STATE BUILDING PROGRAM
EXPENDITURES

Actual Estimated Proposed
1995-96 1996-97 1997-98

71 CAPITAL OUTLAY
PROGRAM ELEMENTS
Major Projects
71.03 SACRAMENTO HEADQUARTERS BUILDING

71.03.014	2nd Floor Asbestos Removal and Office Remodel—Bldg. East....	\$463	\$7,716 ^{CEs}	—
71.03.015	7th Floor Asbestos Removal	—	110 ^{PWs}	\$1,067 ^{Cs}
71.03.016	Lower Level: Asbestos Removal.....	—	—	681 ^{WCs}

71.19 SAN DIEGO

71.19.010	Office Remodel	16	—	—
-----------	----------------------	----	---	---

71.22 BUDGET PACKAGES

71.22.010	Studies, Preplanning and Budget Packages	—	100 ^{Ss}	100 ^{Ss}
-----------	--	---	-------------------	-------------------

71.33 TURLOCK

71.33.010	Purchase of Leased Facility	1,977	—	—
-----------	-----------------------------------	-------	---	---

71.34 HANFORD

71.34.010	Purchase of Leased Facility	1,777	—	—
-----------	-----------------------------------	-------	---	---

71.35 NAPA

71.35.010	Purchase of Leased Facility	1,998	—	—
-----------	-----------------------------------	-------	---	---

* Dollars in thousands.

2740 DEPARTMENT OF MOTOR VEHICLES—Continued

STATE BUILDING PROGRAM EXPENDITURES		Actual 1995-96	Estimated 1996-97	Proposed 1997-98
71.36 TEMECULA				
71.36.010 Purchase of Leased Facility		\$1,887	—	—
71.37 OAKLAND-CLAREMONT				
71.37.010 Field Office Replacement.....		—	\$482 ^{PWs}	\$5,514 ^{Cs}
Totals, Major Projects		\$8,118	\$8,408	\$7,362
Minor Projects				
71.01 Minor Projects.....		\$554	\$1,307	\$250
TOTALS, EXPENDITURES, CAPITAL OUTLAY		\$8,672	\$9,715	\$7,612
0044 Motor Vehicle Account, State Transportation Fund ^s		8,672	9,715	7,612

RECONCILIATION WITH APPROPRIATIONS

3 CAPITAL OUTLAY

0044 Motor Vehicle Account, State Transportation Fund ^s

APPROPRIATIONS				
301 Budget Act appropriation.....		\$8,677	\$9,715	\$7,612
Prior year balances available:				
Item 2740-301-044, Budget Act of 1993.....		204	—	—
Item 2740-301-044, Budget Act of 1995.....		—	21	21
Totals Available		\$8,881	\$9,736	\$7,633
Balance available in subsequent years		—21	—21	—
Unexpended balance, estimated savings		—188	—	—21
TOTALS, EXPENDITURES, CAPITAL OUTLAY		\$8,672	\$9,715	\$7,612

2780 STEPHEN P. TEALE DATA CENTER

The mission of the Stephen P. Teale Data Center is to assist State agencies in achieving their program objectives through the application of cost-effective information technology and the delivery of quality service.

SUMMARY OF PROGRAM

REQUIREMENTS		95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
10 Service Bureau Operations		277.4	291.2	300.6	\$66,332	\$72,845	\$78,949
20 Executive and Administrative Operations		68.5	72.0	72.0	6,700	7,099	6,588
TOTALS, PROGRAMS Stephen P. Teale Data Center Revolving Fund		345.9	363.2	372.6	\$73,032	\$79,944	\$85,537

10 SERVICE BUREAU OPERATIONS

Program Objectives Statement

The goal of this program is the operation and support of a service bureau operation in a manner which will: (1) ensure efficient utilization of Teale Data Center resources based on current and proposed client workload; (2) economically and effectively process the data and applications necessary to provide effective information technology support to client organizations and (3) improve the level of service so that Teale Data Center services and products are more accessible to the managers and non-technical staff of client departments.

Specific functions of this program are:

(1) Information Processing Services—This function ensures that work is accomplished in a timely and efficient manner. Operations also ensures that the equipment is operated to meet the client's schedules. Normal operations are 24-hours per day, 7-days per week.

(2) System Software Support—This function performs a variety of comprehensive systems level work which includes review and management of information systems, systems development, database management, product selection and cost benefits analysis, information auditing, software testing, maintenance and the development of processes, procedures and documentation. This function also maintains and builds an expertise to work with clients and be an available comprehensive source of advice, guidance, and workable ideas on the use of Teale technology offerings.

(3) Technology Services—This function manages a statewide telecommunications network that is required to meet the data transmission requirements of our clients. The Enterprise Network includes terminals that are defined to the network, through dial-up access, lines and workstation groups and Token Ring Local Area Networks (LANs). The Telecommunications Division is committed to offering a cost-effective connectivity service to the Teale computers that is fast, reliable and responsive to future growth requirements.

2780 STEPHEN P. TEALE DATA CENTER—Continued

Major Budget Adjustments Included for 1996-97

- An augmentation of \$2,498,000 pursuant to Provision No. 1, Budget Act of 1996, from the Stephen P. Teale Data Center Revolving Fund to provide expenditure authority for growth in telecommunications services due to increased customer demand and replacement of obsolete equipment.
- A savings of \$282,000 due to price reductions in direct access storage device equipment.

Major Budget Adjustments Proposed for 1997-98

- An augmentation of \$4,639,000 to purchase equipment which will provide increased Central Processing Unit capacity to: 1) meet projected existing customer workload capacity increases, 2) replace existing obsolete equipment, and 3) provide required capacity for proposed new customer projects.
- An augmentation of \$325,000 to purchase additional direct access storage device equipment to provide storage capacity required due to new and increased customer workload.
- Increase of 2.0 positions (1.9 personnel years) and \$2,538,000 to provide staffing and sufficient expenditure authority to meet increased customer demands for telecommunication services and provide for replacement of obsolete equipment.
- Increase of 8.0 positions (7.5 personnel years) and \$498,000 to provide staff necessary to meet increased customer workload demands for electronic mail, network security, Internet access, network expansions and network reconfigurations.
- An augmentation of \$500,000 for expansion of the operational recovery service offering.
- An augmentation of \$244,000 to purchase additional equipment to provide increased capacity for the UNIX/open system environment.

Authority

Government Code Sections 11752, 11754, 11757, 13975.

20 EXECUTIVE AND ADMINISTRATIVE OPERATIONS

Program Objectives Statement

The objective of this program is to provide executive and administrative support to the Service Bureau Operation of the Teale Data Center to ensure the smooth and efficient operation of the line functions. Services include: procurement, contract administration, budget and billing, training, personnel, fiscal services, and general administrative and management services.

Authority

Government Code Sections 11752, 11754, 11757, 13975.

SUMMARY BY OBJECT

1 STATE OPERATIONS

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
PERSONAL SERVICES						
Authorized Positions.....	345.9	376.0	376.0	\$18,268	\$19,775	\$19,981
Total Adjustments.....	—	—	10.0	—	—	473
Estimated Salary Savings	—	-12.8	-13.4	—	-566	-589
Net Totals, Salaries and Wages	345.9	363.2	372.6	\$18,268	\$19,209	\$19,865
Staff Benefits.....	—	—	—	5,056	5,591	5,512
Totals, Personal Services	345.9	363.2	372.6	\$23,324	\$24,800	\$25,377
OPERATING EXPENSES AND EQUIPMENT.....				\$49,550	\$55,144	\$60,160
SPECIAL ITEMS OF EXPENSE:						
Tort Payment.....				158	—	—
TOTALS, EXPENDITURES				\$73,032	\$79,944	\$85,537

RECONCILIATION WITH APPROPRIATIONS

1 STATE OPERATIONS

0683 Stephen P. Teale Center Revolving Fund ⁿ

	1995-96*	1996-97*	1997-98*
001 Budget Act appropriation.....	\$75,094	\$77,587	\$85,537
Increased expenditure authority per to Provision 1.....	2,015	2,498	—
Adjustment per Section 3.60.....	381	141	—
Totals Available	\$77,490	\$80,226	\$85,537
Unexpended balance, estimated savings	-4,458	-282	—
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$73,032	\$79,944	\$85,537

* Dollars in thousands.

2780 STEPHEN P. TEALE DATA CENTER—Continued

FUND CONDITION STATEMENT

0683 Stephen P. Teale Data Center Revolving Fund

	1995-96*	1996-97*	1997-98*
BEGINNING BALANCE.....	\$7,587	\$13,498	\$12,354
Prior year adjustments	858	—	—
Balance, Adjusted.....	\$8,445	\$13,498	\$12,354
REVENUES AND TRANSFERS:			
Receipts:			
Operating Revenues:			
299000 Other:			
Miscellaneous income	283	300	300
Income from operations	77,802	78,500	79,000
Totals, Operating Revenues	\$78,085	\$78,800	\$79,300
Totals, Resources	\$86,530	\$92,298	\$91,654
EXPENDITURES			
Disbursements:			
2780 Stephen P. Teale Data Center:			
State Operations	73,032	79,944	85,537
Totals, Disbursements	\$73,032	\$79,944	\$85,537
FUND BALANCE.....	\$13,498	\$12,354	\$6,117

CHANGES IN

AUTHORIZED POSITIONS

	95-96	96-97	97-98	1995-96*	1996-97*	1997-98*
Totals, Authorized Positions	345.9	376.0	376.0	\$18,268	\$19,775	\$19,981
Workload and Administrative Adjustments:						
Positions Established:				Salary Range		
Assoc Programmer Analyst (Spec)	—	—	1.0	—	—	\$43
Assoc Sys Software (Spec) Tech (Range A)	—	—	1.0	—	—	43
Staff Info Sys Analyst (Spec)	—	—	2.0	—	—	91
Staff Programmer Analyst (Spec)	—	—	1.0	—	—	45
Sys Software Spec-Tech I (Range A)	—	—	2.0	—	—	95
Sys Software Spec-Tech II (Range A)	—	—	3.0	—	—	156
Totals, Workload and Adm Adjustments.	—	—	10.0	—	—	\$473
TOTALS, SALARIES AND WAGES	345.9	376.0	386.0	\$18,268	\$19,775	\$20,454

* Dollars in thousands.